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1 Revision 2

Nature of hydrogen defects in clinopyroxenes from room temperature up to 1000 °C: Implication for the preservation of hydrogen in the upper mantle and impact on electrical conductivity

YAN YANG*¹, JANNICK INGRIN², QUNKE XIA¹, AND WENDI LIU¹

- ¹Institute of Geology and Geophysics, School of Earth Sciences, Zhejiang University, Hangzhou
 310027, China
 ²Univ.Lille, CNRS, INRA, ENSCL, UMR 8207, UMET, Unité Matériaux et Transformations, F
 59000 Lille, France
- 10 * Corresponding author
- 11 Institute of Geology and Geophysics
- 12 School of Earth Sciences
- 13 Zhejiang University
- 14 Hangzhou 310027, China
- 15 Email: <u>yanyang2005@zju.edu.cn</u>

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ABSTRACT

Water incorporated as hydrogenated defects in mantle minerals can influence 17 physical properties of the mantle. Knowledge of hydrogen defects at high 18 19 temperatures (T) is fundamental to understand and quantify their influence on mantle physical properties. Clinopyroxene contributes significantly to the upper mantle water 20 budget. Here, we investigate the behavior of hydrogen defects in ten natural 21 clinopyroxene crystals at temperatures up to 1000 °C, using in situ and quenched 22 experiments. The *in situ* high T Fourier transform infrared (FTIR) spectra indicate no 23 proton transfer between point defects, but the local environments of hydrogen defects 24 vary. Dehydration rates at 1000 °C of the six samples with different chemical 25 compositions are calculated based on the quenched experiments. These rates are not 26 27 only slightly site-specific, but also increase with Fe and tetrahedrally coordinated Al contents. Indeed, the Near-FTIR spectra suggest that the dehydration of the samples in 28 this study involves oxidation of Fe^{2+} . For two diopsides with a mantle affinity, the 29 diffusivity is about 10^{-12} m²/s at 1000 °C. The results mainly have the following 30 implications: (1) the different local environments of hydrogen defects between high T31 and low T may be responsible for the different mechanism of water impact on 32

electrical conductivity between high and low *T* experiments; (2) since the hydrogen diffusivities are positively related to Fe and ^{IV}Al contents, more care is required for interpretation of measured water concentrations for clinopyroxene samples with high Fe and ^{IV}Al contents. Compared between hydrogen diffusivities of olivine, orthopyroxene and clinopyroxene in mantle peridotite, clinopyroxene should be the most reliable recorder of water from depth.

Keywords: hydrogen defect, clinopyroxene, high temperature, diffusivity, *in situ*FTIR, electrical conductivity, effect mechanism

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INTRODUCTION

The main minerals in the deep earth are nominally anhydrous minerals (NAMs), 42 nevertheless, water can be incorporated as hydrogen defects which may strongly 43 influence physical properties of NAMs, such as electrical conductivity, rheology and 44 heat transferring (e.g., Mackwell et al. 1985; Karato 1990; Wang et al. 2006; Yoshino 45 46 et al. 2008; Thomas et al. 2012; Faul et al. 2016; Chang et al. 2017), thereby affecting physical/chemical processes in the deep Earth (Peslier et al. 2010; Xia et al. 2013; 47 Demouchy and Bolfan-Casanova 2016; Liu et al. 2017). However, the importance of 48 49 water effect on several properties are still under current debates (e.g., Wang et al. 2006; Yoshino et al. 2006, 2008; Costa and Chakraborty 2008; Demouchy et al. 2012; 50 Jones et al. 2012; Fei et al. 2013; Karato and Wang 2013; Yoshino and Katsura 2013; 51 Dai and Karato 2015; Gardés et al. 2015). This is not only caused by differences in 52 53 experimental methods, but also due to the complications in speciation of hydrogen defects (Karato 2015; Jones 2016). For instance, some recent experimental studies 54 have reported that different hydrogen defects in NAMs have different effects on 55 properties such as rheology and elasticity (e.g., Faul et al. 2016; Purevjav et al. 2016; 56 57 Padrón-Navarta and Hermann 2017; Tielke et al. 2017). As a result, understanding 58 speciation of hydrogen defects is fundamental to understand water effects on 59 properties of NAMs. Theoretically, Karato (2006) speculated that speciation and sites 60 of hydrogen defects in NAMs at high temperature may not be the same as those at room temperature. In fact, several studies have indicated that speciation of hydrogen 61

defects at room temperature may be misleading for discussing physical mechanism of 62 63 water effects on properties of NAMs at high temperature. For example, Aines and Rossman (1985) reported that water speciation in feldspar at high temperature was 64 different from that at room temperature. Yang and Keppler (2011) reported that 65 hydrogen defects assigned to Si vacancies in olivine were unstable with increasing 66 temperature. Yang et al. (2011) and Guo (2017) have reported that water speciation in 67 rutile at room temperature is not representative of that at high temperatures relevant 68 for subduction zones or upper mantle conditions. Yang et al. (2015) and Liu et al. 69 (2018) found unquenchable transferring of hydrogen defects between sites in 70 71 anorthoclase with increasing temperature. Very recently, Qin et al. (2018) has shown by numerical modeling that water speciation in olivine could be influenced by 72 temperature and pressure. Consequently, knowledge of hydrogen defects in NAMs at 73 high temperatures is essential to understand if water effects on mantle physical 74 properties are significant at temperature and pressure conditions of Earth mantle. 75

In addition, different hydrogen defects have different diffusivities at high 76 temperature. Recent experimental studies have reported site-specific hydrogen 77 78 diffusivities for Ti-doped Fe-free olivine and clinopyroxene, respectively 79 (Padrón-Navarta et al. 2014; Ferriss et al. 2016). Those works provide bases for distinguishing multiple processes in the history of an olivine grain (Tollan et al. 2015) 80 and permit evaluating if water observed in upper mantle minerals is representative of 81 the deep mantle (Denis et al. 2018). However, the recent study on naturally hydrated 82 83 olivine did not report drastic difference in diffusivities for various hydrogen defects (Thoraval et al. 2018). Thus, the lack of consensus is a call for further study on 84 85 site-specific hydrogen diffusion, especially in clinopyroxene.

