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Expert consensus on the management of pharmacodynamic breakthrough-hemolysis in treated paroxysmal nocturnal hemoglobinuria

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ABSTRACT

Introduction: Paroxysmal nocturnal hemoglobinuria (PNH) is a rare, acquired, non-malignant hematologic disease characterized by complement-mediated hemolysis (with or without hemoglobinuria), fatigue, increased susceptibility to thrombosis, and bone marrow dysfunction. The development of complement inhibitors has transformed outcomes for patients with PNH, but patients may still experience pharmacodynamic breakthrough hemolysis (BTH), which can be caused by exposure to a complement amplifying condition (CAC), such as vaccination, infection, or surgery.

Materials and methods: A 13-member expert panel used a validated methodology (a RAND/UCLA modified Delphi panel) to develop consensus on how to classify pharmacodynamic BTH in patients with complement-inhibitor treated PNH. Physicians reviewed literature, rated the appropriateness of over 400 scenarios, and discussed the ratings at an in-person meeting.

Results: After the meeting, the panel agreed on 77% of scenarios. Here, we present the group's agreed-upon recommendations on how to manage BTH caused by a CAC, as well as provide a severity classification system for BTH and strategies to mitigate risk of BTH in special circumstances (e.g. vaccination, planned or unplanned surgery, and pregnancy).

Discussion: In general, as severity of BTH increased, experts agreed more interventions to manage the BTH were appropriate. These recommendations are based on clinical experience and opinion. Without clear data from randomized trials to guide the management of BTH, expert opinion can be useful to support patient care.

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Plain language summary

Paroxysmal nocturnal hemoglobinuria (PNH) is a rare blood disorder characterized by the premature breakdown of red blood cells due to the ineffective inhibition of complement, a central part of the immune system. Patients may experience a wide range of symptoms due to PNH – from the unnoticeable or minor to severe, potentially life threatening, symptoms with multiple complications. The development of complement inhibitors has dramatically changed the treatment landscape for patients with PNH. However, many patients may still experience the destruction of red blood cells despite treatment, called breakthrough hemolysis (BTH).

This study convened a 13-member expert panel to develop consensus on how to treat BTH in patients with PNH treated with complement inhibitors. Physicians


reviewed literature, rated different patient scenarios, and discussed their ratings at an in-person meeting.

This paper presents the group's agreed-upon recommendations on how to manage BTH, as well as provides a severity classification system for BTH, and strategies to mitigate risk of BTH in special circumstances. In general, as severity of BTH increased, experts agreed more interventions to manage the BTH were appropriate. These recommendations can be useful to support patient care.

Introduction

Paroxysmal nocturnal hemoglobinuria (PNH) is a rare, acquired, non-malignant hematologic disease characterized by complement-mediated hemolysis (with or

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without hemoglobinuria), fatigue, increased susceptibility to thrombosis, and bone marrow dysfunction [1,2]. The development of targeted complement component C5 inhibitors (e.g. eculizumab, ravulizumab) has transformed outcomes for patients with PNH [3–7]. More recently, newer complement inhibitors have been developed, including the C3 inhibitor pegcetacoplan [8–10] (approved by the FDA in 2021), and danicopan and iptacopan (both currently in Phase III clinical trials). The latter two act by blocking either factor D or factor B in the alternative complement pathway, respectively.

Despite available treatments, patients may experience breakthrough hemolysis (BTH), which may be identified by signs and symptoms of intravascular hemolysis, including hemoglobinuria, a marked increase in serum lactate dehydrogenase (LDH), and a sharp decrease in the hemoglobin level [11–13]. Different definitions and reporting of BTH have been used in complement inhibitor trials, [11,13] and no agreed upon definition exists. The severity of BTH episodes may vary depending on the extent to which complement pathway inhibition is incomplete, which may further depend in part on which component is therapeutically targeted, the precise mechanism of inhibition, red blood cell (RBC) clone size, and individual patient characteristics [12].

Published estimates of BTH events vary in how they are reported in clinical trials. Combined estimates include 19.9–21.5 events per 100-patient years for patients treated with eculizumab and 6.8 events per 100-patient years for patients treated with ravulizumab [13]. There were no BTH events reported in one recent phase 3 clinical trial for iptacopan [14] and fewer events than eculizumab and ravulizumab (3.2% versus 17.1%, respectively) in another phase 3 clinical trial [15]. In the extension phase of two studies of patients with PNH receiving ravulizumab, the proportion who experienced BTH was low (5.8% and 6.2%) [16]. In a phase 2 trial of danicopan monotherapy, two out of ten patients experienced a BTH event [17]. In a phase 3 trial comparing pegcetacoplan to eculizumab, 10% of patients treated with pegcetacoplan experienced BTH (compared to 23% on eculizumab) [16,17]. However, some argue that patients treated with pegcetacoplan have the potential for more severe BTH [8,12,18,19].

