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# Influence of powder mixing method on properties of high entropy alloys of FeCrMnAlMo thin coatings obtained by magnetron sputtering

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## ABSTRACT

High Entropy Alloys of FeCrMnAlMo thin coatings obtained by magnetron sputtering were studied. The influence of the powder mixing method to obtain the targets used in the physical vapor deposition reactors was evaluated concerning the mechanical and tribological properties of the coatings. The microstructural characterization was also performed. The high purity element powders of 34Fe-22Cr-19Mn-19Al-6Mo were mixed in two ways: mechanically alloyed for 35 h (MS coating) and the other by conventional mixing for 30 min (CM coating). The coatings showed a poorly crystallized structure, almost amorphous. X-ray photoelectron spectrometry results evidenced that the MS coating contains approximately 8 at.% C, while no carbon was detected in the CM coating. The coatings presented hardness values determined by nanoindentation of  $\sim 8.7$  GPa and 7.4 GPa for MS and CM coatings, respectively. A better reciprocating dry sliding wear resistance with specific wear rate ( $k$ ) of  $\sim 3.33 \times 10^{-5} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1}$  for MS coating/substrate system was found, while for the CM coating/substrate system was of  $\sim 8.23 \times 10^{-5} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1}$ . The abrasive wear mechanism was observed with the formation of ploughing, scratching and debris wear adhered to the alumina counterpart ball.

## 1. Introduction

High entropy alloys (HEA) were first reported in studies conducted in 2004 by Yeh et al. [1] and Cantor et al. [2]. These alloys were designed taking into account the rule of maximum entropy configuration and consisted of five to more elements with concentrations ranging from 5 at.% to 35 at.%. The single-phase solid solutions of fcc, bcc, or hcp are found due to their high entropy of mixing [3]. HEA in bulk form have been mainly studied because they combine strength and ductility, high corrosion resistance, and high wear resistance [4–8]. The hardness of HEA bulk alloys can vary between HV  $\sim 2$  GPa (CoCrFeNiCu) to HV  $\sim 8$  GPa (MoTiVFeNiZrCoCr), according to Zhang et al. [4]. Ayyagari et al. [6], have studied bulk alloys of CoCrFeMnNi and Al<sub>0.1</sub>CoCrFeNi; finding hardness ( $HV_{2.94N}$ ) values of  $\sim 1.31$  GPa and 1.52 GPa, respectively. Also, for 1 N and 1800 s was determined the specific wear rate of  $\sim 7.4 \times$

$10^{-4} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1}$  and  $\sim 1.9 \times 10^{-4} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1}$ , respectively. Other CoCrFeNiMo<sub>0.2</sub> HEA bulk was tested on reciprocating sliding wear by Deng et al. [8], obtaining the specific wear rate of  $\sim 0.52 \times 10^{-3} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1}$  to a normal load of 5 N after 600 cycles.

However, the high cost of the raw material, the difficulty of producing bulk HEA by casting, and the concern for improving mechanical and tribological properties lead us to explore new applications such as HEA coatings [4,5,9]. Among the coating's deposition techniques, magnetron sputtering is widely used method for thin-film processing. A wide range of compositions and easier microstructure control can be achieved with various process parameters [9,10]. High entropy alloys magnetron sputtering films can be obtained by powder target [11] or multi-target [12]. Powder target can be made by mixing powders with the desired composition which allows more control of the coating composition and reduction of the number of magnetrons to one

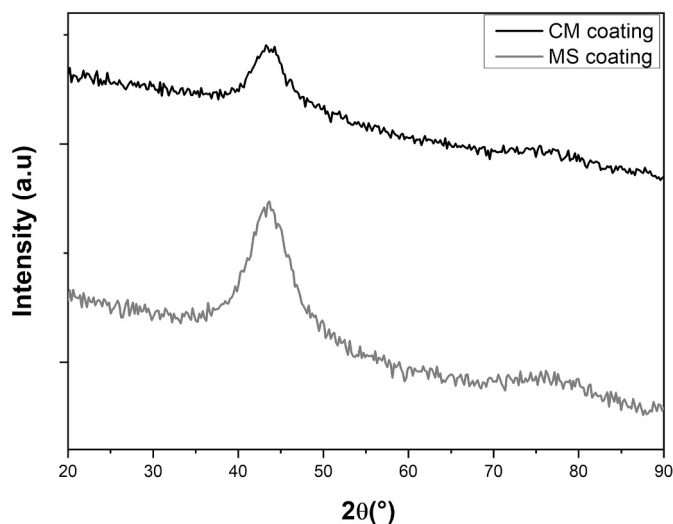


Fig. 1. XRD pattern of FeCrMnAlMo PVD thin coatings collected at  $0.8^\circ$  of incidence angle.

[11,13,14].

It has been widely studied that the relationships between hardness and modulus of elasticity ( $H/E$ ,  $H^3/E^2$ ) can be correlated to the tribological behavior of materials [15–17]. The  $H/E$  corresponds to the elastic strain to failure and the  $H^3/E^2$  is correlated to the ability to resist plastic deformation. Hence the importance of coatings fabrication with high hardness values and low elasticity modulus values.

Thus, the PVD technique allows obtaining HEA thin coatings with high hardness values due to the high residual stresses and nanocrystalline structure [9,18–20]. Studies on CoCrFeMnNi nanocrystalline thin coatings obtained by RF magnetron sputtering showed a hardness of  $\sim 6.8$  GPa [20]. Sha et al. [18] have obtained FeMnNiCoCr PVD coatings with hardness values between 7 GPa and 9 GPa by nanoindentation. Also, it was found in CoCrFeMnNiAl<sub>x</sub> and CoCrFeMnNiTi<sub>x</sub> the hardness varied between 6 and 9 GPa [21]. The formation of nanocrystalline structure and preferred growth orientation of the sputtering PVD films produced high hardness values (10–12 GPa) [22]. Zendejas Medina et al. [23] studied thin films of CrMnFeCoNi by magnetron sputtering with hardness values between 8 and 12 GPa.

On the other hand, few works on the tribological performance of HEA PVD coatings have been realized despite their great importance for industrial applications. The tribological performance of (FeMnNiCoCr)

N<sub>x</sub> HEA coatings obtained by sputtering onto M2 steel substrates were evaluated by Sha et al. [17]. They found that coatings with nitrogen content up to 6 at.% had fcc structures with a hardness of 11 GPa and presented good adhesion and wear resistance ( $k \sim 1.4 \times 10^{-6} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1}$ ).

In this work, we will study the influence on microstructural, mechanical and tribological behavior of powder mixed process to obtain powder target sintered to deposit FeCrMnAlMo HEA coatings onto a low-carbon steel substrate. The powder targets sintered were obtained of the same composition either produced from elementary powders mixed by a mechanically alloyed process or produced by a conventional mixed. This composition was selected because it has been previously synthesized by the mechanically alloyed technique and it was obtained that it forms a bcc structure that presents greater hardness and mechanical resistance [24].