Indeed, clinopyroxene is one of the main constituent minerals in the lower crust and upper mantle. Clinopyroxene is the pyroxene with large cations such as Ca^{2+} , Na⁺ and Li⁺ occupied in the M2 sites in the structure, thereby with space group C2/c at ambient conditions. For example, the diopside-hedenbergite solid solution, augite, jadeite, omphacite, spodumene and aegirine are clinopyroxene minerals. Among

91 them, the diopside and augite are calcic clinopyroxenes, jadeite, omphacite and 92 aegirine are sodic clinopyroxenes, while spodumene is lithium aluminum silicate. 93 Diopside is the main phase of the upper mantle peridotite. Hydrogen tends to partition into it rather than olivine and orthopyroxene (e.g., Aubaud et al. 2004; 94 Grant et al. 2007; Demouchy et al. 2016). For diopside form mantle peridotite, water 95 content ranges from 0 to 1000 wt. ppm (Demouchy et al. 2016). In addition, 96 97 omphacite from mantle eclogite can contain water content up to 1800 ppm (Smyth et 98 al. 1991). Yang et al. (2010, 2015) investigated the behavior of hydrogen defects in clinopyroxenes at temperatures between 20 and 500 °C. They found that the 99 100 speciation and sites of hydrogen defects did not change over the temperature range, but the O-H bond stretching frequencies varied with different extent for different 101 hydrogen defects. To date, defects in clinopyroxene under temperatures 102 corresponding to the upper mantle remains unclear. 103

104 Consequently, in order to understand whether hydrogen defects observed at ambient conditions reflect their behavior at mantle temperatures, we investigated 105 behavior of different hydrogen defects in clinopyroxenes at temperatures up to 106 107 1000 °C. Since nature of hydrogen defects is closely related to chemical environment, 108 we chose ten clinopyroxene samples with different compositions (calcic and sodic 109 clinopyroxenes, Fe-poor and Fe-rich clinopyroxenes) from various localities. We measured in situ Fourier transform infrared (FTIR) spectra of ten clinopyroxenes to 110 monitor variations of hydrogen sites with increasing temperature. We also carried out 111 quenched experiment at 1000 °C to determine hydrogen diffusivity of different OH 112 groups. The Near-FTIR spectra of Fe^{2+} in the samples before and after dehydration 113 114 were collected to qualify the dehydration mechanism. Those results provide information about what happens to hydrogen defects at atomic level during high 115 116 temperature process, and contribute to further understanding preservation of hydrogen defects and their effect mechanisms on electrical conductivity at high temperatures. 117

118 MATERIALS AND METHODS

119 Sample description

120 Ten natural clinopyroxene single crystals from different localities were analyzed 121 in this study: two gem-quality diopsides from Austria (diopside-Austria, with about 17 122 wt. ppm water) and Russia (diopside-Russia) which are previously described in Ingrin et al. (1989) and Andrut et al. (2007); a diopside in a marble xenolith from the Mount 123 Marcy anorthosite massif at the Cascade Slide, New York, USA (diopside-marble), 124 with 138 wt. ppm water reported in Johnson et al. (2002); two diopside crystals from 125 126 Aksu, China (diopside-Aksu1, diopside-Aksu2), with 44 wt. ppm water reported in 127 Shuai and Yang (2017); a diopside in peridotite xenolith hosted by Cenozoic basalt from Jiande, Zhejiang, China (diopside-JD), with 573 wt. ppm water reported in Hao 128 129 et al. (2014); a diopside in peridotite xenolith (Mid-Atlantic Ridge) (diopside-deep sea, with 529 wt. ppm water, unpublished data); two augite megacrysts hosted by 130 Cenozoic basalt from Yingfengling (augite-YFL) and Nushan (augite-NS), China, 131 with less than 1 wt. ppm water reported by Yang and McCammon (2012); an 132 133 omphacite from eclogites from the Roberts Victor kimberlite pipe, South Africa 134 (omphacite), with 639 wt. ppm water reported by Huang et al. (2014). The samples are all C2/c clinopyroxenes (see the crystal structure data in the in the supplementary 135 material). All samples were un-oriented and double polished single crystals. The 136 137 samples with grain thickness ranging from 0.124 to 0.995 mm were used for the in situ high temperature FTIR spectra measurements. The samples with grain thickness 138 ranging from 0.146 to 0.980 mm were used for the dehydration experiments. 139

140 Electron probe micro analyzer (EPMA)

141 The chemical compositions of the samples were determined using an EPMA 1600 (Shimadzu) electron microprobe at Zhejiang University (China). The analyses 142 were performed with a 15 kV accelerating voltage, 10 nA beam current and a 5 µm 143 144 beam diameter. Natural minerals were used as standards, and a program based on the 145 ZAF procedure was applied for data correction. Multi-point measurements were conducted from core to rim of each mineral grain. The analyses demonstrate the 146 chemical homogeneity of the samples. Reproducibility of multi-point analysis is <1%147 for elements with concentration >5% and <3% for elements with concentration >1%. 148

Based on the average chemical compositions, the calculated cations per 6 oxygenatoms are listed in Table 1.

151 In situ Mid-FTIR spectroscopy

Unpolarized and polarized FTIR spectra in the frequency range 4000-1000 cm⁻¹ were collected using a Nicolet iS50 FTIR spectrometer coupled with a Continuµm microscope at Zhejiang University (China). A KBr beam-splitter and a liquid nitrogen-cooled MCT-A detector were used. A total of 128 scans were accumulated for each spectrum at a 4 cm⁻¹ resolution. The squared aperture size was set to 50×50 µm. Background was collected at every temperature. Spectra were collected on the same selected area for each sample.

For the *in situ* high temperature measurements, the samples were placed on a Pt foil with a hole of 1.5 mm in diameter or on a sapphire plate in a heating stage with CaF_2 windows, equipped with a resistance heater and an S-type thermocouple. The sample was heated in N₂. The sample temperature was determined with an uncertainty of less than 1 °C. The temperature was increased from 20 to 1000 °C using a heating rate of 15 °C/min. For every temperature step, except otherwise indicated, the dwell time was 5 minutes.

166 Quenched dehydration experiments

We choose six clinopyroxene samples with different chemical composition for dehydration experiments. The experimental conditions and sample thickness are listed in Table 2. They were annealed in the heating stage at a desired temperature of 1000 $^{\circ}$ C for different hours, respectively. To avoid oxidation by the air, the heating stage was purged with N₂ of high purity during the annealing. Then FTIR measurements were carried out on the samples after quenching to room temperature.

