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## Experimental investigation of Fe-clay/organic interactions under asteroidal conditions

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19 **Abstract**

20

21 Carbonaceous chondrites contain both soluble and insoluble organic materials (SOM and IOM)  
22 which may have been produced in different environments via different processes or share possible  
23 genetic relationships. The SOM may have been produced from IOM during hydrothermal episodes  
24 on asteroids, and *vice versa*. The potential role played by the mineral matrix during these episodes  
25 (clay minerals of variable crystallinity) remains to be constrained. Here, we exposed a mixture of  
26 formaldehyde and glycolaldehyde with ammonia-bearing liquid water together with Fe-rich  
27 smectitic minerals to hydrothermal conditions mimicking asteroidal conditions. We used both  
28 amorphous gel of smectite or crystalline smectites in order to understand the influence of the  
29 crystallinity on the evolution of OM. The organo-mineral experimental residues were characterized  
30 at a multiple length scales using X-ray diffraction and microscopy/spectroscopic tools. Results  
31 evidence that some IOM polymerizes/condenses in the absence of Fe-rich smectites. Yet, the  
32 presence of Fe-rich smectites inhibits this production of IOM. Indeed, the interactions between the  
33 SOM and clay surfaces (interlayers or edges) reduce the concentration of SOM available for  
34 polymerization/condensation reactions, a necessary step for the production of IOM. In addition,  
35 the presence of OM disorganizes the crystallization of the Fe-rich amorphous silicates, leading to  
36 smaller crystal sized particles exhibiting a lower permanent charge. This might suggest that the  
37 smectite permanent charge distribution may help better constraining the origin and evolution of  
38 chondritic clay minerals. Altogether, the present study sheds new light on the organo-mineral  
39 interactions having occurred during hydrothermal episodes onto/within chondritic asteroids.  
40 Indeed, IOM formation from OM-rich aqueous fluids does not occur during the alteration of  
41 amorphous silicates. This would mean that IOM is either produced within pockets free of clay  
42 minerals or initially accreted as IOM-rich grain. Last, about ~50 wt.% of the initial C could not be  
43 removed from the clay minerals at the end of the experiments using classical solvent extraction  
44 protocols, demonstrating that a high fraction of the SOM in carbonaceous chondrites may have  
45 been overlooked.

46

## 47 **1. Introduction**

48 Carbonaceous chondrites (CC) contain up to 5 wt. % of organic matter (OM) dominated by an  
49 insoluble fraction (IOM - 75 to 90 wt. %) associated with a minor soluble fraction (SOM - 10 to  
50 25 wt%) (Robert and Epstein, 1982; Pearson et al., 2006; Alexander et al., 2007, 2017; Sephton,  
51 2014; Remusat, 2015). While the SOM is composed of a diversity of small organic molecules (such  
52 as carboxylic acids, amino acids, nucleobases, polycyclic aromatic hydrocarbons, sugars...)  
53 (Pizzarello et al., 2006), the IOM consists in high molecular weight molecules made of aromatic  
54 units and short aliphatic chains rich in hetero-elements (Cody and Alexander, 2005; Remusat et al.,  
55 2005a; Remusat et al., 2019; Alexander et al., 2007; Derenne and Robert, 2010; Vinogradoff et al.,  
56 2017).

57 The possible genetic relationships between the SOM and the IOM remains a subject of  
58 investigations, notably because these compounds, potentially produced in different environments  
59 via different processes (Kerridge, 1993; Remusat et al., 2009; Aléon, 2010), have undergone  
60 multiple processes since their accretion onto/by parent bodies (McSween, 1979; Brearley, 2006;  
61 Danger et al., 2021). In fact, the presence of mineral products of hydrothermal alteration (e.g.,  
62 smectite and/or serpentine phyllosilicates, carbonates and/or sulfides) have been reported in all  
63 CCs (Brearley, 2006; Le Guillou and Brearley, 2014; Le Guillou et al., 2014; King et al., 2015;  
64 Yesiltas and Kebukawa, 2016; Vinogradoff et al., 2017; Changela et al., 2018; Dionnet et al., 2018;  
65 Nittler et al., 2019; Vollmer et al., 2020).

66 Recent laboratory experiments demonstrated that IOM may form from SOM under asteroidal  
67 hydrothermal conditions. In fact, when exposed to 150°C, a mixture of formaldehyde and  
68 glycolaldehyde within liquid water containing ammonia will evolve into a diversity of soluble

69 compounds together with macromolecular organic solids sharing similarities with the chondritic  
70 IOM through formose and condensation reactions (Cody et al., 2011; Kebukawa et al., 2013, 2017,  
71 2020; Kebukawa and Cody, 2015). Similar results were obtained using HMT  
72 (Hexamethylenetetramine - Vinogradoff et al., (2018)), i.e. the main product component of  
73 interstellar ice analogs (Bernstein et al., 1995; Cottin et al., 2001; Vinogradoff et al., 2011). This  
74 molecule decomposes into formaldehyde and ammonia in water at temperature  $> 70$  °C (Meissner  
75 et al., 1954; Blazzevjic et al., 1979) producing solid macromolecular carbon insoluble in organic  
76 solvents within a few days at 150°C (Vinogradoff et al., 2018).

77 Yet, the possible role played by smectites in the production of IOM from SOM under asteroidal  
78 hydrothermal conditions (i.e.  $\sim 50$  to  $\sim 200$ °C - Brearley, (2006)) requires further investigations. In  
79 fact, smectites closely associated to OM have been reported in chondrite matrices (Pearson et al.,  
80 2006; Garvie and Buseck, 2007; Le Guillou and Brearley, 2014; Le Guillou et al., 2015; Yesiltas  
81 and Kebukawa, 2016; Vinogradoff et al., 2017; Changela et al., 2018) and recent experimental  
82 studies have revealed that smectitic clay minerals may have a strong influence on organic reactions  
83 under hydrothermal conditions (Viennet et al., 2019, 2020; Vinogradoff et al., 2020a, b). While  
84 OM may influence smectite crystallization (Jacquemot et al., 2019), clay minerals may  
85 promote/catalyze various organic reactions (including cyclization, dehydration, Michael-  
86 addition...) even at temperatures below 100°C (Nagendrappa, 2011; Nagendrappa and Chowreddy,  
87 2021). This is particularly the case of Fe-rich clay minerals, because the reactivity of some organic  
88 reactions in liquid water are enhanced in appropriate redox conditions (Lewan, 1997; Seewald,  
89 2001; McCollom and Seewald, 2006; Pan et al., 2009; McCollom et al., 2010; Lewan and Roy,  
90 2011; Foustoukos and Stern, 2012; McCollom, 2013).

91 Here, we document the hydrothermal evolution of a mixture of formaldehyde and glycolaldehyde

92 within liquid water containing ammonia in the presence of Fe-rich smectitic phase, either  
93 amorphous or crystalline. The organo-mineral experimental residues were characterized at a  
94 multiple length scale using X-ray diffraction and advanced microscopy and spectroscopy tools,  
95 including infrared spectroscopic, scanning electron microscopy, scanning transmission X-ray  
96 microscopy coupled with X-ray absorption near edge spectroscopy and scanning transmission  
97 electron microscopy coupled with energy dispersive X-ray spectroscopy.

## 98 **2. Materials and methods**

### 99 **2.1. Starting Materials**

100 The organic starting material used for the present experiments is similar to that of previous studies  
101 (Cody et al., 2011; Kebukawa et al., 2013, 2017, 2020; Kebukawa and Cody, 2015). As done by  
102 Ricardo (2004), paraformaldehyde (1.5 mmol - 45 mg - Sigma Aldrich) and glycolaldehyde (1.5  
103 mmol - 45 mg - Sigma Aldrich) were mixed within 2mL of pure water in which was added 12 mg  
104 of Ca(OH)<sub>2</sub> (Sigma Aldrich). Nitrogen was added as NH<sub>4</sub>OH (20 μl - 14.8N - Sigma Aldrich)  
105 establishing the initial atomic N/C value at 0.1 (33 wt.% of C and 3.8 wt.% of N).