## 2. Experimental details

Two powder mixtures of composition (at.%) 34Fe-22Cr-19Mn-19Al-6Mo have been prepared from high purity ( $\sim 99\%$ ) elemental powders of different particle size: Al ( $< 15 \mu\text{m}$ ), Cr ( $38\text{--}45 \mu\text{m}$ ), Fe ( $< 60 \mu\text{m}$ ), Mn ( $< 45 \mu\text{m}$ ) and Mo ( $< 350 \mu\text{m}$ ). The first powder was prepared with mechanically alloyed powders (for MS target). The milling was performed in a RETSCH PM-100 planetary mill with six small balls of 10 mm and three large balls of 20 mm in diameter [24]. The jar and the balls are made of stainless steel. The assembly (jar + balls + powder) is maintained under an inert atmosphere (Argon) to prevent oxidation. The ratio of ball mass to powder mass was 10:1 and the actual milling time was 35 h at a speed of 450 rpm. Breaks of 15 min were scheduled after every 30 min to prevent heating during milling. In addition, 2 wt% stearic acid ( $\text{C}_{18}\text{H}_{36}\text{O}_2$ ) was added to limit excessive powder welding to the jar and balls. The second powder (for CM target) was obtained by conventional mixed; the elemental powders were dry milled for 30 min in a Turbula mixer (balls and container of stainless steel).

Both mixtures were then consolidated by commercial Spark Plasma Sintering equipment (HPD10, FCT GmbH). Around 35 g of powder was put in a 5.08 cm diameter graphite mold. The processing cycle consisted of three steps under vacuum: rapid heating at  $50^\circ\text{C}/\text{min}$  up to  $1000^\circ\text{C}$  (force of 62 kN applied from the beginning of the cycle), a 5 min dwell time, and rapid cooling up to room temperature. After consolidation, both faces of the targets were rectified to eliminate the eventual surface contamination due to the graphite tooling and surface roughness. The final thickness of the targets is around 2.5 mm. The final composition of the targets was controlled by X-ray fluorescence measurement; the

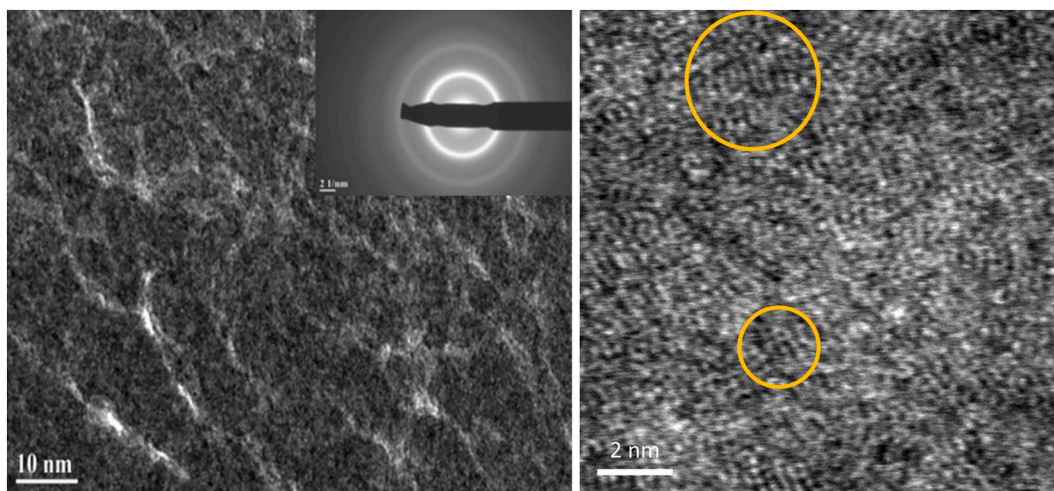


Fig. 2. TEM images of MS coating and deposited on silicon wafer Si (100): (a) Dark-field (DF) and the corresponding Selected Area Electron Diffraction (SAED) pattern, (b) HRTEM (HAADF).

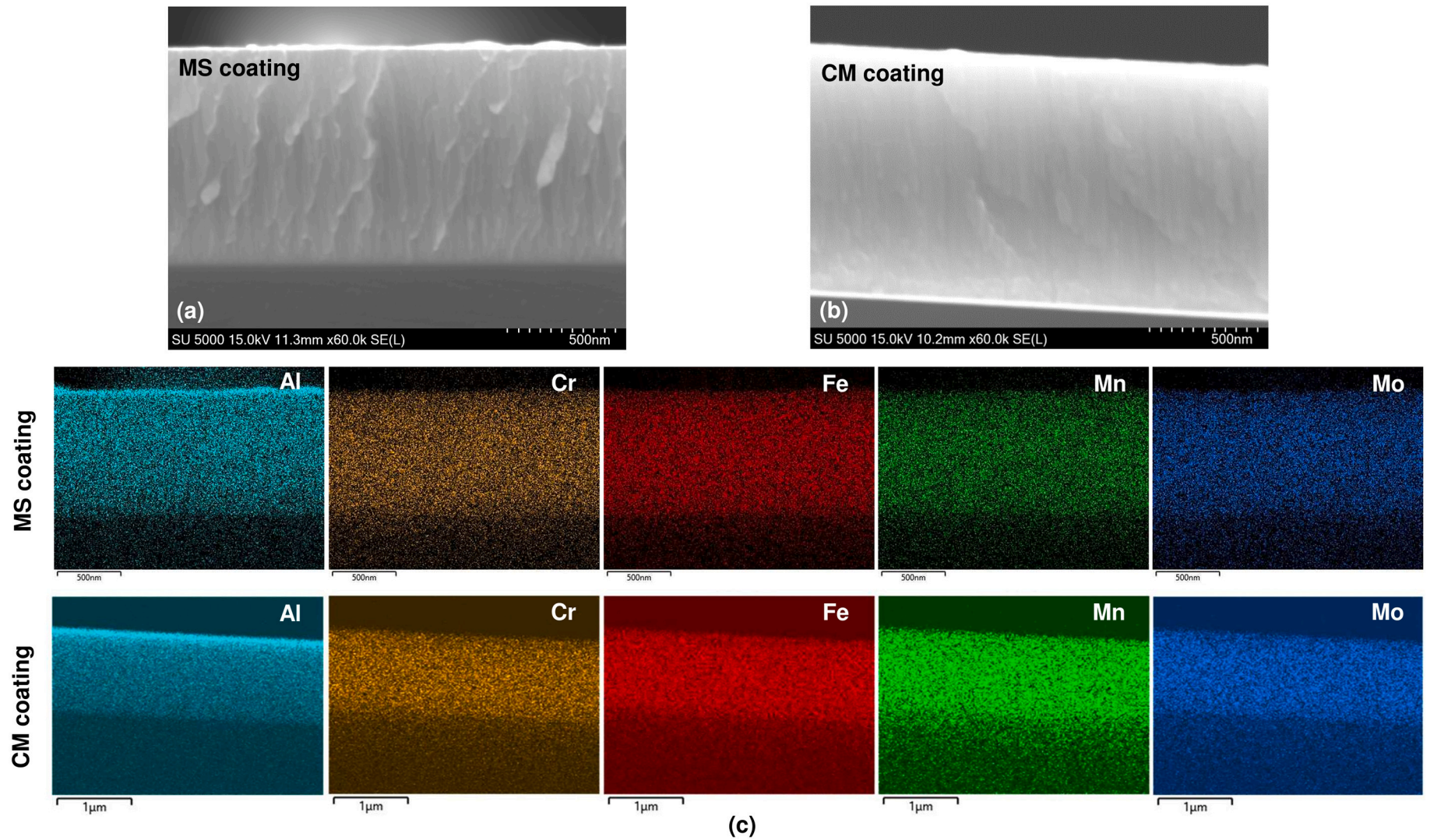


Fig. 3. Morphology of the FeCrMnAlMo PVD thin coatings onto Si wafers obtained by SEM: (a) MS coating and (b) CM coating and (c) EDS analysis.

**Table 1**

Chemical composition by EDS of elements of targets and coatings of HEA FeCrMnAlMo.

