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Relevance of a novel external dynamic distraction device for treating back pain

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Abstract

Introduction: Low back pain is a common, expensive and disabling condition in industrialized countries. There is still no consensus for its ideal management. Believing in the beneficial effect of traction, we developed a novel external dynamic distraction device. The purpose of this work is to demonstrate that external distraction allows limiting the pressure exerted on orthostatism on the lower intervertebral discs.

Materials and methods: We firstly used the Anybody Modeling System, which is a validated musculoskeletal software. The device has been implemented in the model and we calculated the lower disc pressure while traction forces were applied by the four actuators. Secondly, we performed an anatomical study using a Biomet cadaver placed in a sitting position. The two belts of the device were tightened at the base of the thorax and on the waist, respectively. A pressure sensor was placed in the lower discs under fluoroscopic control through a Jamshidi needle. The intradiscal pressure was then measured continuously at rest while applying a traction force of 20 Kg.

Results: Both numerical and cadaveric studies have demonstrated a decrease in intradiscal pressures after application of a traction force by the external device. Using the numerical model, we have shown that tensile forces below 50 Kg in total were sufficient. The application of superior forces is useless and potentially deleterious.

Conclusion: External dynamic distraction device is able to significantly decrease the intradiscal pressure in a sitting or standing position. The effects are obtained using “reasonable” traction forces, inferior to those applied by most conventional traction tables. However, the therapeutic effects need to be proven using clinical studies.

Keywords: Back pain; Actuators; Traction; Disc pressure; Anybody Modeling System

1. Introduction

Back pain is becoming an increasing concern in most industrialized countries. Indeed, it is estimated that 80% of the adult population suffers or will suffer from low back pain with an annual prevalence of 30%^{1,2}. This frequency more than tripled in Europe and in the US between 1980 and 2000^{1,2}. Chronic low back pain, whose evolution is by definition longer than 3 months, concerns only 20% of these patients, that is to say 10 to 20% of the general

population with a preferred age ranged between 35 and 45 years. In industrialized countries, low back pain is a major public health problem because it generates significant costs in healthcare and is one of the leading causes of disability and sick leave^{3,4}. From a physiopathological point of view, chronic low back pain is due to degenerative changes in the intervertebral disc and articular joints^{5,6}. The lower segments are the more frequently and severely affected in clinical practice (L4L5 and L5S1 segments). Many risk factors have been highlighted such as smoking, overweight, sedentary lifestyle, and some occupations that require heavy loads^{7,8}. The exaggerated mechanical stresses are clearly identified to accelerate the degeneration and thus aggravate the symptoms.

In spite of its frequency and its social impact, there is no consensus for the treatment of chronic low back pain^{9,10}. The surgery failed to show its effectiveness in the absence of radicular pain, except in very particular situations^{11,12}. In the same way, the majority of conservative treatments have not shown clear evidence of their effectiveness¹³. Among them, lumbar traction is a commonly used method to treat patients with low back pain with or without sciatica. In the UK and the US, lumbar traction is used by 41 and 77% of outpatient rehabilitation providers, respectively^{14,15}. Thus, there is a discordance between the lack of evidence-based recommendation and how lumbar traction is regarded in current clinical practice, which is explained by the great heterogeneity of practices and the methodological problems found in most clinical studies¹⁶. Believing in the beneficial effect of traction, we developed an external distraction device. The purpose of this work is to demonstrate that external distraction allows to limit the pressure exerted on orthostatism on the lumbar spine and mainly on the intervertebral disc.

2. Materials and Methods

2.1. Numerical assessment

a. “Anybody” model

Anybody Modeling System (AMS) is a validated musculoskeletal software revolving around inverse dynamic simulations able to calculate individual muscle forces, joint contact forces and pressures¹⁷. Each body part is implemented using validated cadaveric or anatomical data ensuring high accuracy and anatomical fidelity of the model. Every bones, joints, muscles, ligaments and tendons are represented (Figure 1).