106 Pure nontronite (Na<sub>0.4</sub>(Fe(III)<sub>2</sub>)(Si<sub>3.6</sub>Fe(III)<sub>0.4</sub>)O<sub>10</sub>(OH)<sub>2</sub>) was used as the Fe-rich smectitic mineral  
107 phase. An amorphous gel of nontronite was obtained by mixing SiNa<sub>2</sub>O<sub>3</sub>·5H<sub>2</sub>O (Sigma Aldrich)  
108 and Fe(III)Cl<sub>3</sub>·6H<sub>2</sub>O (Sigma Aldrich) following the procedure detailed in Baron et al. (2016) and  
109 Petit et al. (2017). Salts and cations in excess were removed via filtration. The crystalline nontronite  
110 was synthesized by submitting the amorphous gel of nontronite to hydrothermal conditions (3 days  
111 at 150°C - Water/Gel of 3/50) in PTFE Parr© reactors. Washing with dichloromethane was  
112 performed to remove potential organic contamination. These mineral starting materials were then  
113 dried at room temperature (~ 25°C) over a day.

114

## 115 **2.2. Laboratory Experiments**

116 A first experiment was conducted in the absence of mineral. Confirming previous studies  
117 (Kebukawa and Cody, 2015), this experiment led to the production of IOM. For the experiments  
118 with minerals, 100 mg of either the crystalline nontronite or the nontronite gel were added to the 2  
119 mL solution containing the organic mixture. These organo-mineral mixtures were introduced  
120 within titanium Parr© reactors loaded with 1 bar of Ar before closure and placed at 150 °C for 3  
121 days (making them reach an authigenic water pressure of 5 bars). Note that, the NH<sub>4</sub>OH solution  
122 was introduced the latest, just before closing the reactors to avoid degassing of NH<sub>3</sub>(g). In  
123 agreement with previous studies (Baron et al., 2016), after 3 days at 150 °C, the amorphous gel  
124 crystallizes in the absence of the organic mixture (Baron et al., 2016) and the IOM yield is maximal  
125 in the absence of mineral (Kebukawa and Cody, 2015).

126 The experimental residues were filtered and washed with ultrapure water and dichloromethane  
127 (DCM) to remove the free soluble organic compounds (i.e. the soluble organic compounds not  
128 retained by the solid phases) and dried under vacuum ( $3 \cdot 10^{-4}$  mBar) before characterization. Ten  
129 milligrams of each solid residue were subjected to HF/HCl dissolution protocols (Remusat et al.,  
130 2005b) to isolate the IOM possibly produced during the experiments. A 2:1 volume ratio HF/HCl  
131 (16/2 N) treatment was conducted for 24 hours, at room temperature. Note that, no IOM was  
132 recovered from the experiments with minerals. Control experiments without the organic mixture  
133 were also conducted to ensure the absence of contamination (Table S1 - Figure S1).

134

## 135 **2.3. Characterization techniques**

136 *2.3.1. Elemental analyses*

137 Total carbon and nitrogen contents were determined using a Flash2000, Thermo Fisher, elemental  
138 analyzer operating at the Service de Spectrometry de Masse Isotopique du Museum (SSMIM) at  
139 MNHN in Paris (France). A mass of 1 mg of each residue was combusted under oxygen/helium  
140 flux at 1020°C. The N<sub>2</sub> and CO<sub>2</sub> released by combustion were separated by a chromatography  
141 column and quantified using a thermal conductivity detector. Alanine was used as standard giving  
142 uncertainties at 0.1 wt. % for N and 0.2 wt. % for C.

143 *2.3.2. XRD*

144 The X-ray diffraction (XRD) patterns were obtained on a Panalytical X'pert Pro MPD 2 circles  
145 operating at IMPMC (Paris, France) The divergence slit, the anti-scatter slit and the two Soller slits  
146 were set at 0.5°, 1°, 0.04° and 0.04 radian, respectively. The bulk XRD measurements were  
147 performed on powder preparations throughout the 3-65 °2θ CoKα<sub>1,2</sub> angular range (step size of  
148 0.033 °2θ, counting time per step of 250 ms). The experiments dedicated to OM location were  
149 performed on oriented preparations at both atmospheric pressure and under vacuum (3.10<sup>-4</sup>  
150 atmosphere) at 20 °C using an Anton Parr HTK 1200 oven coupled to an EDWARDS RV3 pump  
151 throughout the 3-12 °2θ CoKα<sub>1,2</sub> angular range (step size of 0.033 °2θ, counting time per step of  
152 250 ms).

153 *2.3.3. MIR*

154 Mid-infrared (MIR) spectra were recorded in the 400-4000 cm<sup>-1</sup> range with a 4 cm<sup>-1</sup> resolution  
155 using a Nicolet 6700 FTIR spectrometer (IMPMC, Paris) equipped with a KBr beamsplitter and a  
156 DTGS-KBr detector, under ambient conditions by averaging 200 scans obtained in attenuated total  
157 reflectance (ATR) geometry using a Specac Quest ATR device fitted with a diamond internal  
158 reflection element. Transmission MIR spectra were obtained in the 400-4000 cm<sup>-1</sup> range with a 4



159 cm<sup>-1</sup> resolution using a Nicolet™ iS™ 50 FTIR spectrometer (IMPMC, Paris) equipped with a KBr  
160 beamsplitter, an Ever-Glo source and a DTGS-KBr detector, by averaging 200 scans from KBr  
161 pellets dried at 110°C obtained by mixing 1 mg of samples with 150 mg of KBr (Sigma Aldrich).

#### 162 2.3.4. SEM

163 Scanning electron microscopy (SEM) investigations were performed on powders deposited on  
164 carbon tape using the SEM-FEG ZEISS ULTRA 55 (IMPMC, Paris) equipped with a Bruker EDS  
165 QUANTAX detector (Bruker Corporation, Houston, TX, USA). Images shown here (secondary  
166 electrons) were collected using an acceleration voltage below 2 kV, thereby preventing irradiation  
167 damages.

#### 168 2.3.5. Cryo-ultramicrotomy

169 Cryo-ultramicrotome sections (100 nm thick) were prepared for X-ray absorption near edge  
170 structure (XANES) and transmission electron microscopy (STEM) investigations using a Leica  
171 cryo-ultramicrotome at UMET (Lille, France) following a recently developed protocol (Jacquemot  
172 et al., 2019; Viennet et al., 2019, 2020). Powders of experimental residues were mixed with 0.1 ml  
173 of deionized water, then a drop of the mixture was frozen in liquid nitrogen at -120 °C and cut. The  
174 ultrathin slices of residues were then deposited on holey carbon film TEM grids (coated with a ~3  
175 nm chromium layer).

#### 176 2.3.6. XANES

177 XANES data were collected using the HERMES STXM beamline at the synchrotron SOLEIL  
178 (Belkhou et al., 2015; Swaraj et al., 2017). Beamline optical elements were exposed to a continuous  
179 flow of pure O<sub>2</sub> to remove carbon contamination. Energy calibration was done before  
180 measurements using the well-resolved 3p Rydberg peak of gaseous CO<sub>2</sub> at 294.96 eV. XANES  
181 data were extracted from image stacks collected at energy increments of 0.1 eV over the carbon

182 (270–350 eV) absorption range with a dwell time of  $\leq 1$  ms per pixel to prevent irradiation damage  
183 (Wang et al., 2009). Alignment of stack images and extraction of XANES spectra were done using  
184 the aXis2000 software. The C-XANES spectra shown here correspond to homogenous carbon-rich  
185 areas of several hundreds of square nanometers. Following the method described in Le Guillou et  
186 al. (2018), background was subtracted using a power law before spectra were normalized to the  
187 carbon quantity by integrating the spectra from the pre-edge region up to the mean ionization  
188 energy (e.g. 282.0-291.5 eV at the C K edge).