173 Near-FTIR (NIR) spectroscopy

To investigate variations of Fe^{2+} in the samples before and after dehydration, NIR spectra in the frequency range 12000-4000 cm⁻¹ were collected using a Bruker 176 Vertex70 FTIR spectrometer coupled with a hyperion1000 microscope at Zhejiang 177 University (China). A CaF₂ beam-splitter and an InGaAs detector were used. A total of 178 64 scans were accumulated for each spectrum at a 4 cm⁻¹ resolution. The squared 179 aperture size was set to $50 \times 50 \ \mu\text{m}$. Spectra were collected on the same selected area 180 for each sample.

181 Data analysis

For *in situ* experiment, to analyze site-specific temperature dependence of each OH band in the samples, spectra were decomposed using the Peakfit v4.12 software. Width, amplitude and frequency of every single band were adjustable to obtain the best peakfitting. For several samples with broad and significantly overlapped bands, we used OMNIC7.1 software to obtain the bulk integral absorbances, because peakfitting may not be the best way to extract absorbances (Zhang et al. 2007).

188 For the quenched experiment, we applied OMNIC7.1 software to obtain the bulk 189 integral absorbance and calculate the bulk hydrogen diffusivity. Peakfit was used to obtain the integral absorbance of each OH band and calculate the site-specific 190 hydrogen diffusivity. Based on dimensions of the samples, hydrogen diffusivities of 191 192 the bulk hydrogen defects and site-specific hydrogen defect were obtained using the 193 one-dimensional model of diffusion from Ingrin et al. (1995). In the calculation of OH concentration ratio of the final to initial concentration as a function of heating time, 194 we used the ratio of the final to initial peak area, rather than the absolute water 195 196 concentration. The resulting dehydration data were listed in Table 2.

197

RESULTS

198 Hydrogen defects at ambient conditions

As commonly observed in natural clinopyroxene (e.g., Skogby et al. 1990), four groups of OH absorption bands are found in the ten samples: $3620-3640 \text{ cm}^{-1}$ (group 1), $3530-3540 \text{ cm}^{-1}$ (group 2), 3460 cm^{-1} (group 3) and $3360 \text{ cm}^{-1} \text{ cm}^{-1}$ (group 4) as shown in Figure 1. Not every OH band is prominent in all samples because of the differences in thickness, chemical composition and crystallographic orientation of the samples. Among the ten samples, the FTIR spectra of the diopside-Austria and diopside-Russian, the diopside-marble, the diopside-Aksu have also been reported in Ingrin et al. (1989), Johnson et al. (2002), Shuai and Yang (2017), respectively. It should be noted that the band of group 1 at 3620-3640 cm⁻¹ in omphacite may not be intrinsic but related to nanometer-sized inclusions within the crystals (Koch-Müller et al. 2004), thus we did not consider further this OH band of omphacite in the rest of the study.

211 Cation substitutions usually cause shifts in band positions (Libowitzky and Beran 2006). Combined with the previously reported and some unpublished data of 212 213 clinopyroxene, positions of the OH bands of group 1 are plotted versus chemical 214 compositions in Figure 2. The band position correlates roughly with the amount of tetrahedral coordinated Al^{3+} (^{IV}Al) (Fig. 2a, b). This supports the assignment of the 215 group 1 band to coupled substitution of Al³⁺ and H⁺ in Si vacancy (e.g., Skogby et al. 216 1990; Bromiley and Keppler 2004; Gavrilenko et al. 2010). To date, the band of group 217 2 lacks a clear compositional association. Some studies suggested that it was related 218 to substitution of H in M2 site or coupled substitution of H with some lower valence 219 220 cations in M1 site (Skogby et al. 1990; Bromiley and Keppler 2004), while Koch-Müller et al. (2004) assigned it again to coupled substitution of Al^{3+} and H^{+} for 221 Si vacancy. Based on the relationship between OH frequencies and chemical 222 compositions, we further divide the group 2 OH band into the group 2a with OH 223 wavenumbers higher than 3535 cm⁻¹ and the group 2b with OH wavenumbers lower 224 than 3535 cm⁻¹ (Fig. 2b, c). The group 2a OH is related to tetrahedral coordinated Al^{3+} , 225 226 which is consistent with the assignment in Koch-Müller et al. (2004). In agreement 227 with Skogby et al. (1990) and Bromiley and Keppler (2004), the group 2b OH could be related to vacant M sites. Figure 2 also indicates that group 3 band may be related 228 to the vacant M site, consistent with the assignment of it to coupled substitution of H 229 and trivalent cation in M2 (Smyth et al. 1991; Koch-Müller et al. 2004; Stalder and 230 Ludwig 2007). The group 4 is rare in natural samples and only exists in the 231 diopside-Austria and diopside-Aksu2 in this study. These two diopsides have much 232

more M vacancies when compared to the others. It may be related to a higher M vacancy concentration than in other samples, thus supporting the assignment of H substitution in Mg vacancies (Stalder and Ludwig 2007). The negative vacancy may be due to the presence of ferric iron (Fe³⁺) in these samples, which we neglected in the calculations. Indeed, the sample with the most negative vacancy is the Fe³⁺-rich augite. Yang and McCammon (2012) reported that the augite-NS contains 34% of the total Fe as Fe³⁺.

240 Behavior of hydrogen defects at high temperatures

241 **OH bands at elevated temperatures**

Figure 3 shows the unpolarized FTIR spectra of the clinopyroxenes at different temperatures (see the polarized FTIR spectra in the supplementary material). With increasing temperature, most bands gradually weaken, broaden and even diminish, especially for the bands at lower frequencies. A new OH band around 3443 cm⁻¹ also appears in the spectra of the diopside-Austria and diopside-Aksu2 quenched from 1000 °C.