Finally, AMS allows the importation of computer assisted design (CAD) components in order to study their effects in interaction with the body. Note that anthropometric measures can be modified.

b. Exoskeleton model

The aim of the exoskeleton is to apply vertical traction forces to reduce pressure on the lumbar spine in the upright position (Figure 2A). In order to preserve both proper spinal alignment and freedom of motion, the device is composed of two sets of actuators positioned on both side of the body. The traction is produced by these four actuators, each of them represented by a pivot joint between the upper part and the lower part of the device (Figure 2B). These joints are activated by electric motors thanks to worm screws. At the end of each of them, there is a ball joint to preserve the motion of the trunk. Each actuator can generate a force of 8 Kg, ensuring a maximum distraction force of 32 Kg.

c. Implementation of the exoskeleton in Anybody

As the simulation does not include the skin, we used the torso on which the exoskeleton was designed to position the device in the simulation. The torso used for the design of the prototype is a standard morphology transmitted by a local orthoprothesist. Then, a skeleton has been fitted inside the CAD of the body with size adaptation relative to the body dimension. The skeleton was obtained from a free CAD on Grabcad (Figure 3). The skeleton inside the CAD was then fitted to the Anybody Model to position the torso, therefore fitting the position of the exoskeleton in the simulation. Finally, the connection points were visually fitted to the solid part of the pelvis and the thorax.

Therefore, it was necessary to simplify the model (Figure 2C). First, a perfect model was applied for the actuators (no friction) to simplify the calculations. Secondly, as the AMS software performs the simulations by inverse dynamics operations, we had to constrain the DOF. The top ball joint has been replaced by a universal joint to avoid rotation in the actuators along the z axis. Similarly, the pivot joint between the two parts of each actuator has been replaced by a slide joint to limit rotation. Finally, the connection between the body and the belts has been simplified as a housing to prevent movement

d. Study protocol

For this study, we have chosen morphometric data to get closer to the European average for a man. We parameterized a height of 1.76 meters, a weight of 74 kilograms and a lumbar disc area of 19.8 cm². The intradiscal pressure (P) was estimated by dividing the force (F) with the

corresponding disc area applying a correction factor. The correction factor considered the non-uniform load distribution in the disc. In accordance with the Nachemson study^{18,19} as confirmed by Brinckmann et al.²⁰ and Cripton et al.²¹, we applied a correction factor of 0.66. Thus, the final formula to calculate the intradiscal pressure was $P=F/(S \times 0.66)$. Even if the actuators of our device can not develop more than 8kg of traction force, we decided to realize extreme simulations of 0 to 100 Kg (25 Kg per actuator) in order to define the optimal traction force and to analyze changes of disc pressure during extreme traction. The measurements were performed for the two lower discs L4L5 L5S1, which are most frequently affected in clinical practice. We also decided to analyze the activity of the lumbar muscles to detect and measure any possible reaction contractile activity.

2.2. Anatomical study

a. Cadaver characteristics

The full body of a 62-year-old woman (height 1.62 m, weight 70 Kg) was used for the study. The cadaver has been prepared using the Biomet liquid. She had no history of spinal surgery. Radiological evaluation confirmed a disc high superior to 10 mm from L3 to S1. Similarly, there was no sclerosis of the endplates or voluminous osteophytes, overall confirming the absence of severe disc degeneration at those levels.

b. Measurement technique

A table of maintenance has been made for this study. The corpse is kept in a sitting position, in rectitude avoiding any support under the arms, likely to reduce the weight of the body. Due to the elasticity of tissues, we waited thirty minutes before starting any measurement to reach the plateau phase. A Jamshidi needle has been inserted percutaneously through a strict midline posterior approach. The placement has been performed under a strict anteroposterior and lateral fluoroscopic control (Figure 4). On the lateral radiograph, the disc was divided into three zones: posterior, medial and anterior (Figure 4C). The needle was placed respectively in the three zones beginning with the posterior, then median and finally anterior. Due to the bony overlapping of the iliac wing, it was difficult to certify the proper positioning of the needle at L5S1 level and measurements were thus made on the L3L4 and L4L5 discs. The device consists of two belts that were tightened at the base of the thorax and on the waist (over the iliac crests), respectively; no rigid attachment to the cadaver was performed, to maintain the potential effects of slips that could be encountered in therapeutic condition.