Material	Chemical composition (at.%)				
	Al	Cr	Fe	Mn	Mo
MS Coating	17.9 ± 0.4	21.6 ± 0.6	36.0 ± 0.6	18.1 ± 0.8	6.4 ± 0.3
MS target	17.5 ± 0.2	21.7 ± 0.5	34.9 ± 0.4	19.9 ± 0.1	6.0 ± 0.1
CM Coating	18.4 ± 0.4	21.8 ± 0.2	33.7 ± 0.3	20.1 ± 0.2	6.0 ± 0.3
CM target	19.5 ± 0.4	18.8 ± 0.5	35.4 ± 0.8	20.6 ± 0.7	5.8 ± 0.2

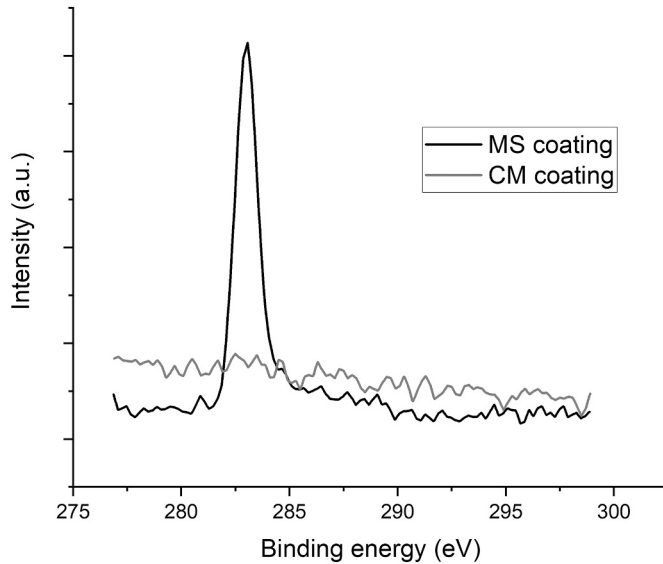


Fig. 4. Core-level XPS C1s spectra for the FeCrMnAlMo PVD thin coatings.

**Table 2**

Mechanical properties of FeCrMnAlMo PVD thin coatings.

Coating	H (GPa)	$E_r$ (GPa)	$H/E_r$	$H^3/E_r^2$ (GPa)
MS	8.7 ± 0.3	180 ± 6	0.048	0.020
CM	7.4 ± 0.3	173 ± 6	0.043	0.014

deviations were compared to the initial composition obtaining values <1 at.%.

The FeCrMnAlMo HEA thin coatings were synthesized by magnetron sputtering in a cylindrical stainless steel chamber. The chamber is evacuated down to a residual pressure of  $1.6 \times 10^{-6}$  to  $4.6 \times 10^{-6}$  mbar employing a dry primary pump combined with a turbo-molecular pump. An unbalanced magnetron cathode was located on the side of the chamber, where a target of 5.08 cm in diameter and 2.5 mm thick was sputtered in Ar gas with a constant total flow rate of 77 sccm (standard cubic centimeter per minute). The working pressure was fixed at 1 Pa by using a throttle valve. The target/substrate distance was fixed at 9 cm. The target alloy was sputtered in DC mode by an Advanced Energy MDK 500 power supply. The power was fixed at 60 W, corresponding to a power density on the target surface of  $3 \text{ W/cm}^2$  which was calculated using the target area exposed to the plasma of  $\sim 20 \text{ cm}^2$ . The MS and CM coatings were obtained with MS and CM targets, respectively. The surface roughness ( $S_a$ ) was approximately  $0.002 \mu\text{m}$  for both coatings. Silicon wafer substrates were used to allow thickness measurement, chemical composition, structure analysis and morphological characterization, while polished steel (SAE 1015) substrates of surface roughness ( $S_a$ )  $\sim 0.003 \mu\text{m}$  were used for the nanoindentation and tribological study. The thickness of the coatings of  $\sim 1.0 \pm 0.1 \mu\text{m}$  is obtained by a mechanical profilometer (Veeco, Dektak 150), measuring the step

between a coated and an uncoated zone.

The structures of the coatings deposited on Si substrates were analyzed by grazing incidence X-ray diffraction (Empyrean Cu LFF HR (9430 0337310 $\times$ ) DK419664) with Cu  $\alpha$  radiation ( $\lambda = 1.54183 \text{ \AA}$ ) and operated at 45 kV and 20 mA. The scan angle ( $2\theta$ ) was between 20 and  $90^\circ$ , and the incidence angle was  $0.8^\circ$ . An additional structural study of the MS coating was carried out by high-resolution transmission electron microscopy (HRTEM). Two microscopes were used (the FEI Tecnai G2-20 twin TEM at 200 kV and the FEI Titan Themis TEM at 300 kV). The samples were roughly polished on the substrate side by SiC papers (P800 and P4000) to reduce the thickness of samples down to about  $50 \mu\text{m}$ . Subsequently, samples were glued to a copper TEM support grid. Final thinning was performed using ion mill-Gatan Duo Mill. The coatings were observed in plane view. Also, the microstructure of the cross-section was observed by scanning electron microscopy (SEM) and the chemical analysis was performed by energy dispersive spectroscopy (EDS).

The carbon content of the coatings was analyzed by X-ray photoelectron spectroscopy (XPS) using an ESCA-5000 (Physical Electronics) Versa Probe system. The data were collected using monochromatic Al  $\alpha$  radiation source with a photon energy of 1486.6 eV. The following X-ray settings were used: beam size diameter:  $200 \mu\text{m}$ ; beam power: 50 W; voltage: 15 kV. The pressure in the analysis chamber was typically  $2 \times 10^{-6}$  Pa. Photoelectrons were collected at a take-off angle of  $45^\circ$  (normal detection) from the normal surface. A compositional profile was made for two coatings samples with argon sputtered ( $\text{Ar}^+$  2 kV sputtered area:  $2 \times 2 \text{ cm}^2$ ). The profiles were performed with a step of 25 nm until rising 500 nm in depth. At each step, the elements were analyzed with a pass energy of 23.5 eV (high-resolution spectra) and an energy step of 0.2 eV. The resolution of the XPS apparatus is estimated to be 0.5 eV. Atomic compositions were derived from peak areas after a Shirley background subtraction using photoionization cross-sections calculated by Scofield, corrected for the dependence of the escape depth of the kinetic energy of the electrons, and corrected for the analyzer transmission function of our spectrometer.

Mechanical properties such as hardness and reduced elastic modulus were determined using a Hysitron Triboindenter (TI980, USA). The nanoindentation tests with a diamond Berkovich indenter were carried out using a quantitative ultra-high-speed mechanical property mapping (XPM). This technology can perform six measurements/s. The testing was conducted on the coatings deposited on a steel substrate. For each coating, three indentations arrays of  $15 \times 20$  (858 indents) were performed to a maximum load of 2 mN to guarantee a penetration depth of <10 % of the coating thickness. The spacing between indentations of  $2 \mu\text{m}$  (i.e., 10–20 times the indentation depth) was left [25]. The hardness and elastic modulus were determined using the standard Oliver and Pharr method [26]. Also, with this equipment, the adherence of the coating to the substrate was evaluated by progressive load nanoscratch testing ( $F_N$ : 5 mN to 500 mN). For this purpose, six nanoscratch test for each coating were realized, a conical diamond indenter with a radius tip of 20 nm was used. The displacement rate of 0.02 mm/s and the scratch distance of 1 mm were applied. Lateral force ( $F_L$ ) and friction ( $F = F_L/F_N$ ) were collected as a function of lateral displacement. The depth profile and the 3D image of scratch tracks were obtained using the optical profilometer at  $20\times$ .