248 In Figure 4, site-specific band shifts with increasing temperature are reported. With increasing temperature, the band of group 1 linearly shifts to lower 249 wavenumbers but to different extents for the studied samples, while the band of group 250 4 linearly shifts to higher wavenumbers. For most samples, the group 2 and group 3 251 bands significantly overlapped and disappeared at high temperatures, thus, we only 252 253 display the data of samples with the prominent group 2 and group 3 bands. With increasing temperature, the group 2 and group 3 bands generally shift to lower 254 255 wavenumbers, but not linearly or as drastically as the group 1 band does. The group 3 256 band of the diopside-Austria and diopside-Aksu2 shifts to higher wavenumbers with increasing temperature to 800 °C, then shift to lower wavenumbers. 257

Furthermore, there is a decrease in the absorption of the OH bands at elevated temperatures, especially for the augite-YFL and omphacite with almost no absorption at 1000 and 700 °C, respectively. Comparing between the FTIR spectra of the ten 261 samples before heated and after quenched from 1000 °C, it is clear that dehydration 262 has occurred during the heating process for most of the samples. In order to explore at 263 which temperature dehydration starts, we analyzed variations of the OH absorbances. The evolution of the bulk integral absorbance of the OH bands with temperature is 264 shown in Figure 5. With increasing temperature, the bulk integral absorbance exhibits 265 little variation for the diopside-marble, while it displays a turning point with drastic 266 267 decrease at the temperature above 600 °C for the diopside-Austria, diopside-Aksu1, 268 diopside-JD, diopside-Russia, augite-YFL and augite-NS. In contrast, the turning point appears earlier at 300 °C in the evolution of the bulk integral absorbance of OH 269 270 bands in the diopside-Aksu2 with temperature. Unfortunately, for most samples, the OH bands significantly overlap at high temperatures. This impedes the accurate 271 272 analysis of absorbance of each OH band (Zhang et al. 2007). We choose the diopside-Austria and diopside-Aksu2 with well separated OH bands and show their 273 274 site-specific evolution in Figure 5. The absorbances of the bands of group 1, group 3 275 and group 4 in the diopside-Austria keep steady, then drastically decrease at 700 °C. For the diopsde-Aksu 2, the absorbances of the bands of group 3 and group 4 do not 276 change until increasing temperature to 500 and 700 °C, respectively, while the 277 absorbance of the group 2b band decrease first then increase with increasing 278 temperature to 700 °C. 279

280 Hydrogen diffusivities at 1000°C

281 To investigate dehydration at high temperature, we conducted dehydration 282 experiments at 1000 °C on six samples with different chemical compositions. The evolutions of the FTIR spectra with annealing time are shown in Figure 6. The bulk 283 and site-specific diffusion coefficients were obtained by fitting the data using a 284 285 one-dimensional model of diffusion as in Ingrin et al. (1995) and reported in Table 2. 286 The hydrogen diffusion coefficient is different not only between samples, but also between hydrogen defects in the same sample. For example, the bulk hydrogen 287 diffusivities are the slowest in the diopside-marble and diopside-Russia $(6-7 \times 10^{-13})$ 288 m^{2}/s), moderate in the diopsides from mantle peridotite (diopside-deep sea and 289

diopside-JD) $(1 \times 10^{-12} \text{ m}^2/\text{s})$, and the fastest in the diopside-Austria and augite-NS 290 $(6 \times 10^{-12} \text{ m}^2/\text{s})$. Moreover, it seems that the site-specific hydrogen diffusivity is 291 following different order between samples. For the diopside-marble, the 292 diopside-Russia and the augite-NS, the diffusivity of hydrogen responsible for the OH 293 294 band in group 1 is lower than that of the group 2. However, it is a little faster than that of the group 2 for the diopside-deep sea and diopside-JD. We have reported the bulk 295 and site-specific diffusion coefficients as a function of chemical composition of the 296 six samples in Figure 7. There are satisfying positive correlations between hydrogen 297 diffusivities and chemical compositions except for the diopside-Austria. The presence 298 299 of microscopic amphibole lamellae previously reported by Ingrin et al. (1989) in this sample could be a reason for its abnormal behavior. The lamellae provide possible 300 shortcuts for diffusion. Excluding the diopside-Austria, the bulk and site-specific 301 hydrogen diffusivities increase with the Fe and ^{IV}Al contents. The diffusivity of the 302 group 1 OH is more correlated with ^{IV}Al content, while that of the group 2 OH is 303 more correlated with Fe content. The bulk hydrogen diffusivity is more correlated 304 with Fe than ^{IV}Al content. In contrast to the temperature dependence of O-H bond 305 strength, we did not find any clear relationship between hydrogen diffusivity and its 306 corresponding band frequency. Thus, the difference of chemical composition has 307 much more effect on hydrogen diffusivity than the peak-specific difference does. 308

309^T Variation of Fe²⁺ in the samples before and after dehydration

To explore the dehydration mechanism, Figure 8 compares the NIR spectra of the clinopyroxene samples before and after the dehydration experiments. The bands around 10,500 and 9500 cm⁻¹ are assigned to crystal field bands (CFB) due to d-d transitions of Fe^{2+} at M1 and M2 sites, respectively (Rossi et al. 1987; Burns et al. 1993). After dehydration, the absorbances of Fe^{2+} at both M1 and M2 sites decrease for the diopside-Austria and diopside-Russia, while only the absorbances of Fe^{2+} at M2 sites decrease for the two diopsides from mantle peridotite and the augite-NS.

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DISCUSSION

318 Variations of local environments of hydrogen defects with increasing 319 temperature

The frequency of an OH band reflects the strength of O-H bond. The site-specific 320 frequency shift of OH bands in clinopyroxene with increasing temperature indicates 321 322 different local environments of the hydrogen defects within their structures. The 323 negative frequency shifts of the group 1 OH bands indicate temperature-induced lengthening and weakening of O-H bonds. The positive frequency shifts of the group 324 4 OH bands suggest weakening of hydrogen bonds (H...O) with increasing 325 326 temperature, because weakening of hydrogen bonds will induce a relative 327 strengthening of the primary O-H bond (Nakamoto et al. 1955; Xu et al. 2013). The moderate negative frequency shifts of the groups 2 and 3 OH bands may indicate the 328 simultaneous action of the lengthening of O-H and H...O bonds. One can speculate 329 on a possible correlation between the initial frequency and its temperature dependence. 330 331 We show the temperature dependence of OH frequency as a function of initial frequency for the clinopyroxene samples in this study and also for several minerals 332 from previous studies for comparison in Figure 9a. A near linear relation exists 333 334 between the temperature dependence of OH frequencies and their frequency at room 335 temperature for silicate minerals. The room-temperature frequency corresponding to the cut-off between the positive and negative shift is around 3400 cm^{-1} . The 336 low-temperature evolution of OH bands in forsterite also suggests this rough 337 relationship (Ingrin et al. 2013). However, as shown in Figure 9a, the results for rutile 338 339 do not lie along the trends probably due to the very different structures of silicate and 340 oxide minerals.