c. Measurement protocol

After having placed the needle, a pressure sensor was inserted through. We used a Gaeltec sensor coupled to the Picolog analysis software. Once the sensor introduced, awaiting time of 5 minutes was respected in order to reach an equilibrium, because of the minimal tissue lesions induced by the puncture. We then performed five measurements for each zone and for each disc. For each measurement the same protocol has been respected. After recording the base pressure, we applied a force of 5 kg per actuator (total of 20 kg) for 2 minutes. We then recorded the baseline pressure for 5 minutes to regain balance before starting a new measurement. We then performed a prolonged recording to measure the evolution of the disc pressure when a prolonged distraction is applied. We placed the sensor in the middle of the disc and after observing a latency of 5 minutes, we measured the disc pressure while a force of 5 Kg per actuator for 30 minutes was applied.

3. Results

3.1. Numerical assessment: AMS

a. Development of the intradiscal pressure

For the L4L5 disc, it was found that the disc pressure gradually decreased from 0.41 to 0.2 MPa for a total traction force close to 50 Kg. By increasing the tensile force, paradoxically, a rise in the disc pressure was observed (Figure 5A).

For the L5S1 disc, the intradiscal pressure decreased from 0.42 to 0.17 MPa for a total traction force close to 60 Kg. Similarly, by increasing the traction, we observed a rise in the discal pressure that reached 0.2 MPa for 100 Kg of traction (Figure 5B).

Under the conditions of this simulation, the optimum total traction force seems to be close to 50 kg. In addition to safety concerns, a higher traction force seems useless or even deleterious.

b. Muscles activity

At the lumbar level, we have three powerful and stabilizing muscles that are inside and outside, the multifidus, the longissimus and the iliocostal, acting in compression²². The activity of these muscles was recorded in parallel with the disc pressure (Figure 6). We found that there was little muscle activity for traction forces below 40 Kg. Beyond 50 Kg, we

observed a significant and progressive increase in the activity of these three muscles, exceeding twice the basis activity for the longissimus and three times the basis activity for both multifidus and iliocostal.

3.2. Anatomical study

As stated previously, the measurements were performed on L3L4 and L4L5 discs, as L5S1 disc was not easily identifiable on the fluoroscopic control, because of bony overlapping of the iliac wing, which did not allow confirming the proper positioning of the sensor with certainty.

For the L3L4 disc, we measured a significant decrease in intradiscal pressure during the distraction phase which remained stable. This decrease was reproducible in the five completed registrations. Standardized results are shown in Figure 7. We found that the decrease in pressure was greater in the middle and at the back of the disc, whereas it was less significant at the front of the disc. Indeed, the pressure drop reaches 43.96% in the middle, 27.82% in the back and only 17.90% in the front of the disc. For the L4L5 disc, we also measured a significant drop in pressure after activation of the actuators. This decrease was as stable and reproducible in the five recordings made. We found that the pressure drop was more significant at the back and the middle of the disc while it was minimal at the front of the disc. The normalized averages obtained for each zone of each disc are shown in Figure 8.

We also found that the pressure drop obtained under the effect of traction was durable over time. Indeed, the decrease in pressure recorded in the middle of the L3L4 disc remained significant (up to 40%) beyond thirty minutes of continuous traction (Figure 9).