The tribological behavior was evaluated by reciprocating dry sliding wear tests using a pin-on-disc configuration in the Tribolab UMT (Bruker, USA). Three tests for each coating were carried out at room temperature with a 12 mm diameter  $\text{Al}_2\text{O}_3$  ball. The test parameters were: a stroke length ( $L$ ) of 2 mm, a normal load of 1 N, a wear time of 1000 s (2500 cycles), a sliding distance of 10 m and a sliding velocity of 10 mm/s. The wear track in the sample coated and the balls were observed by SEM and EDS. The wear track cross-sectional area ( $A$ ) in  $\text{mm}^2$  was measured with the optical profilometer. The volume loss ( $V_L$  in  $\text{mm}^3$ ), representing the volume of material removed by wear, was determined by:

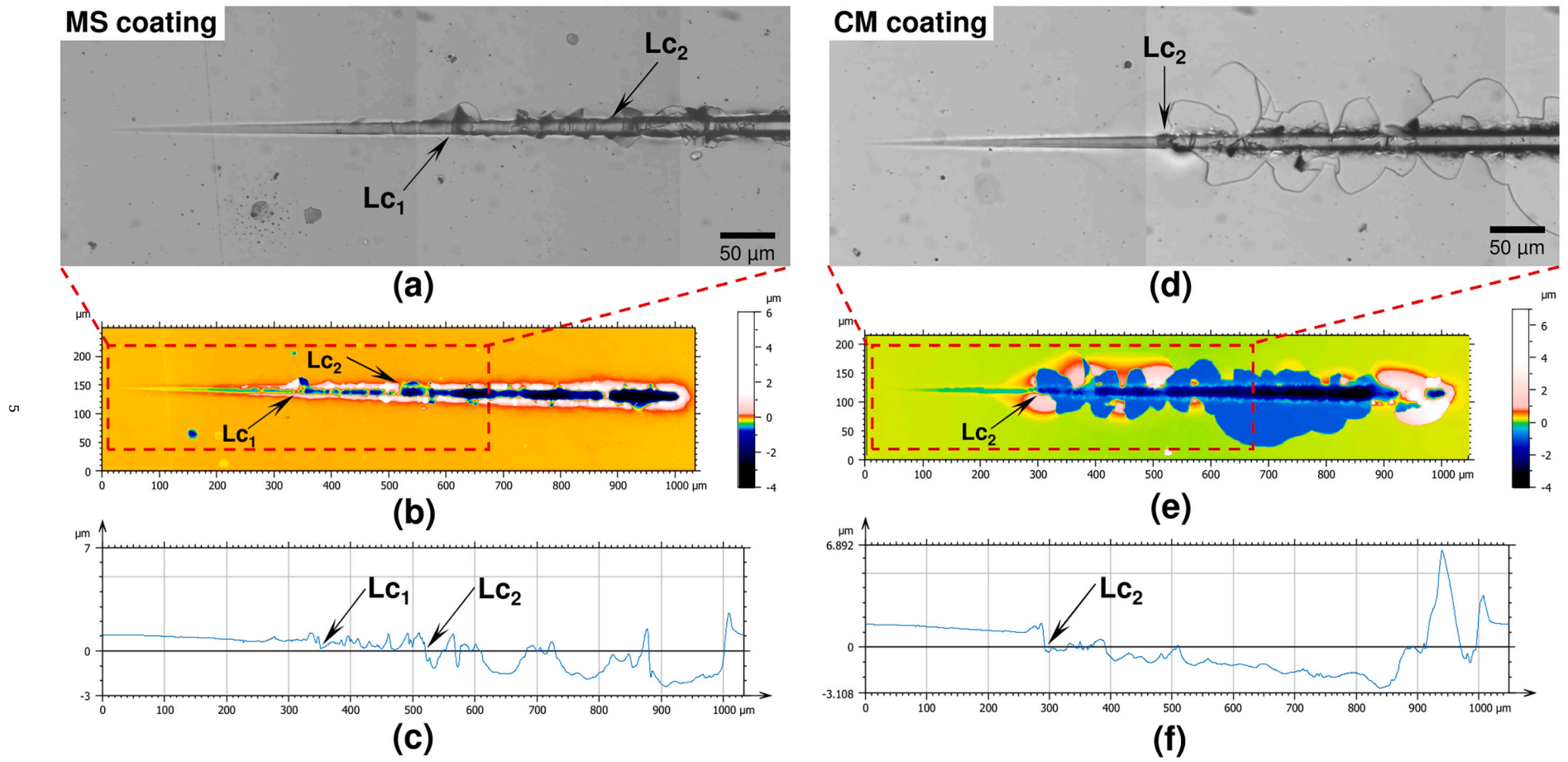


Fig. 5. Results of nanoscratch track observations for MS coating (a), (b) and (c) and CM coating (d), (e) and (f): image by MO (a) and (d), 2D optical profilometry (b) and (e), and curves of penetration depth of the scratch (c) and (f).

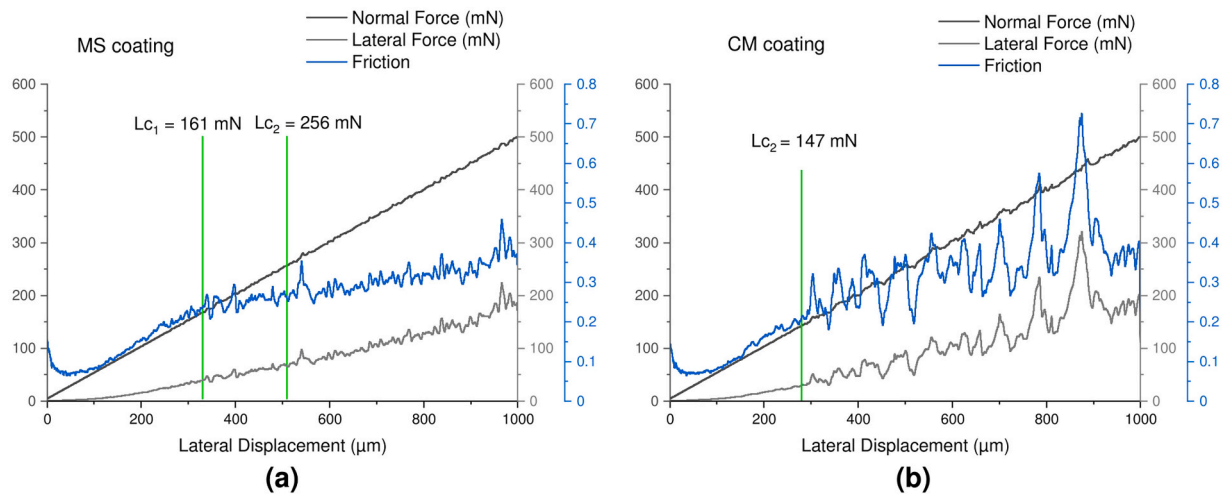


Fig. 6. Graphics of normal force, lateral force, and friction vs. displacement for scratch tests on the coatings: MS (a) and CM (b).