### 189 2.3.7. TEM

190 Scanning transmission electron microscopy (STEM) and electron dispersive spectroscopy (EDS)  
191 mapping were performed using a Thermofisher Titan Themis 300 microscope operated at 300 keV,  
192 at the “centre commun de microscopie – CCM” at the University of Lille. High resolution images  
193 were obtained in STEM mode using the high angle annular dark field detector (HAADF), a  
194 convergence angle of 20 mrad, a camera length of  $\sim 150$  mm, a beam current below 200 pA and a  
195 dwell time between 5 and 10  $\mu$ s. Hyperspectral EDS data were obtained using the super-X detector  
196 system equipped with four windowless silicon drift detectors. The probe current was set at 600 pA  
197 with a dwell time of 2  $\mu$ s per pixel. A key aspect is the post-acquisition processing of the  
198 hyperspectral data, performed using the Hyperspy python-based package (de la Pena et al., 2017).  
199 The EDS spectrum at each pixel was fitted by a combination of Gaussian functions for the X-ray  
200 lines and a 6<sup>th</sup> order polynomial function for the background. Quantification was achieved using  
201 the integrated intensities of the Gaussian through the Cliff-Lorimer method (Cliff and Lorimer,  
202 1975), using experimentally determined k-factors. The thickness x density product strongly affects  
203 the X-ray reabsorption of the light elements (O, Fe\_L). It was determined using the two-lines

204 method (Morris, 1980), which compares the quantification obtained from the Iron L-lines and Iron  
205 K-lines and accurate absorption correction was performed.

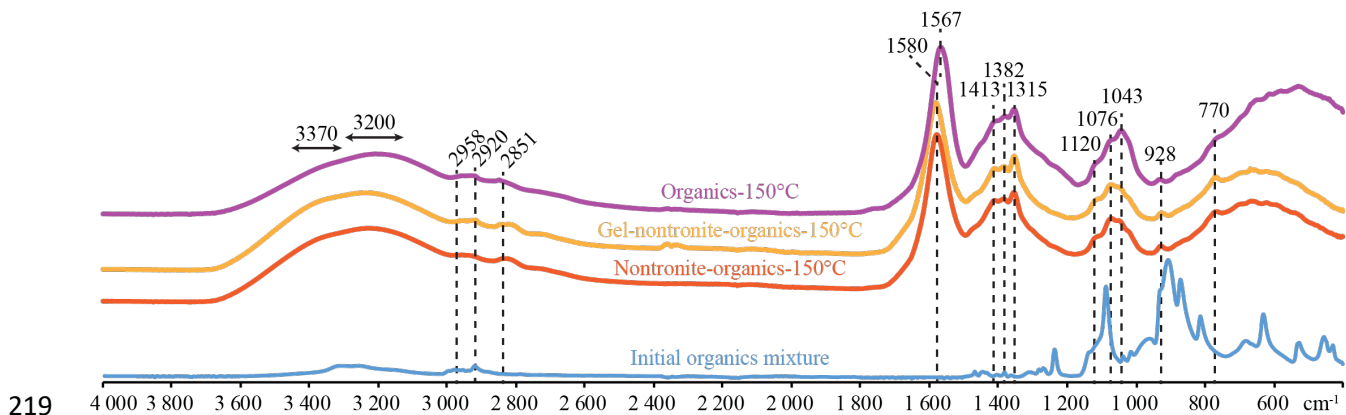
206

207 **3. Results**

208 **3.1. MIR spectra of the SOM**

209 In the following, we focus on the characterization of the DCM-washed solid residues. Of note, only  
210 the residue produced in the absence of minerals contained IOM, although the free soluble organic  
211 compounds extracted using DCM exhibit very similar MIR spectra (Figure 1). The spectra exhibit  
212 stretching of O-H or N-H bonds at 3370 and 3200  $\text{cm}^{-1}$ , of aliphatic C-H at 2959  $\text{cm}^{-1}$  and 2920  
213  $\text{cm}^{-1}$ , of C-H of -O-CH<sub>x</sub> bonds at 2851  $\text{cm}^{-1}$  (Kebukawa et al., 2020), N-H bonds in amide at 1567-  
214 1580  $\text{cm}^{-1}$ , of C-H, C-N, O-H bonds at 1412, 1382, 1315  $\text{cm}^{-1}$ , of C-O in alkoxy at 1043, 1076,  
215 1120  $\text{cm}^{-1}$ , and C-H bending in alkene at 928 and 770  $\text{cm}^{-1}$ . Note that the MIR spectra of the initial  
216 OM exhibit important differences than for the free soluble organic compounds demonstrating the  
217 OM evolution due to the experimental hydrothermalism.

218



219

220 **Figure 1:** ATR-MIR spectra of initial OM and of the free soluble organic compounds extracted  
221 using DCM from the experimental residues.

222

223 **3.2. TOC and N/C**

224 Here, we focus on the characterization of the DCM-washed solid residues of experiments. The  
 225 experiments conducted in the absence of minerals lead to the production of a solid organic residue  
 226 insoluble in organic solvents, i.e. to the production of IOM. This IOM contains 65 wt.% of carbon  
 227 (24 % of the initial mass of carbon) and 7.3 wt.% of nitrogen (22.8 % of the initial mass of nitrogen).  
 228 In contrast, no IOM was recovered after the dissolution of the silicates using acid demineralization.  
 229 The solid residues produced in the presence of the crystalline nontronite or in the presence of the  
 230 gel of nontronite contain 14.3 and 14.1 wt.% of carbon (54.3 and 50.1 % of the initial carbon,  
 231 respectively) and 2 and 1.9 wt% of nitrogen (64.4 and 56.2 % of the initial nitrogen, respectively).  
 232 This OM exhibits slightly higher N/C values (0.12) than those of the starting organic material and  
 233 of the IOM produced in the absence of minerals (0.10).

Starting Materials	Organic-150°C	Nontronite Organics	Gel-nontronite Organics
Initial mass of organic mixture (mg)	102	102	102
Initial mass of minerals (mg)	0	100	100
Final mass of solids (mg)	12.4	127.7	119.8
Initial % <sub>wt</sub> C	33.0	16.7	16.7
Final % <sub>wt</sub> C	64.8	14.5	13.2
Initial mass of C (mg)	33.7	33.7	33.7
Final mass of C (mg)	8.0	18.5	15.8
Percentage of initial C trapped (%)	23.8	54.9	47.0
Initial % <sub>wt</sub> N	3.8	1.9	1.9

Final % wt N	7.3	2.0	1.9
Initial mass of N (mg)	3.9	3.9	3.9
Final mass of N (mg)	0.9	2.5	2.2
Percentage of initial N trapped (%)	23.0	64.4	56.2
Final N/C of the solid residue	0.10	0.12	0.12
IOM	Yes	No	No

234 *Table 1: Carbon and nitrogen contents in solid experimental residues and N/C values.*