4. Discussion

Low back pain is a common, expensive and disabling condition in industrialized countries¹⁻⁴. The pathophysiology is complex but the exaggerated mechanical stresses were clearly identified as a main pejorative factor. The existing therapeutic solutions are multiple but to date the prognosis is still often unfavourable, reflecting the need for new therapeutic tools²³. Among the existing solutions, traction is very popular but no study has been able to demonstrate its clinical effectiveness in the medium or long term¹⁶. However, experimental studies have shown that traction tables are likely to increase the height of the intervertebral disc and even reduce the conflicts between the disc and the nerve roots in case of associated sciatica²⁴⁻²⁷. To date, the lack of evidence of effectiveness is likely to be related to a lack of technical solution, rather than a lack of concept. In this perspective, we developed an

exoskeleton to obtain a distraction in orthostatism. Thus, the traction can be applied more prolonged, on a subject in a position of function and potentially in motion and in activity insofar as the actuators allow the maintenance of the amplitudes of movement. The objective would be to reduce the mechanical stress exerted on the lumbar disc (L4L5 and L5S1 being the most frequently affected), while maintaining the activity of the patient (recreation or professional). It would also aim to limit the muscular deconditioning caused by the inactivity or rigid contention belts sometimes proposed.

In our study we demonstrated that an external distraction was able to induce a significant decrease in intradiscal pressure. Using the numerical model, we have shown that tensile forces below 50 Kg in total were sufficient. The application of superior forces is useless because it is accompanied by a deleterious increase of the disc pressure. This effect can be explained in large part by the reflex muscular activity (recorded in our study) but also by the elastic properties of the ligament and tendinous structures not represented in the anybody model. Indeed, the developers stated: *“when building the lumbar spine model the original idea was to include ligaments as well. But at some point, we decided not to include the ligaments in the model, because of lack of readily available information about the mechanical properties and slack lengths. We were in fear that ligaments with wrong properties might give worse results than excluding them”*. Note that most traction tables currently apply forces up to 100 Kg in clinical practice^{27,28}. The absence of representation of the ligaments is not the only limit of this model. The skin is also not represented in AMS, and the device had to be attached to the skeleton. As a result, the sliding of the device on the skin and the soft tissues is not taken into account, which may increase the physiological effects observed. In addition, the disc pressure is not directly measured but calculated according to the defined surface and by means of a chosen correction factor, which can be a source of approximation. The biomechanics of the soft tissue should be considered in further more accurate models^{30,31}. In the current study, it was therefore necessary to carry out direct measurements "in vivo" in order to limit these biases.

Unlike cadavers prepared with formaldehyde, we used a BIOMET cadaver, in order to preserve much of the elasticity of the tissues. This cadaveric study has demonstrated that the application of external traction significantly reduces disc pressure. This pressure drop is significant, reproducible and durable over time, as demonstrated during the prolonged recording of thirty minutes, allowing to appreciate the potential therapeutic effects. Note that

we measured larger effects in the front and middle of the disc while the pressure drop was lower at the front of the disc at the L3L4 and L4L5 levels. This is probably related to a postural effect. Indeed, the corpse was sitting slightly leaning forward, which can induce a slight inversion of curvature (lower lordosis) and increase the stresses exerted on the front of the disc^{27,28}.

This cadaveric study has certain limitations. First, muscle activity is non-existent and its effect cannot be measured. In addition, it would have been useful to perform measurements on several subjects to confirm reproducibility. However, the combination of a simulation on a validated model and a cadaveric study with direct measurements, makes it possible to validate the effect of external distraction on the decrease in intradiscal pressure. The therapeutic effect of this device deserves to be carefully studied, and for this an observational clinical study is currently ongoing.

5. Conclusion

In summary, external dynamic distraction device is able to significantly decrease the intradiscal pressure in a sitting or standing position. The effects are obtained using “reasonable” traction forces, inferior to those applied by most conventional traction tables. However, the therapeutic effects need to be proven using clinical studies.

Conflict of interests

None declared.

Acknowledgments

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Legends

Figure 1: Anybody Modeling System default model.

Figure 2: Conceptual design of the device (A). The exoskeleton is composed of two belts tightened at the base of the thorax and on the waist (over the iliac crests), respectively, and linked by four actuators able to apply a traction force of 8 Kg each. The kinematic of the exoskeleton is schematized (B). A simplified kinematic of the exoskeleton has been implemented (C).

Figure 3: Implementation of the exoskeleton in Anybody.