In contrast to the resolved OH bands at room temperature, the IR spectra at 1000 °C display only one broad band. The one broad band at high temperature can arise from a statistical distribution across multiple sites or hydrogen disordering in the clinopyroxene structure. Based on the relationship between OH frequency and O-O distance (Libowitzky 1999), we can provide some constraints on the hydrogen bonding environments of hydrogen defects at room temperature and at 1000 °C

(Figure 9b). For the group 1 OH with frequencies around 3571-3553 cm⁻¹ at 1000 °C. 347 the predicted O-O distance is about 3.0 Å. For the group 2 OH with frequencies 348 around 3510-3469 cm⁻¹ at 1000 °C, respectively, the predicted O-O distance is about 349 2.9 Å. For the group 3 OH with frequencies around 3476-3417 cm⁻¹ at 1000 °C, the 350 predicted O-O distance is about 2.9-2.8 Å. For the group 4 OH with frequencies 351 around 3398-3387 cm⁻¹ at 700 °C, the predicted O-O distance is about 2.8 Å. 352 Obviously, the O-O distances for the four groups OH at 1000 °C are more centralized 353 than those observed at room temperature (Fig. 9c). Thus, the one broad band at high 354 temperature such as 1000 °C is interpreted here as temperature-induced hydrogen 355 356 disordering across multiple bonding sites with similar O-O distances. Temperature or pressure-induced hydrogen disordering was also expected in the structures of 357 wadsleyite and ringwoodite (Kohn et al. 2002; Panero et al. 2013). 358

359 Site-specific thermal stability of the hydrogen defects

According to the Beer-Lambert relationship, $A = \varepsilon ct$, absorbance of O-H 360 vibration relates to absorption coefficient of O-H, water concentration and sample 361 thickness (*\varepsilon* is the absorption coefficient, A the measured integrated absorbance, t the 362 363 sample thickness, and c the concentration of the molecule studied). Previous studies have shown that dehydration in diopside and augite could be neglected during the 364 short heating process from room temperature to 500 °C (Yang et al. 2010, 2015). 365 Additionally, contribution of the variation in sample thickness can be neglected below 366 367 500°C based on the thermal expansion of clinopyroxene (Pandolfo et al. 2015). Furthermore, *in situ* polarized FTIR spectra (see supplementary material) at elevated 368 temperature indicate that O-H orientation does not significantly change with 369 temperature. Thus, the slight variation before the turning point in the evolution of the 370 371 integral absorbance with temperature indicates temperature dependence of OH 372 absorption coefficient. According to Barron (1962), the absorption coefficient of a fundamental vibration transition is proportional to the square of the change of dipole 373 moments. Extensive studies have suggested that absorbance coefficient of O-H in 374 glasses and hydrous minerals is temperature dependent (e.g., Keppler and 375

Bagdassarov 1993; Withers et al. 1999; Zhang et al. 2007, 2016; Tokiwai and Nakashima 2010; Della Ventura et al. 2017). However, only few studies addressed temperature dependence of absorption coefficient of O-H vibration of hydrogen defects in NAMs (Yang et al. 2010, 2012, 2015).

380 In contrast to the slight variations at low temperatures, the dramatic decrease of 381 the integral absorbance above the turning point at higher temperatures is due to dehydration. For most samples in this study, dehydration begins at temperatures above 382 600 °C. However, the diopside-Aksu2 starts dehydrating as early as 300 °C, while 383 384 hydrogen defects in the diopside-marble are the most stable with negligible 385 dehydration during the heating process. The dominant OH band belongs to the group 1 in the diopside-marble, while they belong to the groups 2, 3 and 4 in the 386 diopside-Aksu2. It seems that the bands of group 1 are more stable than the ones of 387 the other groups. Thus, it is expected that different hydrogen defects have distinct 388 389 thermal stability. Indeed, in the diopside-Aksu2, dehydration of the group 3 starts at 500 °C, while the group 2b starts dehydrating at 200 °C and then re-hydrates at 390 700 °C. Dehydration of the group 4 starts at 700°C, which may account for the 391 392 re-hydration of the group 2b. However, the group 1, group 3 and group 4 in the 393 diopside-Austria start dehydrating at the same temperature. It is generally accepted 394 that breaking of O-H bonds must occur during the dehydration. Therefore, we attempt 395 to explain the different thermal stabilities of the hydrogen defects using the temperature dependence of O-H bond strength. Note that the O-H bond corresponding 396 to the group 1 OH bands weakens, while that related to the group 4 OH bands 397 strengthens with increasing temperature. Thus, it will be taken for granted that the 398 399 hydrogen defects of the group 1 are most unstable defects, which was never 400 demonstrated before. Consequently, the driving force for the dehydration remains 401 complex.

402 **Dehydration mechanism and origin of the band at 3443 cm⁻¹**

403 Similar to Ferriss et al. (2016), we found that different hydrogen defects have 404 different diffusivities. Ferriss et al. (2016) proposed that the hydrogen defects of the 405 group 2 OH band diffuse faster than those of the group 1 OH band based on their two 406 diopside samples. Based on the data in this study, we do not find a uniform behavior of the diffusivities for different OH bands. In the diopside-marble, diopside-Russia 407 and augite-NS, the hydrogen defects of the group 2 OH diffuse faster than those of the 408 group 1, in agreement with Ferriss et al. (2016). However, in the two diopsides from 409 mantle peridotite, the hydrogen defects of the group 2 OH band diffuse slightly slower 410 411 than those of the group 1 OH band. But the difference between OH bands in 412 clinopyroxene is not by more than one order of magnitude, far less than in Ti-doped Fe-free forsterite (Padrón-Navarta et al. 2014). In contrast, hydrogen diffusivities are 413 414 mainly controlled by chemical compositions of the samples. Consistent with previous studies (Skogby and Rossman 1989; Ferriss et al. 2016), the bulk hydrogen 415 diffusivities increase with Fe content (a.p.f.u.). For the two diopsides from mantle 416 peridotites with Fe around 0.07-0.08 a.p.f.u., the bulk diffusivity is on the order of 417 10⁻¹² at 1000 °C, one order of magnitude slower than the prediction of Ferriss et al. 418 (2016). Contrary to Ferriss et al. (2016), we obtain a positive relationship between the 419 bulk hydrogen diffusivities and ^{IV}Al content based on our data. We can further find 420 relationships between site-specific hydrogen diffusivities and chemical compositions. 421 For example, the diffusivity of hydrogen defects related to the group 1 OH band is 422 more dependent on ^{IV}Al content, while that of the group 2 OH band is more 423 dependent on Fe content. Thus, for the augite samples with high Fe and high ^{IV}Al, 424 hydrogen defects diffuse very fast. 425