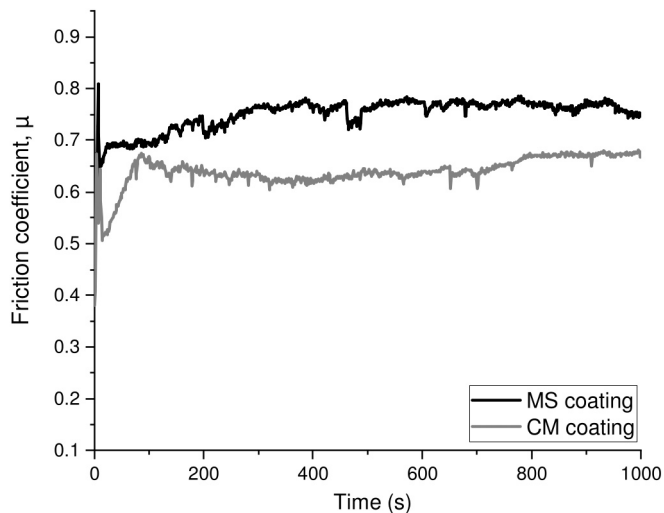


Fig. 7. Curves of the friction coefficient as a function of time for FeCrMnAlMo PVD thin coatings tested against  $Al_2O_3$  balls.

$$V_L = AL \quad (1)$$

The Archard wear coefficient ( $K$ ) was determined by the equation:

$$Q = KW/H \quad (2)$$

where  $Q$  is the volume of material removed from the surface by wear per sliding distance unit ( $V_L/S$ ),  $W$  [N] is the normal load applied between the surfaces, and  $H$  [GPa] is the hardness of the softer surface. The constant  $K$  (Archard wear coefficient) is dimensionless and always less than unity.  $K$  provides a way of comparing the severities of different wear processes [27]. However, for engineering applications the specific wear rate ( $k$ ) is more useful. The quantity  $k = K/H$  [ $mm^3N^{-1}m^{-1}$ ] represents the volume loss ( $V_L$ ) per sliding distance unit per normal load unit on the contact [27].

### 3. Results and discussion

#### 3.1. Structural and microstructural characterization

The XRD patterns of the MS and CM coatings are shown in Fig. 1. Both coatings revealed one broad peaks at  $2\theta = 43.66^\circ$ . The interreticular distance corresponding to the peak at  $43.66^\circ$  is  $d_{hkl} = 2.07 \text{ \AA}$ .

These broad peaks indicate that the films are very poorly crystallized, almost amorphous [19,28,29]. The structure of MS coating was also analyzed by a high-resolution TEM image (HRTEM). Fig. 2a shows a representative TEM image and the corresponding Selected Area Electron Diffraction (SEAD) pattern of MS coating. It was noted that the electronic diffraction pattern exhibits at least two visible broad rings. The inter-reticular distance corresponding to these rings is respectively  $d_1 = 2.07 \text{ \AA}$  and  $d_2 = 1.2 \text{ \AA}$ , confirming the XRD results. Fig. 2b shows the HRTEM image. It confirms that the structure is very poorly organized but it is nevertheless possible to observe successions of a few atomic planes in certain areas (in yellow circles). This type of structure is expected for this mode of deposition. Indeed, the formation of the coating takes place at almost room temperature. Atomic diffusion is therefore too weak for the atoms to be able to organize themselves into a crystalline structure.

The coatings morphology characterization was performed by SEM. The fracture cross-section of the coatings onto Si-wafer is shown in Fig. 3a and b; a columnar growth could be observed for both coatings. The chemical composition determined by EDS is presented in Table 1, the results show a slight composition variation compared to the target. Also, it could be observed in the EDS mapping analysis that the coatings presented a homogeneous composition through the thickness (Fig. 3c).

The carbon contents of the coatings were determined by the XPS technique, the surface was bombarded with  $Ar^+$  ions every 25 nm to a depth of 500 nm, the atomic carbon percentage values obtained were averaged. For the MS coating is obtained  $\sim 8$  at.% C, which was possibly added to the alloy during the mechanical alloying process of powder target by adding 2 wt% stearic acid ( $C_{18}H_{36}O_2$ ). For the CM coating, no carbon was found by this technique. Fig. 4 shows the average curves of core-level spectra of Cs1 for both coatings, a peak at  $\sim 283$  eV was found for MS coating; typical binding energy for a metal carbide formation or carbide environment in amorphous Me-C films [30,31]. After the first layer was removed, no free carbon was found. XPS analysis cannot distinguish the nature and bond strength of carbon in metal carbide and in solid solutions [31]. Also, the results of XRD and TEM analysis could not confirm the presence of carbide. Indeed, it is possible that the carbon is in the form of carbide very small and localized at the grain boundaries or in the supersaturated solid solution. Also, as has been reported by other researchers [31–34], the sputter deposition process limits the mobility of the atoms due to rapid cooling, which would hinder the formation of carbides larger unit cells. Therefore, as the high entropy alloy exhibits lattice distortion due to a large number of metallic elements, it may result in a wider range of interstitial site sizes. In this sense, these researchers have found that thin coatings obtained by PVD sputtering can have a higher carbon solubility leading to supersaturated solid solutions.

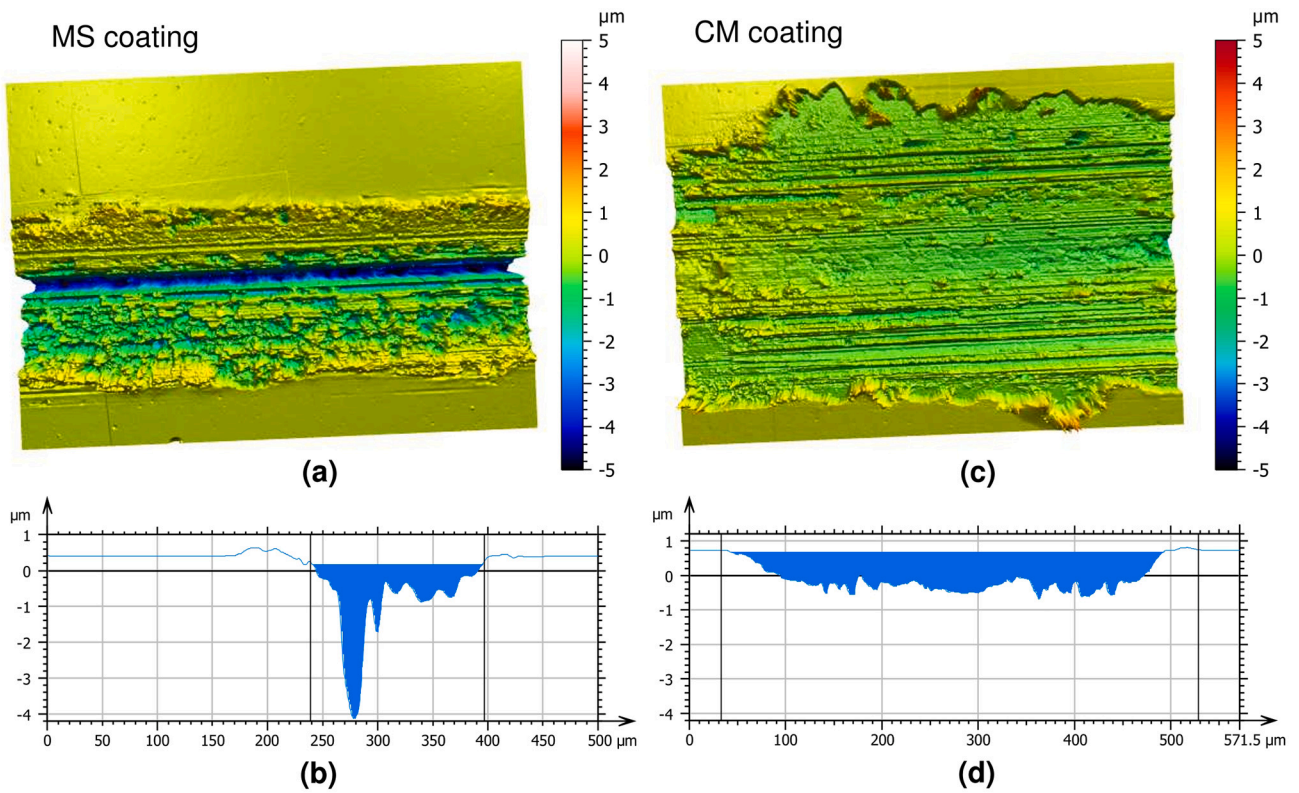


Fig. 8. Topography of wear tracks by optical profilometry for the MS coating (a) and (b) and for the CM coating (c) and (d): wear track 3D-image (a) and (c) and sectional wear track profiles (b) and (d).