Figure 4: Anatomical protocol. The corpse is placed in a sitting position under strict fluoroscopic control (A). The Jamshidi needle is inserted percutaneously under anteroposterior and lateral fluoroscopy (B). On the lateral view (C) the disc is divided into three zone (posterior, middle, anterior). On the AP view, we ensure the strictly median placement (D).

Figure 5: Evolution of the intradiscal pressure in L4L5 (A) and L5S1 (B) as a function of the total traction force applied.

Figure 6: Evolution of the activity of the three main erector muscles of the back as a function of the total traction force applied.

Figure 7: Evolution of the intradiscal pressure in each zone of the L3L4 disc. Standardized results.

Figure 8: Evolution of the intradiscal pressure (average of the five measurements) for each zone of the L3L4 (A) and L4L5 discs (B).

Figure 9: Prolonged recording in the middle of the L3L4 disc. There is a prolonged and significant decrease in pressure when traction is maintained.

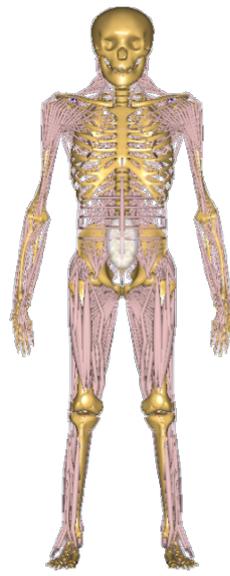
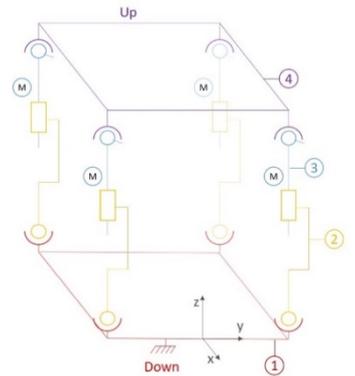
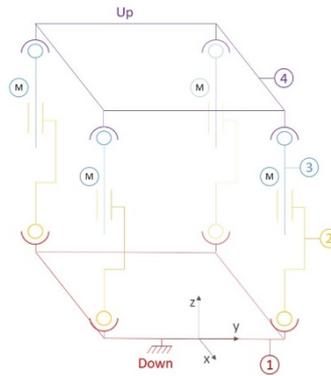
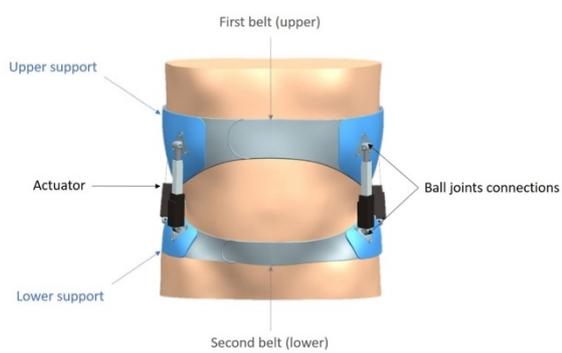