235

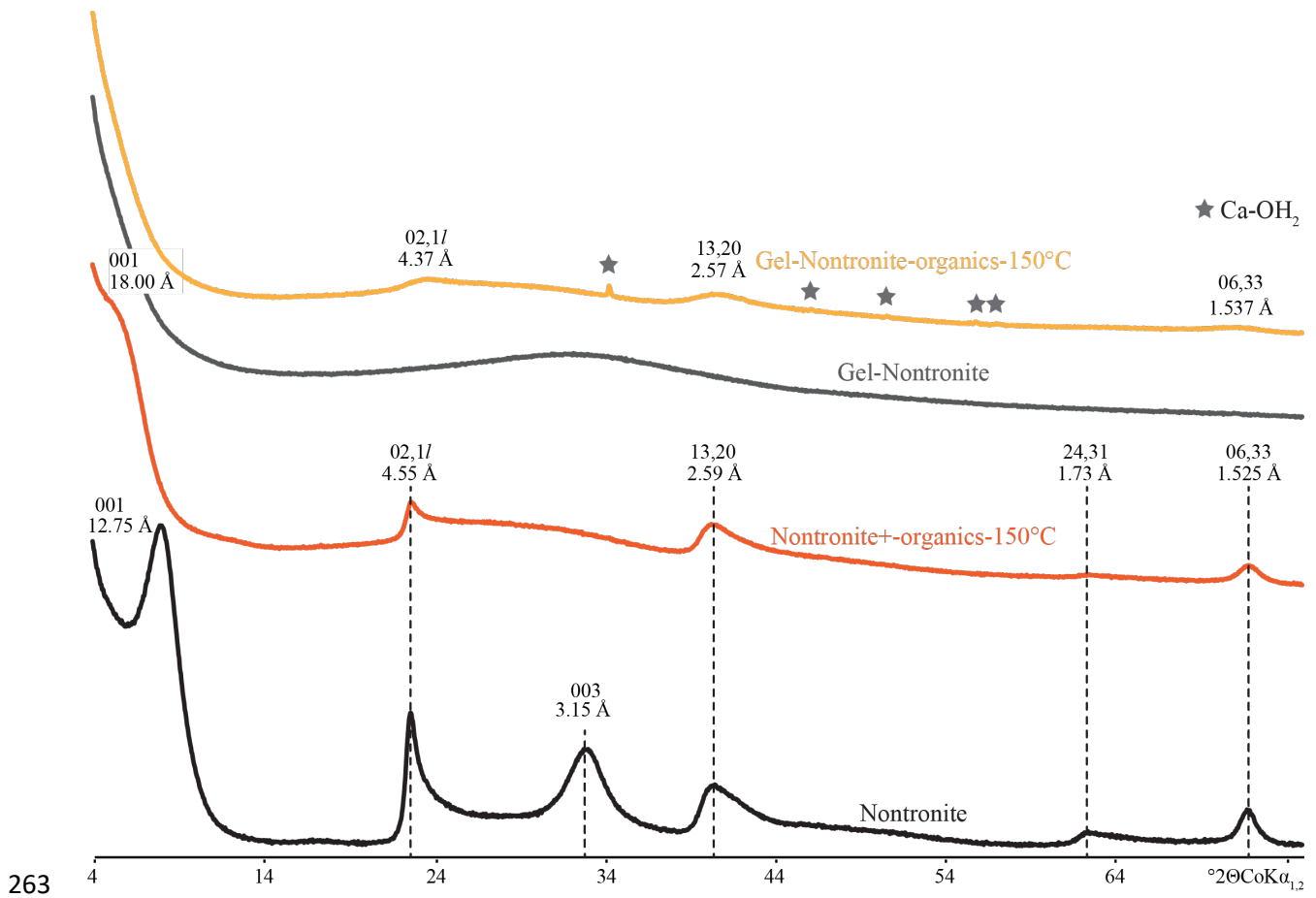
### 236 3.3. XRD

237 XRD provide information on the nature and crystallinity of the solid fraction of the residues (Figure  
238 2). Nontronite is a smectite made of 2:1 layers composed of an octahedral sheet sandwiched  
239 between two tetrahedral sheets. Isomorphic substitution by a lower charge cation in the tetrahedral  
240 sheets ( $\text{Si}^{4+}$  substitution by  $\text{Fe}^{3+}$ ) induces a negative charge, called “permanent charge”. The latter  
241 is compensated by exchangeable cations present either within the interlayer space (originally  $\text{Na}^+$   
242 here) or on the basal planes. In addition, other charges due to crystal defects, such as silanol bonds  
243 are present on the edges of the clay minerals sheets.

244 In the absence of the organic mixture, the gel of nontronite (initially amorphous, as indicated by its  
245 XRD pattern – Figure 2) crystallizes into a low charge nontronite, as attested by the XRD peaks at  
246 4.55, 3.15, 2.59, 1.73 and 1.525 Å corresponding to the 0.2.11, 004, 13.20, 15.24.31 and 06.33  
247 reflections of the crystalline nontronite (Baron et al., 2016). In contrast, in the presence of the  
248 organic mixture, the nontronite gel does not crystallize that well. The XRD pattern of the solid  
249 residue do not show the 001 reflection. The wide peaks at 4.37, 2.57 and 1.537 Å correspond to the  
250 0.2.11, 13.20 and 06.33 reflections of a poorly crystalline nontronite (Baron et al., 2019). The

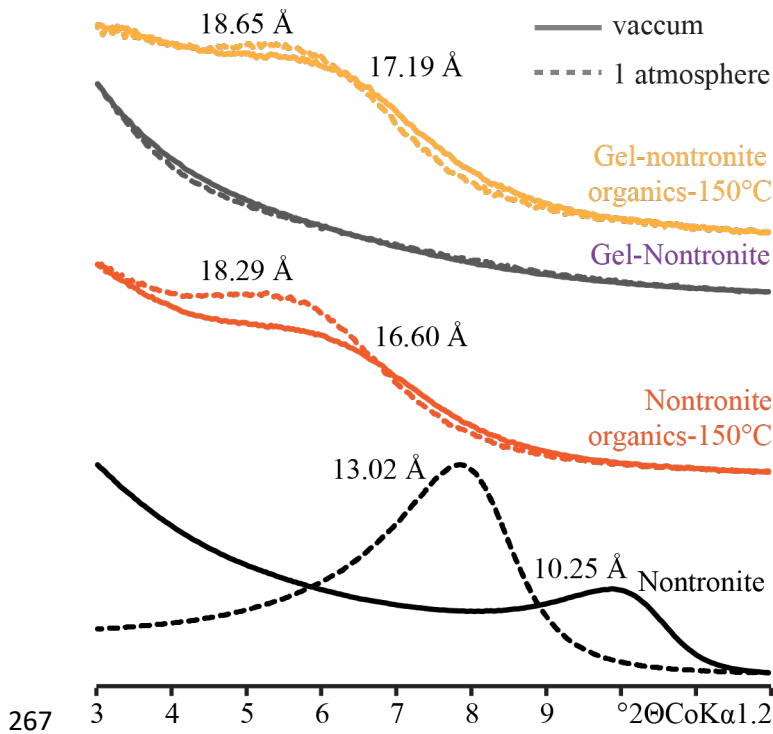
251 crystallinity of the crystalline nontronite also decreases during the experiments conducted in the  
252 presence of the organic mixture as evidenced by the broadening of the hkl reflections. In addition,  
253 the 001 reflection of the solid residue is shifted to 18.00 Å, likely due to mixed-layer stacking  
254 and/or trapping of OM into its interlayer space (Laird, 1994; Lagaly et al., 2013; Viennet et al.,  
255 2015, 2016, 2019, 2020; Gautier et al., 2017). Such trapping of organic carbon within the interlayer  
256 spaces of the nontronites seems to be confirmed by XRD measurements performed under vacuum  
257 (Figure 3). In fact, under vacuum, the distance corresponding to the 001 reflection of the residues  
258 produced in the presence of the organic mixture do not collapse, in contrast to that of the crystalline  
259 nontronite that were never exposed to the organic mixture. Indeed, only small shifts of their 001  
260 reflections attest that the interlayer spaces of these smectites are locked by organic compounds  
261 (Viennet et al., 2019, 2020).

262



263  
 264 **Figure 2:** XRD patterns of the starting gel of nontronite, the starting nontronite and the solid  
 265 residues of experiments. The small peaks at 3.03, 2.49, 2.28, 2.09, 1.91, 1.87 Å (grey stars) are  
 266 attributed to  $\text{CaOH}_2$ .