The etiologies of BTH can be primarily pharmacokinetic, due to low or inadequate drug levels with suboptimal complement inhibition [20], or pharmacodynamic, as when infection or other inflammatory states elicit strong complement activation that (generally transiently) overcomes drug induced C5 blockade [21,22]. BTH while taking C3, factor D, or factor B inhibitors has not yet been fully characterized.

Given the minimal reported experience with these events, optimal management remains to be determined, as does whether there are identifiable risk

factors that can predict patients at risk for severe breakthrough events [23]. A few groups have recently published expert opinions on how to manage BTH [24–26], but none have included detailed guidance on how to manage pharmacodynamic BTH (caused by a complement amplifying condition [CAC]) and none have defined BTH severity. We sought to develop this guidance using a standardized method of soliciting expert opinion.

The RAND/UCLA modified Delphi panel method is a formal group consensus process that systematically and quantitatively combines expert opinion and evidence by asking panelists to rate, discuss, and then re-rate items [27]. Such panels have been used to develop medical society guidelines [28], other practice guidelines [29–33], and quality improvement interventions [34]. We used this method to develop guidance on how to manage various levels of BTH severity caused by a CAC in patients with treated PNH.

Materials and methods

Our panel included 13 hematologists with an average of 23 years (range 10–45 years) of clinical experience and extensive experience treating patients with PNH (seeing an average of 40 patients with hemolytic PNH and 44 patients with PNH with bone marrow failure per year). Nine were from the United States (three from the East, four from the Midwest, two from the West) and four were from Europe (two Germany, one England, one France). We were compensated for our time by Novartis Pharmaceutical Corporation as part of their ongoing research on iptacopan for PNH. Novartis provided input on the composition of the panel but did not provide input on the methodology or results of the panel. Modified Delphi panels do not involve human subjects as defined in 45 CFR part 46, thus institutional review board approval was not required.

We reviewed a summary of the relevant literature on the management of BTH in patients with treated PNH primarily informed by 16 reviews and 18 clinical studies. A reference list of all studies included in the literature review is provided as a Supplementary Appendix. We did not formally appraise the quality of evidence.

Through individual phone interviews, we collaboratively developed a rating form for panelists to complete prior to an in-person meeting. The final form included 8 interventions physicians may perform to manage BTH (Table 1). We considered each intervention in 54 scenarios that varied by patient characteristics outlined in Table 2, including decrease in hemoglobin, symptom presentation, CAC status, and type of complement inhibitor. We also included questions about how to minimize the risk of BTH in the setting of a vaccine, planned or unplanned surgery, or trauma (i.e. CACs), as well as during pregnancy and the postpartum period.

Table 1. Potential interventions to manage BTH included in rating forms.

Order laboratory tests* every 2–3 days
Order laboratory tests daily
Transfuse†
Treat with corticosteroids
Begin prophylactic anticoagulation until hemolysis resolves‡ (if the patient is not currently anticoagulated)
Maintain current complement inhibitor dosing schedule and give no additional complement inhibitor
Shorten the dosing interval§ (i.e. give the same dose earlier or add additional dose) of the current complement inhibitor
Treat with a complement inhibitor with a different mechanism of action (add proximal inhibitor if on terminal inhibitor; add terminal inhibitor if on proximal inhibitor)

Note: AABB: Association for the Advancement of Blood and Biotherapies; BTH: breakthrough hemolysis; LDH: lactate dehydrogenase; SOB: shortness of breath; PNH: paroxysmal nocturnal hemoglobinuria.

* E.g. hemoglobin, LDH, reticulocyte count, bilirubin, complement levels (CH50); until hemolysis resolves.

† E.g. per AABB guidelines [46] or institutional guidelines. We recognize the appropriateness of transfusion will depend on the patient's starting hemoglobin. We considered a typical patient with the characteristics included in each scenario.

‡ We assumed no thrombosis, a low D-dimer (e.g. <0.50 mg/L), and no ongoing anticoagulation because the patient's PNH is well-controlled on a complement inhibitor.