Figure 1: Anybody Modeling System default model



A

B

C

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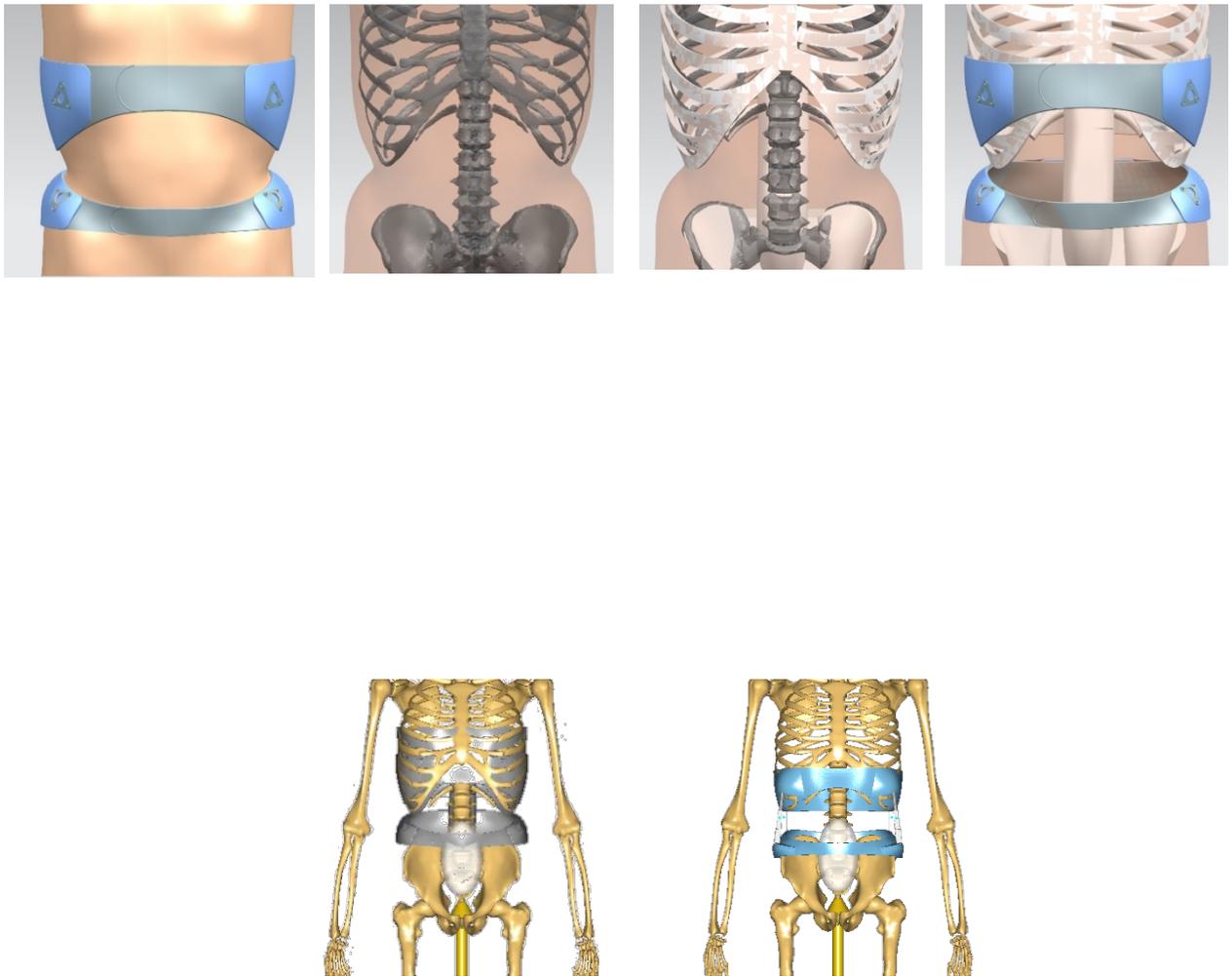


Figure 3: Implementation of the exoskeleton in Anybody.

Different steps: As the simulation does not include the skin, we used the torso on which the exoskeleton was designed to position the device in the simulation. Then, a skeleton has been fitted inside the CAD of the body with size adaptation relative to the body dimension. The skeleton inside the CAD was then fitted to the Anybody Model to position the torso, therefore

fitting the position of the exoskeleton
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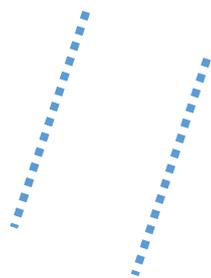
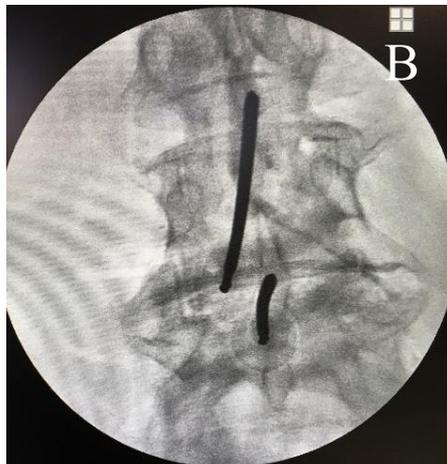