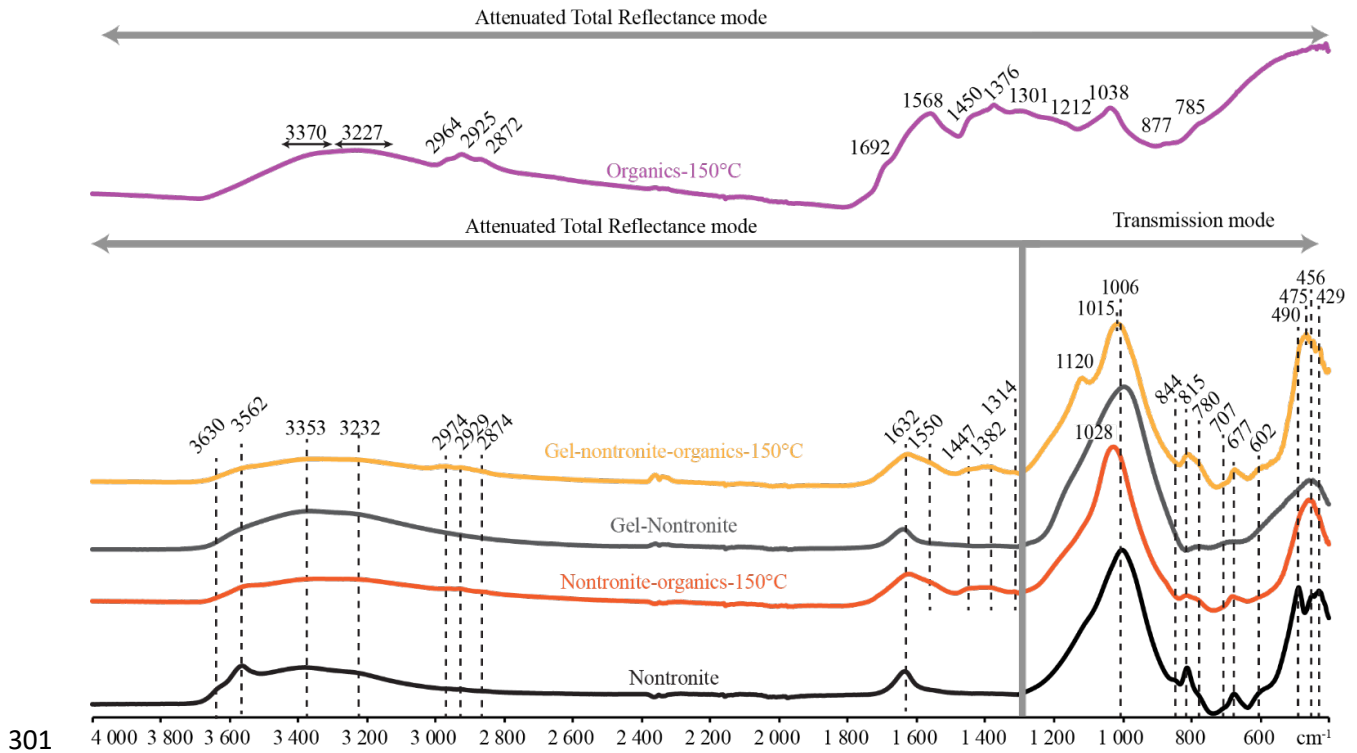
267  
 268 **Figure 3:** XRD patterns under ambient pressure and under vacuum of the starting gel of nontronite,  
 269 the starting nontronite, and the solid residues of experiments. Note that the 001 reflection of the  
 270 residues produced in the presence of the organic mixture do not shift to  $\sim 10 \text{ \AA}$  under vacuum,  
 271 indicating the presence of OM within the interlayer space of the nontronites.

272

### 273 3.4. MIR

274 Transmission-MIR investigations in the  $400\text{-}1300 \text{ cm}^{-1}$  range confirm that, the crystalline  
 275 nontronite is a low charge smectite (Figure 4). In fact, spectra show sharp bands attributed to  
 276 stretching of Si-O ( $1008 \text{ cm}^{-1}$ ), bending of  $\text{Fe}^{3+}_2\text{-OH}$  ( $816 \text{ cm}^{-1}$ ), symmetrical stretching of Si-O-Si  
 277 ( $780 \text{ cm}^{-1}$ ),  $^{[4]}\text{Fe(III)-O}$  vibrations ( $707 \text{ cm}^{-1}$ ),  $^{[6]}\text{Fe(III)-O}_{\text{apical}}$  vibrations ( $677 \text{ cm}^{-1}$ ) and Si-O<sub>apical</sub>-  
 278  $^{[6]}\text{Fe(III)}$  vibrations (around  $600 \text{ cm}^{-1}$ ) (Farmer, 1974; Baron et al., 2016). Of note, below  $600 \text{ cm}^{-1}$   
 279  $^{[6]}\text{Fe(III)}$  and at  $840 \text{ cm}^{-1}$ , the attribution of the vibration bands remains complex (Baron et al., 2016). The

280 band at  $3630\text{ cm}^{-1}$  corresponds to the OH stretching vibrations of water molecules weakly bonded  
281 to the oxygen of the silicate lattice (Figure 4, Farmer and Russell, 1971). In addition, the bands at  
282  $1632$ ,  $3232$  and  $3353\text{ cm}^{-1}$ , correspond to the vibrations of OH bonds in  $\text{H}_2\text{O}$ . The MIR spectra of  
283 the solid residues produced in the presence of the organic mixture exhibit the main absorption  
284 bands of nontronite, but these bands are rather wide compared to the sharp bands of crystalline  
285 nontronite, also indicating a lower degree of crystallinity (Baron et al., 2016). Plus, the bands  
286 attributed to the stretching of Si-O bonds are shifted at  $1028$  and  $1015\text{ cm}^{-1}$  for the residues  
287 produced with the crystalline nontronite and with the gel of nontronite, respectively, which can be  
288 explained by a slightly lower charge compared to that of the starting nontronite (Baron et al., 2016).  
289 A lower charge may also explain the lower intensity of the band at  $677\text{ cm}^{-1}$  and the higher intensity  
290 of the band at  $707\text{ cm}^{-1}$ , attributed to  $^{[4]}\text{Fe(III)-O}$  vibrations and  $^{[6]}\text{Fe(III)-O}_{\text{apical}}$  vibrations,  
291 respectively (Baron et al., 2016), while interactions with OM may explain the lower intensity of  
292 the band at  $815\text{ cm}^{-1}$ , attributed to the bending of  $\text{Fe}^{3+}_2\text{-OH}$  bonds. Compared to those of crystalline  
293 nontronite, the spectra of the residues produced in the presence of the organic mixture exhibit a  
294 broader and less intense band at  $3562\text{ cm}^{-1}$ , corresponding to the stretching of  $\text{Fe}^{3+}_2\text{-OH}$  in  
295 octahedral position (Baron et al., 2016). This evolution indicates either a lower degree of  
296 crystallinity and/or interactions of OM with the structural OH, which is consistent with  
297 transmission-MIR data. In addition, the band at  $3630\text{ cm}^{-1}$ , corresponding to the stretching of OH  
298 vibrations of water molecules weakly bonded to the oxygen of the silicate lattice (Farmer and  
299 Russell, 1971), is weaker in presence of organics, indicating either a lower degree of crystallinity  
300 and/or interactions of OM with the edges of smectites.



301

302

303 **Figure 4:** Infrared spectra in ATR mode from 4000 to 1300  $\text{cm}^{-1}$  and in transmission mode from  
 304 1300 to 400  $\text{cm}^{-1}$  of the pristine materials, of the organo-minerals residues and the mineral-free  
 305 experiment (organics-150°C, in purple).