426 As for hydrogen diffusion mechanism, there are self-diffusion deduced from H-D exchange experiment, chemical diffusion controlled by the mobility of polarons and 427 chemical diffusion controlled by the mobility of metal vacancies (Ingrin and 428 Blanchard 2006). Among them, the mobility of polarons involves 429 the oxidation-reduction of iron. In this study, the absorbances of Fe^{2+} decrease with 430 dehydration. Thus, the dehydration mechanisms of the clinopyroxene samples involve 431 oxidation of Fe^{2+} : $Fe^{2+} + OH^{-} = Fe^{3+} + O^{2-} + 1/2H_2$. That is just what we observed in 432 relationship between hydrogen diffusivity and Fe content. Strictly speaking, the view 433

that extraction of H is a linear function of iron is an oversimplification. In fact, what is important is the number of Fe^{2+} available for the reaction. Based on the initial absorbances of Fe^{2+} in these samples, the content of Fe^{2+} is positively related to the bulk Fe content. Therefore, using bulk Fe content in this study does not significantly change the main results.

The band at 3443 cm⁻¹ is not common in natural diopsides, but it has been 439 previously observed in synthetic Fe- and Na-doped diopsides (Stalder and Ludwig 440 2007; Purwin et al. 2009). Based on the similar pleochroic behavior of the bands at 441 3360 and 3443 cm⁻¹, Purwin et al. (2009) assigned the band at 3443 cm⁻¹ to a coupled 442 substitution of a ferric iron and a proton to two neighboring Mg vacancies. Skogby 443 and Rossman (1989) reported the new band around 3443 cm⁻¹ appearing in the FTIR 444 spectra of diopside from India after heating in H₂ or air and ascribed it to the lower 445 frequency shift of the 3460 cm⁻¹ band. Ferriss et al. (2016) also observed the increase 446 of the band around 3443 cm⁻¹ in the FTIR spectra of Kunlun diopside and Jaipur 447 diopside after gently heating. They suggested that this additional hydrogen was taken 448 up from the surroundings in the furnace, or was originally present in hydrous 449 450 microinclusions, or initially distributed evenly among the various initial peaks. Thus, the origin of this new band has not been elucidated. In this study, the new band at 451 3443 cm⁻¹ only occurs in the FTIR spectra of the diopside-Austria and Aksu2 452 quenched from high temperatures. Moreover, the 3443 cm⁻¹ band seems to have an 453 orientation similar to the band at 3460 cm⁻¹ related to the group 3 (Figure 10b). 454 Therefore, the band at 3443 cm⁻¹ could be assigned to a new hydrogen defect in M site. 455 To draw some relationships between this new hydrogen defect and hydrogen defects 456 corresponding to other OH bands, variations of integral absorbances of the 457 deconvoluted bands of the quenched sample with isothermal annealing time are 458 plotted in Figure 10. With annealing time, the integral absorbance of the 3443 cm⁻¹ 459 band slightly increases, the integral absorbance of the 3467 cm⁻¹ band shows little 460 variation, while those of the 3645 and 3359 cm⁻¹ bands decrease. As a result, it is 461 unlikely that the hydrogen defect corresponding to the new band is related to 462

hydrogen defect corresponding to the 3467 cm⁻¹ band. Since hydrogen defect 463 corresponding to the 3645 cm⁻¹ band is located in Si site, it is most likely that the 464 3443 cm⁻¹ band is related to hydrogen defect corresponding to 3359 cm⁻¹ which is 465 located in M site. In contrast to other samples, only these two samples have the group 466 4 OH band at 3359 cm⁻¹, which also supports our conclusion. Since dehydration 467 mechanism of the diopside from Austria involved the oxidation of Fe^{2+} to Fe^{3+} , the 468 3443 cm⁻¹ band could be a new hydrogen defect in M site, coupled with the ferric iron 469 formed during dehydration, in agreement with Purwin et al. (2009). 470

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IMPLICATIONS

472 Hydrogen speciation and sites in the clinopyroxene at high temperatures: effect

473 mechanism on electrical conductivity

It is well established that hydrogen defects can influence electrical conductivity 474 of their host minerals. However, there are discrepancies among published 475 476 experimental results (e.g., Wang et al. 2006; Yoshino et al. 2006, 2008; Karato and Wang 2013; Yoshino and Katsura 2013). In view of these long standing discrepancies, 477 Karato (2013, 2015) proposed a new theoretical model and suggested that the 478 479 rate-controlling diffusing species of hydrogen-assisted electrical conductivity are different from those of H-D isotopic exchange. This model provides a good 480 explanation of some discrepancies among the different experimental observations at 481 different temperatures. Moreover, Dai and Karato (2014) showed by experiment that 482 483 the mechanism of electrical conductivity of olivine changed with temperature, which is also observed for mantle clinopyroxene by Zhao and Yoshino (2016). Based on the 484 model of Karato (2013), they proposed that the diffusion of protons in M site is the 485 dominant contribution to electrical conductivity at high temperatures, while free 486 487 protons at low temperatures. Although these results are well explained by the model 488 (Karato 2013), there has been no direct observation of the changes of free protons at low temperatures to two protons in M site at high temperatures. 489

490 FTIR spectra are good tracers for local environments of hydrogen defects. From

491 the in situ FTIR spectra at elevated temperatures in this study, we do not find a 492 coupled growth and decline of OH bands as in rutile, anorthoclase or talc (Zhang et al. 2006; Yang et al. 2011, 2015; Guo 2017). This suggests that protons do not transfer 493 easily between sites in the clinopyroxenes with increasing temperature up to 1000 °C. 494 However, the local environments of hydrogen defects change with increasing 495 temperature. At high temperatures, the four groups of hydrogen defects have similar 496 497 O-O distances and display disordering in the structure. In contrast, the four groups of 498 hydrogen defects have distinct O-O distances and show ordering in the structure at room T. Thus, it is unlikely that the water-effect on physical properties at high T is the 499 500 same as that as low T. At high T, the strength of bonding between protons and the surrounding atoms are similar among the four groups of hydrogen defects. The 501 protons belonging to the four groups of hydrogen defects likely have similar mobility 502 at high T; this is likely not the case at low T. Therefore, the different local 503 504 environments of hydrogen defects between high T and low T can be responsible for 505 the different activation enthalpy of electrical conductivity between high and low Texperiments observed by Dai and Karato (2014) and Zhao and Yoshino (2016). In 506 addition, the pleochroism of hydrogen defects at high temperatures is not as 507 508 prominent as at room temperature (see supplementary material). Thus, it can be inferred that anisotropy in electrical conductivity of clinopyroxene is also different 509 510 between high and room temperature, which deserves to be tested in the future work.