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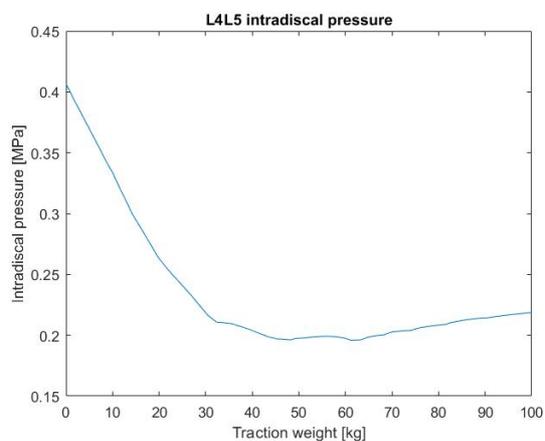


Figure 5: Evolution of the intradiscal pressure in L4L5 (A) and L5S1 (B) as a function of the total traction force applied.

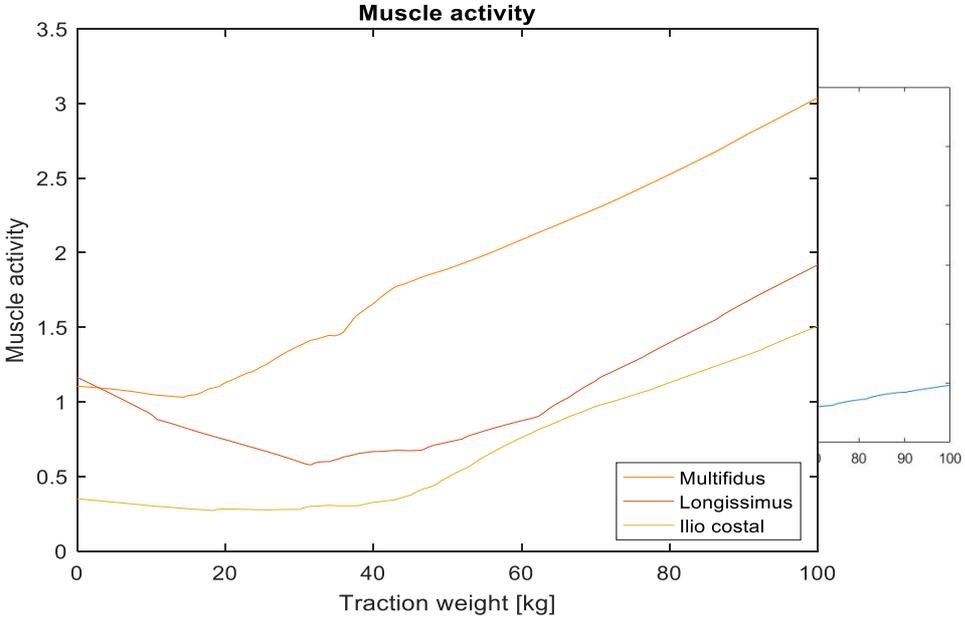


Figure 6: Evolution of the activity of the three main erector muscles of the back as a function of the total traction force applied.