### 3.2. Mechanical and tribological characterization

The mechanical properties of the FeCrMnAlMo PVD thin coatings are presented in Table 2. The hardness ( $H$ ) for the MS coating is 8.7 GPa and for the CM coating is 7.4 GPa while the values of the effective elastic modulus ( $E_r$ ) are similar for both coatings. Typically, the sputtering process generates thin coatings with higher hardness due to the high levels of compressive residual stresses that originate during the deposition of the atoms on the surface. Similar hardness values were obtained for other HEAs PVD coatings with different chemical composition, studies by Dang et al. [20] and Sha et al. [18]. The higher hardness of the MS coating could be attributed to the presence of carbon in the supersaturated solid solution producing a higher lattice distortion than the non-carbon lattice as it is for the CM coating, such as has been reported in other works [31,34].

In addition, the tribological characterization was conducted by the adherence and reciprocating dry sliding tests. The coatings adherence results are showed in the Figs. 5 and 6. Fig. 5 presents the micrograph by optical microscopy, 2D image and the linear profile by optical profilometry of a representative test for each coating. Additionally, normal force ( $F_N$ ), lateral force ( $F_L$ ), and Friction ( $F$ ) have been recorded as a function of the lateral displacement (Fig. 6). The critical loads ( $L_{C1}$  and  $L_{C2}$ ) were determined from a combined analysis based on the graph of  $F_N$ ,  $F_L$ , and  $F$  (Fig. 6), optical microscope images and the profilometry scratch track (Fig. 5).  $L_{C1}$  is used to indicate the first cracking or partial spallation, and  $L_{C2}$  is the load for which the complete failure of the coating occurs, i.e., exposure of the substrate [17,35].

For the MS coating obtained from the mechanically alloyed powder, a first failure or local spalling ( $L_{C1}$ ) was observed at an average load of 161 mN, corresponding to a scratch distance of 330  $\mu\text{m}$ . Then, the complete removal of the coating was observed at an average load of 256 mN (scratch distance of  $\sim 510$   $\mu\text{m}$ ); this load was then taken as the critical load  $L_{C2}$ . For the CM coating produced with a mixture of

commercial powders, the critical load  $L_{C1}$  could not be identified; only the critical load  $L_{C2}$  could be quantified with a value of  $\sim 147$  mN for a scratch distance of  $\sim 280$   $\mu\text{m}$ . These critical load values correspond to significant variations in friction (Fig. 6).

Besides, both coatings exhibited different delamination modes. For the CM coating, the observed adhesive failure mode corresponded to a brittle failure mode called “compressive spallation” [35]. This failure mode produces chipping in the trackside, i.e. the formation of semi-circular cracks that propagate to the sides of the centerline of the track occurs, as observed in Fig. 5d and e. Conversely, a ductile failure mode denominated “spallation” was observed for the MS coating. Lower crack areas characterize this delamination, i.e. smaller chipped or spalled regions [35]. Based on these characteristics, it was observed that the MS coating showed better adhesion than the CM coating. The scratch behavior can also be analyzed based on the mechanical properties. The better adhesive behavior of the MS coating compared to the CM coating could be correlated to its higher values of  $H$ ,  $H/E_r$  and  $H^3/E_r^2$  (Table 2); these parameters indicate a higher ability to resist plastic deformation, cracking damage, and gross spallation for the MS coating. These correlations between mechanical properties and the adhesion performance have also been found in other HEA PVD coatings [17].

Figs. 7-11 and Table 3 show the reciprocating dry sliding wear test results. The evolution of friction coefficients as a function of time is shown in Fig. 7. A wear running-in, where the friction coefficient increases progressively, is present until approximately 90 s for CM coatings and then the friction coefficient reaches a specific value approximately constant. For MS coating could not be observed the running-in. The average friction coefficients ( $\mu_p$ ) in the constant zone are presented in Table 3. The friction coefficient of MS coating is slightly higher than the CM coating. It is widely studied that the friction coefficient depends on the microstructural characteristics, mechanical properties of the coating, and the changes between the wear surfaces during sliding, such as the formation of oxide debris and cracks [7,8,36].