511 This study extends the understanding of hydrogen speciation and sites in 512 clinopyroxene at temperatures relevant to the lower crust and upper mantle. As 513 conditions relevant of the deep Earth involve both high pressure and high T, the local 514 environments of hydrogen defects in clinopyroxene at geologic conditions is 515 simultaneously constrained by high pressure and high temperature. To date, no data 516 have been reported for the high pressure behavior of hydrogen defects in 517 clinopyroxene. Previous *in situ* high pressure spectroscopic investigations on olivine, 518 wadsleyite and ringwoodite indicated that effect of pressure on variation in O-H bond strength is the contrary to the temperature effect (Cynn and Hofmeister 1994; 519

Jacobsen et al. 2005; Chamorro Pérez et al. 2006; Koch-Müller et al. 2011; Panero et al. 2013; Yang et al. 2014; Sakurai et al. 2015). Thus, it may be inferred the local environments of hydrogen defects in clinopyroxene at high temperature and high pressure is the same as ambient conditions. To fully address behavior of hydrogen defects in clinopyroxene under deep earth conditions, simultaneous *in situ* high temperature and high pressure FTIR spectroscopic investigations have to be carried out.

527 **Preservation of hydrogen speciation and content in quenched clinopyroxene**

528 Our *in situ* heating experiments indicate that hydrogen speciation in 529 clinopyroxene does not change at least before dehydration. However, the dehydration 530 experiments by quenching induced slight modifications of hydrogen speciation. In this 531 case, a new band appears at 3443 cm⁻¹, which is linked to the dehydration process 532 involving the oxidation of iron. Therefore, the occurrence of this band if observed in 533 some natural clinopyroxenes could be used as a marker of a previous dehydration in 534 oxidizing conditions.

The hydrogen diffusivities are positively related to Fe and ^{IV}Al contents in the 535 clinopyroxene samples. Thus, for clinopyroxene samples with high Fe and ^{IV}Al 536 contents, more care is required for interpretation of measured water concentrations. 537 Based on the existing diffusivities of hydrogen in olivine and pyroxene, Denis et al. 538 (2018) concluded that the remaining hydrogen concentrations observed in peridotites 539 540 might only represent the "tip of the iceberg" of the water stored in the Earth's upper mantle. However, the hydrogen diffusivity in mantle-derived clinopyroxene was not 541 available yet for referring in Denis et al. (2018). We provide here for the first time the 542 hydrogen diffusivity at 1000 °C in the mantle-derived diopside, and we show that its 543 544 diffusion coefficient may be one order of magnitude lower than that used in Denis et al. (2018). For the hydrogen diffusivity controlled by the proton-vacancy mechanism, 545 it is similar and range between the diffusivities of olivine, enstatite and diopside, e.g., 546 6×10^{-12} , 1.3×10^{-11} , and 3.1×10^{-11} m²/s at 1100° C, respectively (Demouchy and 547 Mackwell 2006; Carpenter Woods 2001; Ferriss et al. 2016). The similar diffusivities 548

549 cannot explain the mineral specific hydrogen concentrations in the mantle xenoliths. Therefore, the hydrogen diffusivity controlled by the proton-polaron mechanism may 550 in fact contribute to a different preservation of hydrogen in the olivine, enstatite and 551 diopside. In this study, the hydrogen diffusivity in the diopside samples is controlled 552 by the proton-polaron mechanism. It ranges between 1×10^{-12} and 1.2×10^{-12} m²/s at 553 1000 °C in the two diopsides from mantle peridotites, two orders of magnitude lower 554 than 4×10^{-10} m²/s in mantle olivine (Mackwell and Kohlstedt 1990), and slightly lower 555 than 4×10^{-12} m²/s in mantle enstatite (Carpenter Woods 2001) at the same temperature. 556 557 It should be noted that the dehydration experiment in this study is carried out in open system and ambient pressure, thus, the hydrogen diffusivity may be lower than 10^{-12} 558 m²/s at 1000 °C in a closed system. As a result, clinopyroxene should be the most 559 reliable recorder of water from depth compared with the mantle olivine and 560 orthopyroxene, which was already inferred by Tian et al. (2016). Moreover, this study 561 shows that the hydrogen diffusivity of the group 1 OH (3620-3640 cm⁻¹) increases 562 with ^{IV}Al content, and that of the group 2 OH (3530-3540 cm⁻¹) increase with Fe 563 content. For the two diopsides from mantle peridotites, the hydrogen diffusivity at 564 1000 °C of the group 1 OH (3640 cm⁻¹, with the hydrogen diffusivity of 1.6×10^{-12}) is 565 slightly higher than that of the group 2 OH (3540 cm⁻¹, with the hydrogen diffusivity 566 of 1.2×10^{-12}). This site-specific difference is quite low and much less than the 567 difference caused by chemical composition. Therefore, preservation of water in 568 mantle clinopyroxene mainly depends on chemical composition. 569

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847 Figure captions

FIGURE 1.Unpolarized FTIR spectra of OH in the ten clinopyroxenes at room temperature. The spectra are shifted for clarity. The positions of the four groups OH bands are indicated by dotted lines: red lines indicate the group 1 OH; blue lines indicate the group 2 OH; green lines indicate the group 2 OH; black lines indicate the

852 group 4 OH.

FIGURE 2. Relationship between OH frequencies and chemical compositions in 853 the clinopyroxenes: (a) Wavenumbers of the group 1 OH band as a function of 854 tetrahedral coordinated Al^{3+} content in atoms per formula unit (a.p.f.u.). The data of 855 diopside (YT-25, peridotite) is from Hao et al. (2016), the data of diopside (NS-16, 856 857 peridotite) and diopside (NS-29, peridotite) are from Yang et al. (2008). The data of augite and diopside (GRR04, granulite) are unpublished data; (b) Wavenumbers of the 858 group 2a OH band as a function of tetrahedral coordinated Al³⁺ content in atoms per 859 formula unit (a.p.f.u.); (c) Wavenumbers of the group 2b OH band as a function of M 860 861 vacancies; (d) Wavenumbers of the group 3 OH band as a function of M vacancies. The M vacancy is a first approximation since the calculation is very simple neglecting 862 Fe^{3+} . 863

FIGURE 3. In situ unpolarized FTIR spectra of OH in the ten clinopyroxenes at high temperatures. The room-temperature positions of the OH bands are indicated by dotted lines. The arrow indicates the appearance of the new band at 3443 cm⁻¹ in the diopside-Austria and diopside-Aksu2. The spectra are shifted for clarity.