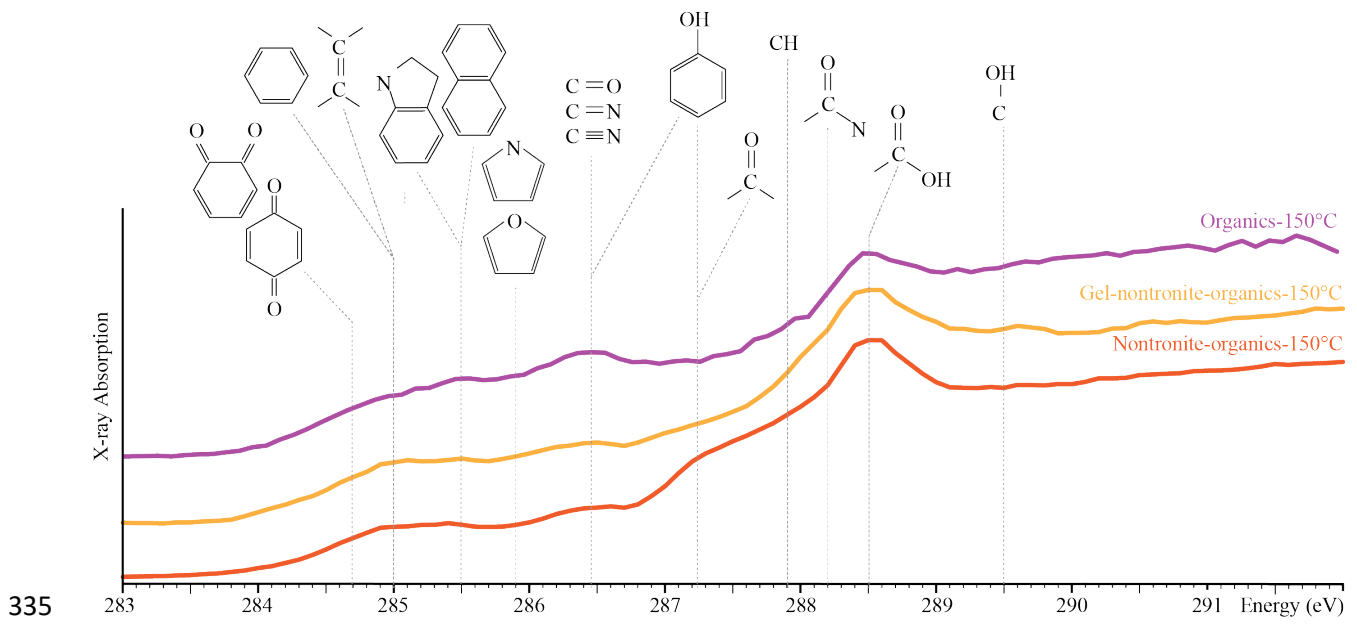
306 ATR-MIR spectra also provide information on the chemical nature of the organic fraction of the  
 307 residues (Figure 4). In addition to a large band centered at 3227  $\text{cm}^{-1}$  attributed to O-H bonds, the  
 308 spectrum of the IOM produced in the absence of minerals shows bands at 1376 and 1450  $\text{cm}^{-1}$  and  
 309 at 2872, 2925 and 2964  $\text{cm}^{-1}$  attributed to the bending and stretching of aliphatic C-H, bands at 785  
 310 and 877  $\text{cm}^{-1}$  attributed to the bending of aromatic C-H, bands at 1038, 1212 and 1301  $\text{cm}^{-1}$   
 311 attributed to the stretching of alcohol C-O, a band at 1692  $\text{cm}^{-1}$  attributed to the stretching of  
 312 amide/ester C=O and a band at 1556  $\text{cm}^{-1}$  attributed to the bending of amide N-H (Bernard et al.,  
 313 2015). In contrast, the spectra of the organic compounds trapped within the residues produced from

314 the organic mixture in the presence of the crystalline nontronite or the gel of nontronite show bands  
315 at 1383 and 1447  $\text{cm}^{-1}$  and at 2874, 2929 and 2974  $\text{cm}^{-1}$  attributed to the bending and stretching of  
316 aliphatic C-H (although the band at 1447  $\text{cm}^{-1}$  may also be attributed to  $\text{R-NH}_3^+$ ), a band at 1314  
317  $\text{cm}^{-1}$  attributed to the bending vibration of phenol C-O, and a band at 1550  $\text{cm}^{-1}$  attributed to the  
318 bending of amide N-H (Yariv and Cross, 2001; Gautier et al., 2017; Viennet et al., 2019, 2020).

319

### 320 **3.5. XANES**

321 The XANES spectra collected at the carbon edge on ultramicrotome sections also provide  
322 information on the chemical nature of the organic fraction of the residues (Figure 5). The XANES  
323 spectrum of the IOM produced in the absence of minerals shows peaks attributed to aromatic and  
324 olefinic carbons (285.0 eV), conjugated aromatic cycles (285.5 eV), heterocycles (286.0 eV),  
325 amine/imine/cyano groups and/or ketone and phenol groups (286.5 eV), aliphatic carbons (287.9  
326 eV), amide groups (288.2 eV) and carboxylic groups (288.5 eV) (Alleon et al., 2017; Le Guillou  
327 et al., 2018). No IOM was produced in the presence of the crystalline nontronite, the organic carbon  
328 trapped within the solid residue exhibits different spectrum, with peaks at the same energies  
329 indicating a roughly similar chemistry, although this carbon appears to contain a bit more aromatic  
330 and/or olefinic carbons (285.1 eV), more phenol carbons (287.2 eV) and a bit more aliphatic  
331 carbons (287.9-288.5 eV). The organic carbon trapped within the solid residue produced in the  
332 presence of the gel of nontronite also contains more aromatic and/or olefinic carbons (285.0 eV)  
333 and much more aliphatic carbons (287.9-288.5 eV) and amide groups (288.2), but less heterocycles  
334 ( 285.9 eV) and less amine/imine/cyano groups and/or ketone and phenol groups (286.5 eV).



336

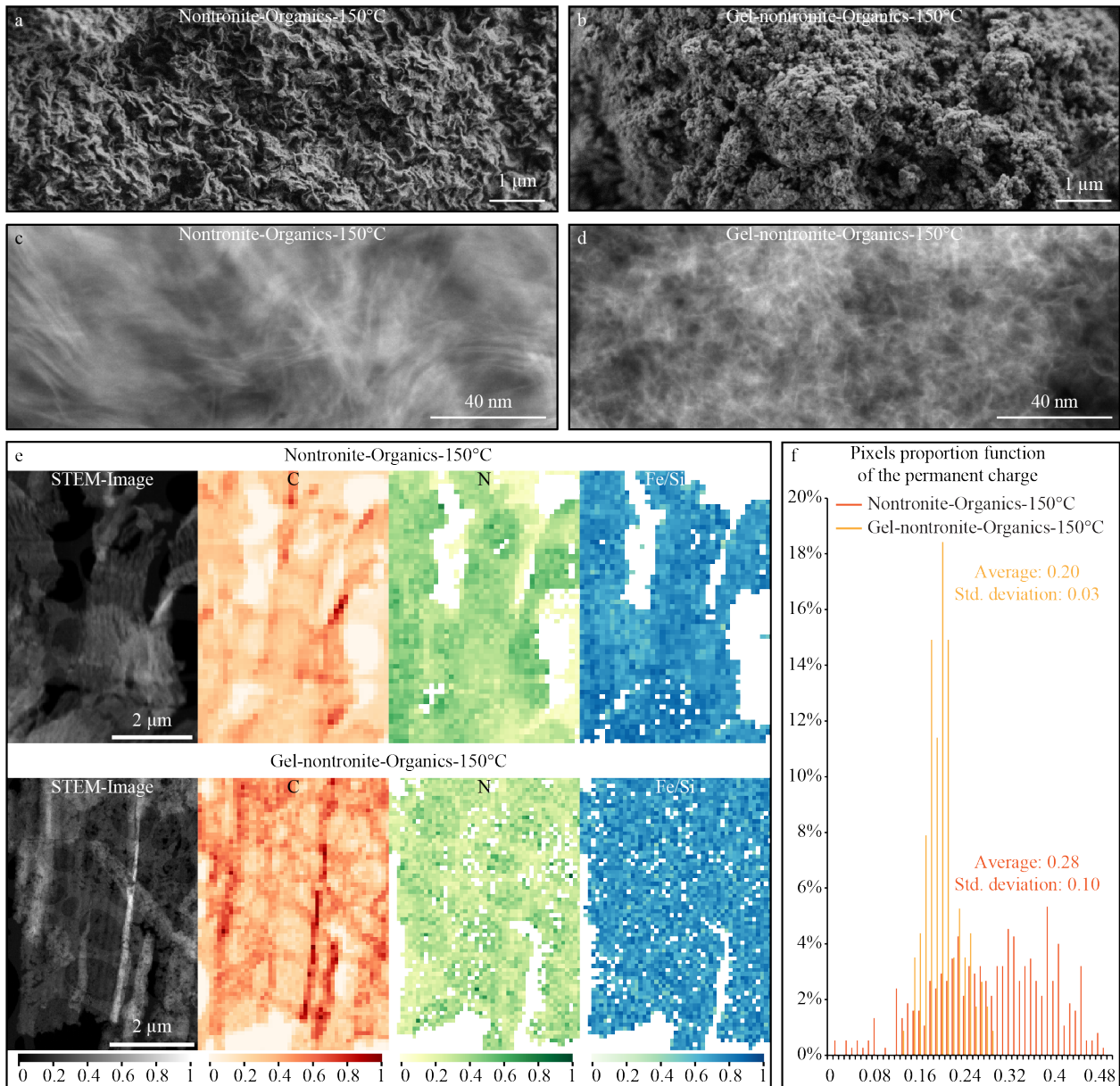
337 **Figure 5:** XANES spectra of the solid experimental residues.