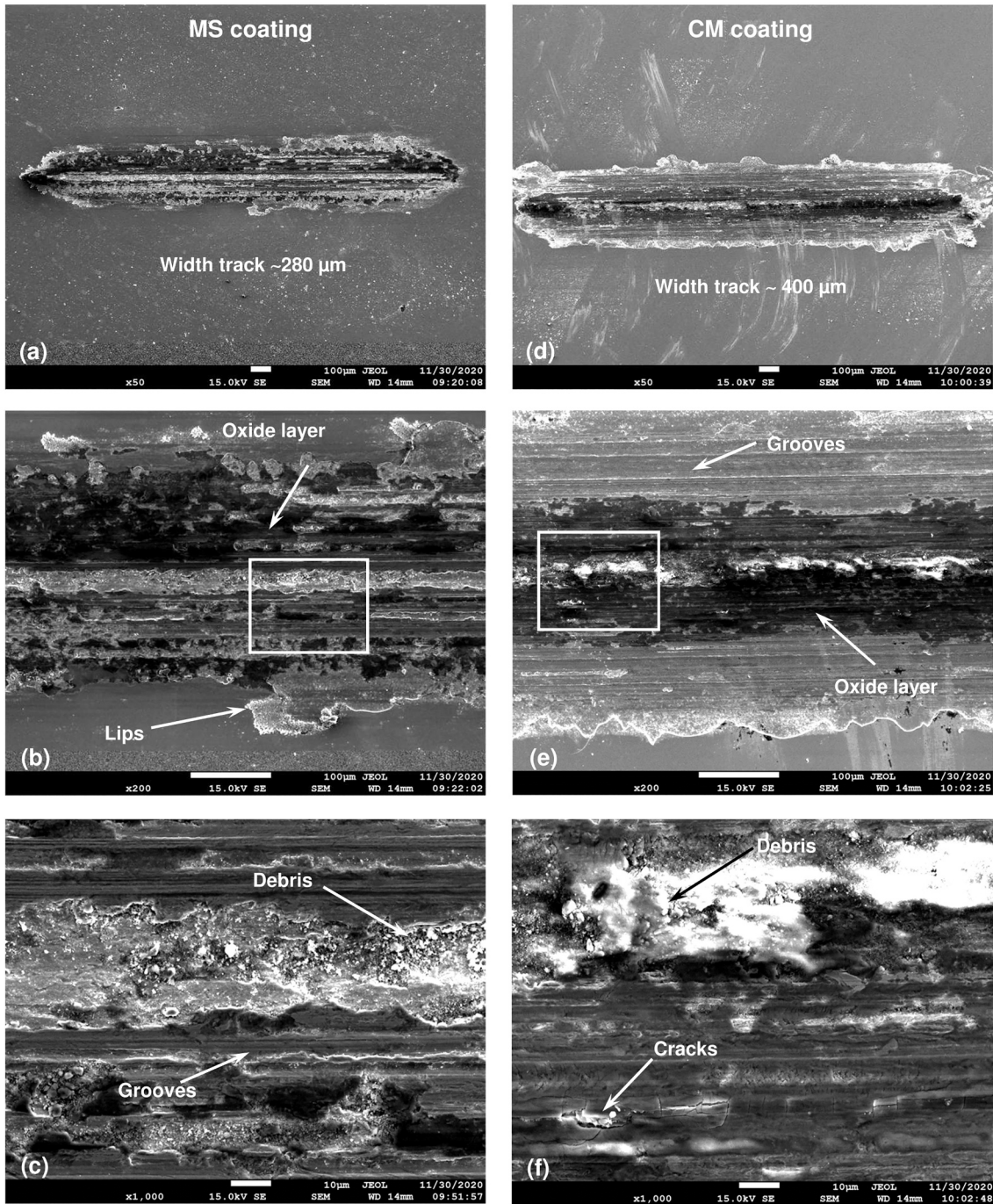


Fig. 9. Morphology of wear tracks by SEM for MS and CM coatings.

In the case of the coatings under study, the microstructural features and roughness surface are similar; both coatings are poorly crystallized but differ in chemical composition. As presented above, the MS coating contains 8 % at. C and the CM coating does not. Also, the MS coating presents a higher hardness than the CM coating (Table 2). Therefore, it could be presumed to be responsible for the difference in the friction coefficient. Additionally, this difference could be explained on the basis of rapid wear of the coating due to low adherence to the substrate resulting in delamination failure of the CM coating. The average friction coefficient ( $\mu_p$ ) for CM coating/substrate system is close to the values found in a sliding contact between alumina and steel ( $\mu_p \sim 0.60\text{--}0.65$ ) [37]. Besides, it could be observed that the curves of the friction coefficient as a function of time showed slight fluctuations. According to

several studies [7,8,36], the fluctuations during the test can be attributed to the presence of wear debris formed and accumulated in the wear track, to the formation of oxide patches and localized fractures, which will be confirmed later in this study.

Regarding the determination of the wear volume loss ( $V_l$ ), the wear tracks were evaluated after removing the debris with an air jet, 3D and 2D profilometry images, and average wear profiles were obtained along the length of the track without considering the edges of approximately 100  $\mu\text{m}$  on each side. Fig. 8 shows the representative wear track of three tests performed for each coating. The volume loss ( $V_l$ ) was determined by the average of the cross-sectional areas of the wear track (blue zone in the profiles of Fig. 8b and d), multiplied by the stroke length of 2 mm. The results of the specific wear rate ( $k$ ) and Archard's wear coefficient

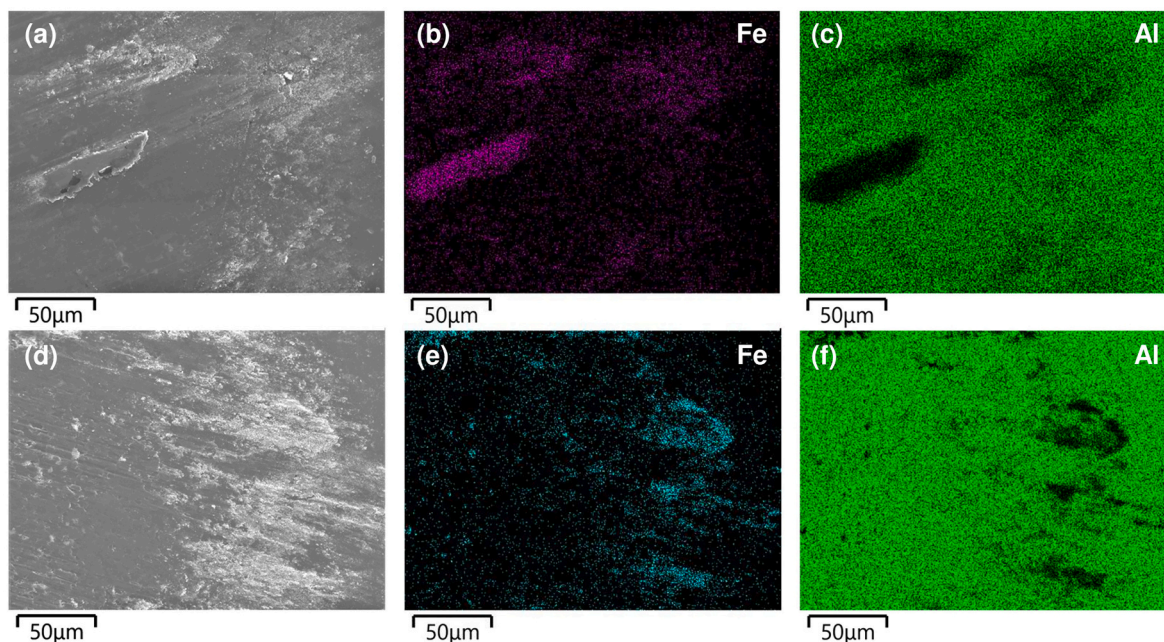


Fig. 10. Micrography and EDS mapping analysis of the wear track on  $\text{Al}_2\text{O}_3$  counterparts tested against MS coating: (a), (b), (c) and CM coating: (d), (e), (f).

(K) are presented in Table 3. It was found that CM coating/substrate system presented a volume loss and a specific wear rate of 147 % higher than MS coating/substrate system. Concerning Archard's wear coefficients, values in the order of  $10^{-4}$  were computed for both coatings/substrate system, close to the transition limit from mild to severe wear for unlubricated sliding [38].

On the topographic analysis presented in Fig. 8, it can be observed that the wear tracks show pronounced grooves parallel to the sliding direction. This morphology is more accentuated for CM coating/substrate system with a maximum wear track depth value of  $\sim 1.2 \mu\text{m}$  and width wear track of  $\sim 400 \mu\text{m}$ , indicating that the wear barely reached the substrate. For MS coating/substrate system, a less-width wear track of  $\sim 280 \mu\text{m}$  was determined; a narrow groove of  $\sim 4 \mu\text{m}$  in depth was also observed, possibly formed by wear debris detached from coating material and adhering to the ball, as will be shown later. Regarding the linear cross-sectional profile of the wear tracks of the MS coating/substrate system, 75 % of the wear track have a maximum depth of  $\sim 1.2 \mu\text{m}$ , which could indicate that the MS coating was progressively worn until it reached the substrate.

Details of the morphology of the wear track can be observed in the Fig. 9. For both coatings/substrate system, it can be observed the grooves characteristics of scratching and ploughing. Deep grooves were produced from wear debris that subsequently adhered to the pin, as evidenced in the SEM-EDS analysis of the wear track for the  $\text{Al}_2\text{O}_3$  balls presented in Fig. 10. Also, for both coatings/substrate system, the presence of fine debris wear and dark regions with cracks are observed at higher magnification (Fig. 9). Based on EDS analysis of the wear tracks shown in Fig. 11, the presence of oxygen was observed preferentially throughout the wear track for the MS coating/substrate system and the CM coating/substrate system, indicating that the tribo-oxide layer (dark regions in Fig. 9b and e) formation was possible during the test. The oxidation occurs on the worn surface heated by friction and deformation. The characteristics mentioned above are predominant in abrasive wear mechanisms. Similar wear mechanisms have been observed in other HEA coatings [17] and HEA bulks [7,39].