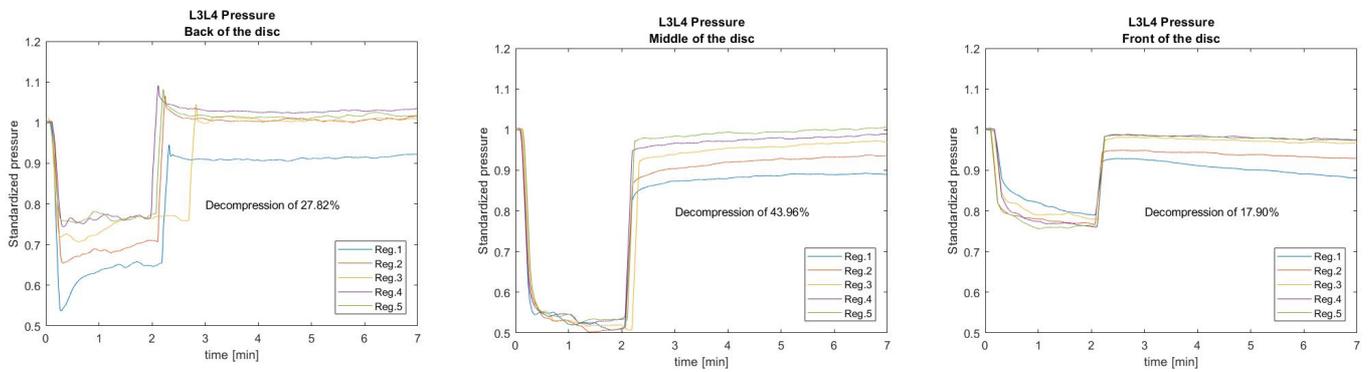
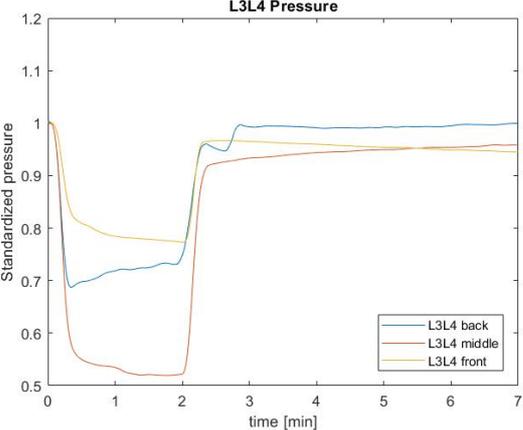


Figure 7: Evolution of the intradiscal pressure in each zone of the L3L4 disc. Standardized results.



A

B

Figure 8: Evolution of the intradiscal pressure (average of the five measurements) for each zone of the L3L4 (A) and L4L5 discs (B).

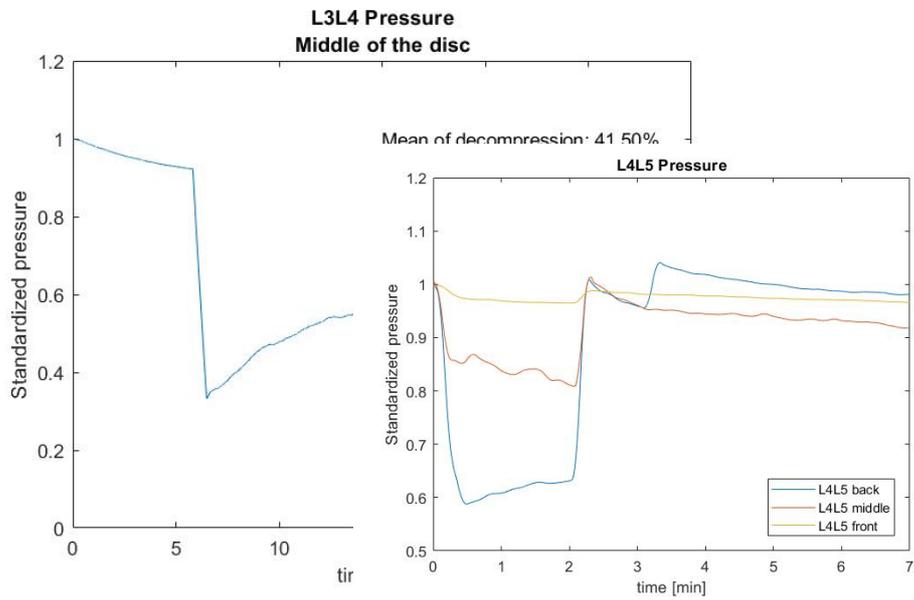


Figure 9: Prolonged recording in the middle of the L3L4 disc. There is a prolonged and significant decrease in pressure when traction is maintained.