### 338 3.5. SEM and TEM

339 SEM and TEM allowed comparing the textures and microstructures of the solid residues (Figure  
340 6). At the micrometer scale, the solid residue produced in the presence of the crystalline nontronite  
341 displays the typical layered, flower-like texture of smectites while the solid residue produced in the  
342 presence of the gel of nontronite presents a rough and granular surface. These differences in texture  
343 correspond to differences in structure/crystallinity as revealed by TEM observations. At the TEM  
344 scale, while stacks of ~5 to ~10 layers (~ 10 nm thick along the 100 direction) extending laterally  
345 up to 100 nm can be observed within the solid residue produced in the presence of the crystalline  
346 nontronite, much smaller particles (< 10 nm) exhibiting less than 3-5 layers are observed within  
347 the solid residue produced in the presence of the gel of nontronite, in good agreement with XRD  
348 patterns and MIR data. Besides a few grains of Ca(OH)<sub>2</sub>, both residues appear homogenous.

349 No individual organic carbon grain can be observed within the solid residues, but in addition to Fe,  
350 Si, O and Ca, STEM-EDS data show that carbon and nitrogen are intimately associated with  
351 minerals and homogeneously distributed within the solid residues. Although the starting crystalline  
352 nontronite contains Na compensating its permanent charge (Na/Si = 0.11), the solid residues do not  
353 contain any Na, the permanent charge of the smectites being likely compensated by nitrogen  
354 (originating from NH<sub>4</sub>OH) and calcium (originating from Ca(OH)<sub>2</sub>). The Fe/Si of the solid residues  
355 (0.58 and 0.62) are lower than that of the starting crystalline nontronite (0.66). Of note, considering  
356 the theoretical formula of nontronite (M<sup>+</sup><sub>x</sub>(Fe<sub>2</sub>)(Si<sub>4-x</sub>Fe<sub>x</sub>)O<sub>10</sub>(OH)<sub>2</sub>), the mean <sup>4</sup>Fe/Si values  
357 correspond to permanent charges of 0.2 and 0.28 in the residue produced in the presence of the gel  
358 of nontronite and the crystalline nontronite, respectively. The charge of the starting crystalline  
359 nontronite was initially 0.4, confirming the interpretation of XRD and MIR results. Based on the  
360 study of (Dzene et al., 2017), even for synthetic samples with well-controlled chemistry, permanent

361 tetrahedral charge can be modeled by a distribution heterogeneity as highlighted in figure 6.f. The  
 362 distribution of the permanent charge values appears a lot broader for the solid residue produced  
 363 from crystalline nontronite compared to the thinner distribution for the solid residue produced from  
 364 the nontronite gel.



365

366

367

368 **Figure 6:** (a-b) SEM and (c-d) STEM images of the organo-mineral residues. (e) Chemical maps  
369 of organo-mineral residues showing the association of carbon, nitrogen with the Fe-rich smectites  
370 and the more heterogeneous Fe/Si ratios of the Nontronite-organics-150°C residue compare to the  
371 the Gel-nontronite-organics-150°C residue. (f) Permanent charge distribution of the nontronite  
372 highlighting the more homogenous chemical composition of the Gel-nontronite-organics-150°C  
373 residue compare to the Nontronite-organics-150°C experiment.

374

## 375 **4. Discussion**

### 376 **4.1. Effect of organo-mineral interactions**

377 In the absence of minerals, the organic mixture produces IOM when exposed to hydrothermal  
378 conditions at 150 °C for 3 days. This IOM accounts for ~ 10 % of the initial mass of the organic  
379 mixture (see Table 1). According to MIR and XANES data (Figures 4 & 5), this IOM contains  
380 aromatic cycles, heterocycles, amine/imine/cyano groups and/or ketone and phenol groups,  
381 aliphatic carbons, amide groups and carboxylic groups. The chemical structure of this IOM is thus  
382 similar to the organic solids produced in previous studies from the same starting material (Cody et  
383 al., 2011; Kebukawa et al., 2013; Kebukawa and Cody, 2015). Similarly to what occurred for HMT  
384 (Vinogradoff et al., 2018), the production of IOM from the organic mixture used here occurred via  
385 multiple reaction steps, including condensation, dehydration and cyclization reactions involving  
386 sugars produced from formaldehyde via the Formose reaction (Kort, 1970; Weber, 2001; Cody et  
387 al., 2011; Kebukawa et al., 2013; Kebukawa and Cody, 2015).

388 However, the present results show that the same mixture does not produce IOM when exposed to  
389 similar conditions in the presence of an amorphous gel of nontronite or in the presence of a  
390 crystalline nontronite. In fact, no organic solid is retrieved after the acid dissolution of the solid



391 residues investigated here. This is in agreement with results of a previous study, which indicated  
392 that the soluble compounds produced from HMT exposed to hydrothermal conditions in the  
393 presence of smectites have a lower molecular weight than those produced from HMT in the absence  
394 of minerals (Vinogradoff et al., 2020). The DCM-washed solid residues investigated here contain  
395 an important amount of C and N (Table 1), likely under the form of soluble compounds trapped  
396 within the interlayer spaces of smectites (Figure 3) and/or adsorbed at the surface of smectites  
397 (Figure 4). The organic compounds trapped within the residues are not drastically different from  
398 the IOM produced in the absence of minerals from a chemical point of view. Nevertheless, the  
399 organics trapped within the residues produced in the presence of the gel of nontronite are more  
400 aliphatic and contain less phenol carbons (Figure 4 & 5).

401 The presence of organic compounds within the interlayer spaces of the smectites is attested by the  
402 behavior of their 001 d-spacing under vacuum (Figure 3), while the presence of organic compounds  
403 on the edges is attested by the lower absorption of the OH stretching vibrations of water molecules  
404 weakly bonded to the oxygen of the silicate lattice (Figure 4). The quantity of organic compounds  
405 trapped within the solid residues (about 50% of the initial C and more than 60% of the initial N) is  
406 significant considering that only 10 % of the organic mixture is converted into IOM in the absence  
407 of minerals (note that this is not a kinetic limitation - Kebukawa et al., 2013; Kebukawa and Cody,  
408 2015). Such entrapment of nitrogen may have prevented formation of IOM as Kebukawa et al.  
409 (2013) having noticed that high levels of nitrogen yield more IOM. In fact, because OM present in  
410 the liquid phase and the organic compounds trapped within/onto clays minerals share similar  
411 moieties (as revealed by MIR (Figures 1 and 4) and XANES data (Figure 5)), a threshold effect  
412 could be proposed: if the concentration of the reactive species necessary to produce IOM through  
413 polymerization/condensations reactions (Kebukawa et al., 2013) is not high enough, the reaction  
414 may not proceed. Altogether, the present results demonstrate that the presence of smectitic