The reciprocating dry sliding wear behavior can also be related to the ratios of mechanical properties presented in Table 2, where MS coating shows higher values of  $H/E_f$  (related to the elastic strain to failure) and  $H^3/E_f^2$  (related to the ability to resist plastic deformation) than the CM

coating; which is consistent with its better wear behavior.

#### 4. Conclusions

The microstructural, mechanical and tribological characterization of HEA thin coatings onto low carbon steel obtained by magnetron sputtering were studied using two different powder mixing methods to produce targets used in a magnetron sputtering reactor. Both FeCrMnAlMo HEA thin coatings presented a poorly crystallized structure. The coatings showed a dense columnar microstructure and a homogeneous composition. Chemical analysis revealed the presence of carbon in the MS coating (8 at.% C) obtained with the target fabricated from powder mechanically alloyed. Since no carbon was detected in CM coating, it is thought that its presence in the MS coating is due to the use of stearic acid during the mechanical mixing. It is also believed that the carbon may be in the supersaturated solid solution and in the form of carbide nanocomposites, which may be responsible for the higher hardness value of the MS coating.

The tribological performance of the HEA PVD coatings/substrate system was established by adherence and reciprocating dry sliding wear studies. The adherence results showed different mechanisms of delamination; a ductile failure mode for MS coating/substrate system with a higher critical load of delamination showed enhanced adherence to the substrate, contrary to CM coating/substrate system that presented a brittle failure mode of delamination with a lower critical load. The Archard's wear coefficient determined was in the order of  $10^{-4}$  for both coating/substrate systems and the specific wear rate for MS coating/substrate system was 147 % lower than for CM coating/substrate system. Therefore, it was found that the better wear resistance of the system MS coating/substrate is due to both better adherence to the substrate, a higher hardness values and higher  $H/E_f$  and  $H^3/E_f^2$  ratios. The wear mechanism found was the abrasive type with material transference from the sample to the pin. In further work, we envisage the study of coatings with improved hardness from conventionally mixed powders with variations of the deposition condition to discern if the enhanced tribological behavior of these coatings is directly correlated to an improvement in the hardness of the coatings.

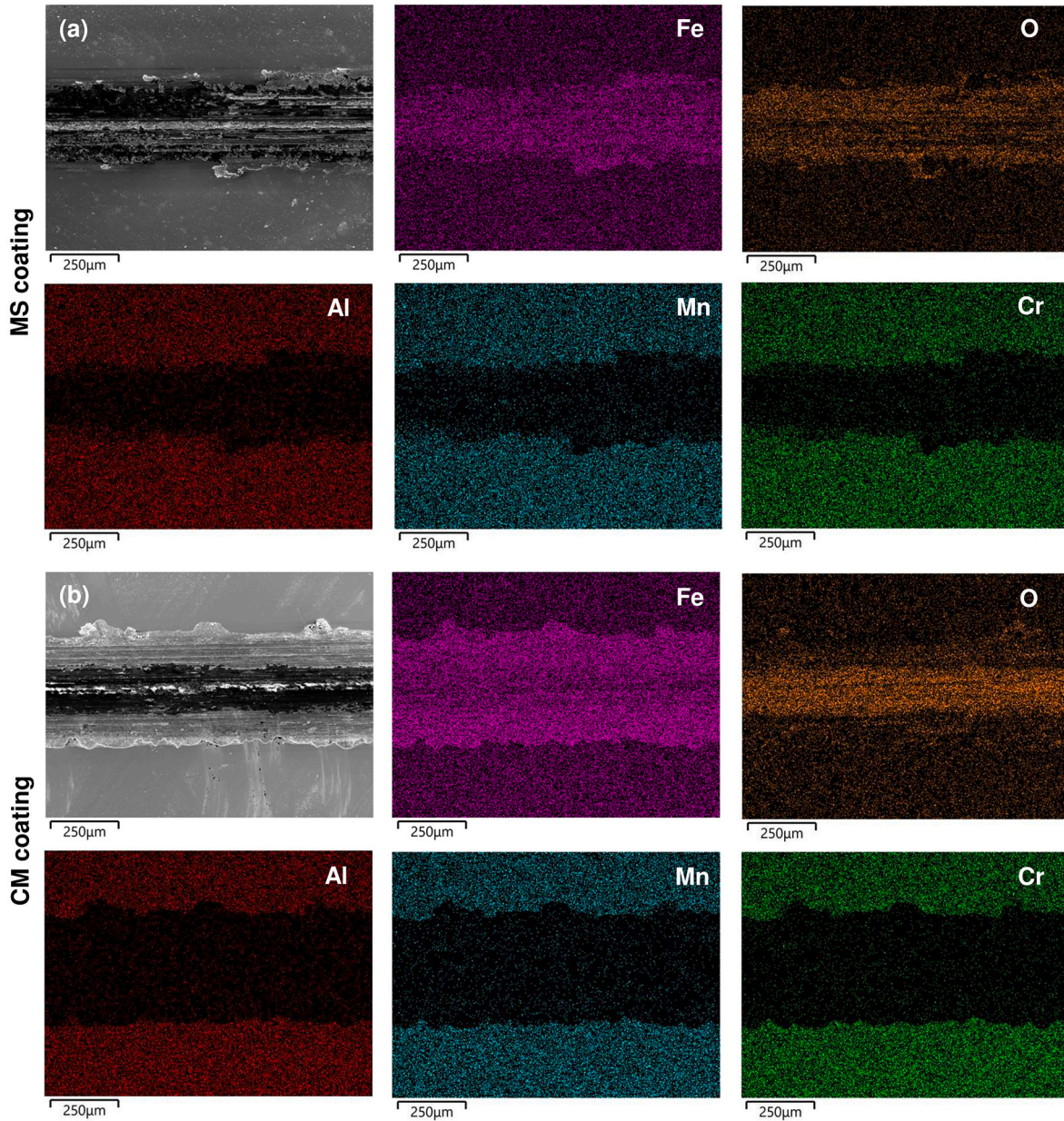


Fig. 11. Micrography and EDS analysis of wear tracks on the MS and CM coatings.

**Table 3**  
Tribological results FeCrMnAlMo PVD thin coatings.

Coating	$V_L$ ( $\text{mm}^3$ ) $\times$ $10^{-4}$	$k$ ( $\text{mm}^3\text{N}^{-1} \text{m}^{-1}$ ) $\times$ $10^{-5}$	$K \times 10^{-4}$	$\mu_p$
MS	$3.33 \pm 0.56$	$3.33 \pm 0.19$	$2.88 \pm$ 0.16	$0.763 \pm$ 0.018
CM	$8.23 \pm 0.21$	$8.23 \pm 0.07$	$6.11 \pm$ 0.05	$0.643 \pm$ 0.026

#### CRediT authorship contribution statement

**Y.Y. Santana:** Methodology, Data analysis, Investigation, Writing-original draft, Writing - review & editing. **M.A. Sow:** SEM and TEM observations, Writing - review & editing. **C. Nouvellon:** Project administration, Review & editing, **C. Cordier:** Supervision, review & editing, **F. Beclin:** Supervision, review & editing, **M. Touzin:** Review & editing, **L. Boilet:** Review & editing. **A. Tromont:** PVD coating

preparation, **X. Noirfalise:** XPS analyses, **M. Dubar:** Review & editing, **H. Morvan:** Data curation, **J.F. Trelcat:** Targets' fabrication. **M. Bigerelle:** Supervision, review & editing.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

The authors do not have permission to share data.

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