FIGURE 4. Plots of frequency of the OH bands of clinopyroxenes against temperature: (a) group 1; (b) group 2a; (c) group 2b; (d) group 3; (e) group 4

FIGURE 5. Variation of the integral absorbances of the OH bands with temperature: (a) The bulk integral absorbances; (b) The individual integral absorbance of each OH band. The dotted lines indicate the turning points at which dehydration starts.

FIGURE 6. Room-temperature unpolarized FTIR spectra of the samples annealed in N_2 at 1000°C.

FIGURE 7. Hydrogen diffusivities during dehydration as a function of Fe and
^{IV}Al content in atoms per formula unit (a.p.f.u.): (a) Bulk and site-specific hydrogen
diffusivities vs. Fe content; (b) Bulk and site-specific hydrogen diffusivities vs. ^{IV}Al
content; (c) Bulk hydrogen diffusivities vs. Fe content excluding the diopside-Austria;
(d) Bulk hydrogen diffusivities vs. ^{IV}Al content excluding the diopside-Austria.

FIGURE 8. Unpolarized NIR spectra of the samples normalized to 1 cm of thickness before and after dehydration. The arrows indicate the absorptions of Fe^{2+} . FIGURE 9. (a) Relationship between temperature dependence of OH frequency shift and room-temperature frequency. The blue symbols are the data from this study and the black symbols are the data from the literature. (b) Correlation between O-H

stretching frequency and O-H...O distance of the four groups of OH. The black symbols indicate the data at room temperature, and the blue symbols indicate the data at 1000 °C. For each group of OH in the ten samples, the highest and lowest frequencies were chosen. (c) The comparison of ranges of O-H...O distance of the four groups of OH between room temperature and 1000 °C.

FIGURE 10. (a) Unpolarized FTIR spectra of OH in the diopside from Austria 891 892 recorded at room temperature before heating and after annealing at 800 and 900 °C for different time. The dotted lines indicate the new band at 3443 cm⁻¹. The spectra are 893 894 shifted for clarity. (b) Room-temperature polarized FTIR spectra of OH in diopside from Austria after annealing at 900 °C for 30 min with polarizer rotating 0° and 90° . (c) 895 Plots of integral absorbance of the deconvoluted OH bands of the diopside from 896 897 Austria against annealing time at 800 °C (integral absorbance of the FTIR spectrum before heating was used as the initial value). (d) Integral absorbance of the 898 899 deconvoluted OH bands of the diopside from Austria against annealing time at 900 °C. 900

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907 Table 1 Cation proportions as calculated from electron microprobe analyses of

908 clinopyroxenes.

	Diopside-Austria	Diopside-marble	Diopside-JD	Augite-YFL	Omphacite	Diopside-Aksu	Diopside-Russia	Diopside-deep sea	Augite-NS
K	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Na	0.003	0.001	0.135	0.119	0.232	0.001	0.023	0.033	0.122
Cr	0.000	0.000	0.023	0.000	0.002	0.000	0.017	0.033	0.000
Ca	0.992	1.010	0.776	0.653	0.676	1.016	0.952	0.871	0.648
Mg	0.888	0.896	0.782	0.706	0.717	0.929	0.930	0.828	0.818
Mn	0.006	0.003	0.003	0.004	0.002	0.004	0.001	0.002	0.003
Ti	0.001	0.003	0.014	0.030	0.003	0.000	0.003	0.005	0.023
Al	0.011	0.088	0.301	0.469	0.185	0.009	0.009	0.298	0.389
Ni	0.001	0.001	0.002	0.001	0.002	0.001	0.001	0.000	0.000
Fe	0.076	0.043	0.089	0.300	0.195	0.072	0.043	0.085	0.223
Si	2.000	1.955	1.883	1.756	2.002	1.982	2.009	1.846	1.808
Total	3.978	4.000	4.008	4.038	4.016	4.014	3.988	4.001	4.034
^{IV} Al	0.000	0.045	0.117	0.244	0.000	0.018	0.000	0.154	0.192
^{VI} Al	0.011	0.043	0.184	0.225	0.185	-0.009	0.009	0.144	0.197
Vacancies	0.022	0.000	-0.008	-0.038	-0.016	-0.014	0.012	-0.001	-0.034

909 Note: The total Fe was calculated as FeO. The error of multi-point analysis is <1% for elements 910 with concentration >5% and <3% for elements with concentration >1%. The cations were 911 calculated based on 6 oxygen atoms except for the data for diopside (marble) which were from 912 Johnson et al. (2002). The vacancies were calculated as 4 minus total cations per 6 oxygen atoms. 913 The diopside-Aksu1 and diopside-Aksu2 have the same chemical composition, labeled as 914 diopside-Aksu in the table.

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923 **Table 2** Bulk and site-specific hydrogen diffusivities

		Temperature (°C)							
Sample	Thickness (mm)			Bulk diffusivity					
			3640	3620	3540	3520	3450	3360	(105105)
Diopoido Bussio	0 866	1000	-12.40	-12.15	/	-12.10 ±0.30	-12.15	/	-12.15
Diopside-Russia	0.800	1000	±0.60	± 0.54	/		±0.54		±0.24
D'	0.212	1000	-12.30	,	-12.00	/	/		-12.22
Diopside-marble	0.212	1000	±0.30	/	±0.22			/	±0.18
Diansida ID	0 166	1000	-11.80	/	-11.92	/	-11.74	/	-11.92
Diopside-JD	0.100	1000	±0.20	/	±0.22		±0.18		±0.18
Dionside-deen sea	0.146	1000	-11.70	/	-12.00	/	-11.74	/	-12.00
Diopside-deep sea	0.140	1000	±0.30	,	±0.22	1	±0.18		±0.26
Augite-NS	0.980	1000	-11.30	/	-11.10	1	-11.52	/	-11.22
Augne-N5	0.980	1000	±0.30	1	±0.20	,	± 0.48		±0.18
Diopside-Austria	0.759	1000	-10.60	/	/	/	-10.70	-10.55	-10.80
Diopside-Austria	0.757	1000	±0.22	,	7	/	±0.30	±0.19	±0.20

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Figure 6



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