415 materials, independently of their degree of crystallinity, inhibits the production of IOM. This likely  
416 occurs by trapping organic compounds, hence limiting polymerization/condensation reactions. The  
417 presence of clay minerals has thus a strong influence on reactions between organic species.  
418 The reciprocal is also true. When exposed to hydrothermal conditions at 150 °C for 3 days in the  
419 absence of the organic mixture, the crystalline nontronite remains crystalline while the gel of  
420 nontronite crystallizes (Figures 3 & 4). However, the presence of the organic mixture modifies  
421 these behaviors: the crystallinity of crystalline nontronite decreases during the experiments, while  
422 the gel of nontronite does not produce crystalline nontronite but rather small particles of low degree  
423 of crystallinity (Figures 3, 4 & 6). Similar behaviors were observed in experiments conducted with  
424 gels of (Al,Mg)-rich smectites in the presence of RNA (Jacquemot et al., 2019; Viennet et al., 2019,  
425 2020). As is the case in these studies, the poorly crystalline smectites of the residues investigated  
426 here have retained organic compounds, both within their interlayer spaces (Figure 3 - Viennet et  
427 al., 2019, 2020) and at their surface (Figure 4 - Viennet et al., 2019, 2020). The smectites of the  
428 experimental residues exhibit lower Fe/Si values according to TEM data (Figure 6), indicating that  
429 their permanent charges (corresponding to the number of Si substitutions by <sup>[4]</sup>Fe in the tetrahedral  
430 sheets) is lower than those of the starting crystalline nontronite. Such low permanent charge is also  
431 attested by the MIR results showing a shift of the band position of the Si-O bonds and the relative  
432 intensity decrease of the bands corresponding to the <sup>[4]</sup>Fe-O bonds (Figure 4). The distinct  
433 distribution of the permanent charge values is also of interest. In contrast to the restricted  
434 distribution of the permanent charge values of the solid residue produced in the presence of the gel  
435 of nontronite, the solid residue produced in the presence of the crystalline nontronite exhibits a  
436 rather wide distribution of <sup>[4]</sup>Fe/Si values, i.e. a highly variable permanent charge. By analogy with  
437 the production of lower charge clay minerals via the alteration of micas by organic acids (Jackson,  
438 1962; Robert et al., 1979; Wilson, 1999), it can be assumed that this wide distribution reflects the

439 alteration by acid-complexation of Fe of the crystalline nontronite during the experiments. While,  
440 the sharper distribution of the solid residue produced in the presence of the gel of nontronite would  
441 reflect crystallization process from the gel as for smectites produced in inorganic media (Dzene et  
442 al., 2017). Of note, in contrast to crystallinity, the permanent charge of nontronites is pH dependent (Baron  
443 et al., 2016). The nontronite was synthesized at pH comprise between 12-11.5 (Baron et al., 2016). The  
444 presence of CaOH<sub>2</sub> in the starting materials of our experiments serves as a buffer for a pH at 11.5–11.7  
445 (Kebukawa and Cody, 2015). Yet, such slight decrease of pH would not changes the permanent charge of  
446 the nontronites (Baron et al., 2016; Petit et al., 2017). This decrease is likely related to the presence of  
447 organic matter. In any case, the present results demonstrate that the presence of organic materials  
448 disturbs the crystallization of Fe-rich smectites possibly as observed with (Al,Mg)-rich smectites  
449 in the presence of RNA (Jacquemot et al., 2019) and/or via complexation as with iron oxides in  
450 soils (Schwertmann, 1966) and affects their permanent charge via the production of organic acids  
451 preventing/impacting tetrahedral substitutions (Baron et al., 2016).

452

#### 453 **4.2. Implications for carbonaceous chondrites**

454 Asteroid parent bodies accreted organic molecules whose nature and diversity are not yet  
455 elucidated, notably because these bodies experienced a multitude of hydrothermal processes,  
456 having modified the initial nature of the accreted OM (Cody et al., 2011; Vollmer et al., 2014; Le  
457 Guillou and Brearley, 2014; Le Guillou et al., 2014; Vinogradoff et al., 2017). The possible genetic  
458 relationships between the SOM and the IOM thus remain a subject of speculations: it has been  
459 suggested for instance that soluble compounds have formed via the oxidation of solid (insoluble)  
460 organic compounds (Yabuta et al., 2007), that IOM have formed through the polymerization of  
461 soluble compounds (Kebukawa et al., 2013; Kebukawa and Cody, 2015; Kebukawa et al., 2020),  
462 and that phyllosilicates have played a key role in the chemical evolution of both soluble and

463 insoluble compounds (Le Guillou and Brearley, 2014; Le Guillou et al., 2014; Yesiltas and  
464 Kebukawa, 2016; Changela et al., 2018; Vinogradoff et al., 2020a).

465 The present experimental results provide new clues for understanding the organo-mineral  
466 interactions at work under hydrothermal conditions. In agreement with previous studies (Kebukawa  
467 et al., 2013, 2017, 2020; Kebukawa and Cody, 2015), the production of IOM occurred during  
468 experiments conducted with the organic mixture in the absence of minerals. Yet we show here that  
469 the presence of clay minerals, either amorphous or crystalline, inhibits this production of IOM and  
470 that the organic compounds trapped within the residues are more aliphatic than the IOM. This is  
471 also the case of the diffuse OM intimately associated with clay minerals in chondrites, which has  
472 been documented as more aliphatic than the IOM (Le Guillou and Brearley, 2014; Le Guillou et  
473 al., 2014; Vinogradoff et al., 2017; Changela et al., 2018; Kebukawa et al., 2019; Dionnet et al.,  
474 2020). The present results thus suggest that the chondritic IOM may have only form within pockets  
475 from which clay minerals or amorphous precursors of clay minerals were absent and/or that IOM  
476 grains may have pre-accretionary origins (Remusat et al., 2010).

477 The quantity of organic compounds trapped within the residues investigated here is significantly  
478 higher than that of residues of experiments conducted by Vinogradoff et al. (2020) which produced  
479 residues containing only 3-4 wt % of C. Although these experiments were conducted under similar  
480 conditions, Vinogradoff et al. (2020) used HMT and Al or Fe-rich smectites. Altogether, those  
481 results demonstrate the mutual effect of the crystallochemistry of smectites and the composition of  
482 OM on the composition of the final organo-mineral assemblages. Plus, the present study evidences  
483 that the initial crystallinity of the mineral phase also plays a role in the final chemistry and  
484 quantities of the organic compounds eventually trapped within. The different trapping capacities  
485 of different smectites may explain the highly heterogeneous nature of the matrices of carbonaceous  
486 chondrites, both regarding the crystallochemistry of hydrated silicates and the quantity and

487 chemistry of diffuse OM trapped within these mineral phases (Le Guillou et al., 2014; Vinogradoff  
488 et al., 2017; Changela et al., 2018).

489 The presence of organics partially inhibits the growth of Fe-rich clay minerals such as nontronite  
490 (both the particle sizes and the degree of crystallinity of the smectitic clays are lower in residues  
491 produced in the presence of the organic mixture). Of note, the least altered carbonaceous chondrites  
492 are almost devoid of phyllosilicates and rather contain abundant amorphous silicates (Brearley,  
493 1993; Greshake, 1997; Chizmadia and Brearley, 2008; Abreu and Brearley, 2011; Le Guillou and  
494 Brearley, 2014; Le Guillou et al., 2014, 2015; Dobrică et al., 2019; Dobrică and Brearley, 2020;  
495 Vollmer et al., 2020). Still, these amorphous silicates are intimately associated with diffuse OM,  
496 which is generally interpreted as having been transported by fluids during hydrothermal alteration  
497 episodes (Le Guillou et al., 2014; Vinogradoff et al., 2017; Changela et al., 2018). By analogy with  
498 the present results, it may be suggested that the crystallization of amorphous silicates during  
499 hydrothermal alteration was limited by the presence of organic compounds, while amorphous  
500 silicates occurring in organic-poor regions would have crystallized faster/easier, producing  
501 relatively big particles relatively poor in OM. In addition, the present study suggests that  
502 determining the permanent charge of crystalline phyllosilicates associated to elevated contents of  
503 diffuse OM may bring additional constraints on their origin and history. In fact, according to the  
504 present results, the alteration of pre-existing crystalline phyllosilicates in the presence of organic  
505 compounds should produce smectites exhibiting a highly variable permanent charge while the  
506 crystallization of initially amorphous materials should produce phyllosilicates exhibiting a less  
507 variable permanent charge.

508 Last, it has been suggested that the SOM fraction recovered by solvent extraction does not represent  
509 the entire SOM pool of carbonaceous chondrites (Pearson et al., 2006; Alexander et al., 2017;

510 Vinogradoff et al., 2020a). Here, 50 wt. % of the initial amount of carbon has not been extracted  
511 from the residues using classical solvent extraction. Hence, a significant portion of the chondritic  
512 SOM could have been retained in chondritic clays and disregarded, especially since the abundance  
513 of smectites in carbonaceous chondrites increases with increasing alteration. The present study thus  
514 reinforces the need for *in situ* investigations of OM and/or for the development of new extraction  
515 protocols to better document these -so far- unknown chondritic soluble organic compounds.

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