§ Ideally, the patient would receive additional dosing of the complement inhibitor they are currently taking.

For each scenario, we first rated the appropriateness of each intervention on a 1–9 scale, where 1 = highly inappropriate (risks outweigh benefits) and 9 = highly appropriate (benefits outweigh risks), and then rated the overall severity of that BTH event, where 1 = mild, 5 = moderate, 9 = severe. All 13 members of the panel completed 458 ratings before an in-person meeting and 12 completed 404 ratings after an in-person meeting (54 questions asking about asymptomatic patients with a 2.5–4 g/dL hemoglobin decrease were excluded in the second-round because experts felt this scenario was not realistic; one panelist was unable to attend the in-person meeting and did not complete the second round ratings).

During an in-person meeting in August 2023, we were provided with a document showing our own rating, the group median, and the range of ratings.

As is typical in the RAND/UCLA modified Delphi panel method, we defined disagreement as two or more ratings of 1–3 and two or more ratings of 7–9 [35]. Items without disagreement were grouped into three categories based on their median (1–3, 4–6, 7–9). During the professionally moderated group discussion, we shared our rationale for our ratings and discussed definitions and categories used in the scenarios. We were not asked to reach consensus at the meeting. Instead, following this discussion, we completed the ratings a second time. These second-round ratings were analyzed in the same way as the first round. We developed statements summarizing the consensus found in the second-round ratings, circulated them among the group, and made changes for clarity. These are summarized in the results below.

Table 2. Definitions of characteristics included in rating forms.

Terms and characteristics included in patient scenarios	Categories and definition for patient scenarios
BTH	An acute drop of hemoglobin of ≥ 1.5 g/dL compared to the patient's latest assessment in the presence of newly elevated LDH $> 1.5 \times$ ULN,* caused by a known CAC (a recent event or condition that may have increased complement, such as infection, vaccination, or surgery).
Decrease in hemoglobin in the presence of newly elevated LDH $> 1.5 \times$ ULN	<ul style="list-style-type: none"> ≥ 1.5–< 2.5 g/dL ≥ 2.5–< 4 g/dL ≥ 4 g/dL
Symptom presentation	<ul style="list-style-type: none"> Asymptomatic: The patient is not experiencing any symptoms. Symptoms other than SOB, chest pain, or abdominal pain: E.g. SOB (dyspnea) only on exertion, fatigue, jaundice, or a single episode of hemoglobinuria. SOB, chest pain, or abdominal pain: May also include other more severe symptoms, such as thrombosis, dysphagia, erectile dysfunction, extreme fatigue, or persistent or recurrent episodes of hemoglobinuria.
CAC status	<ul style="list-style-type: none"> Stabilizing/improving: You believe the patient is stable or getting better. E.g. received a routine vaccination, is recovering as expected from a planned surgery. Worsening: You believe the patient is worsening. E.g. is early in a case of influenza, is in the ICU with COVID-19, has a post-surgical infection.
Complement inhibitor for PNH†	<ul style="list-style-type: none"> Terminal (i.e. C5 inhibitors; eculizumab, ravulizumab) Proximal (i.e. danicopan,‡ iptacopan, pegcetacoplan)
Time period of terminal inhibitor dosing	<ul style="list-style-type: none"> Early: During the first half of the dosing interval (i.e. first week of eculizumab, first four weeks of ravulizumab). Late: During the second half of the dosing interval (i.e. second week of eculizumab, last four weeks of ravulizumab).

Note: BTH: breakthrough hemolysis; CAC: complement amplifying condition; ICU: intensive care unit; LDH: lactate dehydrogenase; PNH: paroxysmal nocturnal hemoglobinuria; SOB: shortness of breath; ULN: upper-limit of normal.

* We assumed the patient's PNH was well-controlled prior to this new elevation (i.e. the patient is receiving a constant therapeutic dose with stable hemoglobin and stable LDH [$< 1.5 \times$ ULN] throughout their last treatment interval).

† We considered only terminal complement inhibitors that have received regulatory approval (i.e. eculizumab, ravulizumab) and proximal complement inhibitors that have received regulatory approval (i.e. pegcetacoplan) or those in a Phase III clinical trial (i.e. danicopan, iptacopan).

‡ We considered danicopan monotherapy, even though a recent clinical trial tested it in combination with C5 inhibitors.

Table 3. Expert consensus on severity classification of BTH events.

Hemoglobin decrease*	Symptom presentation	Severity classification
≥1.5–<2.5 g/dL	Asymptomatic	Mild
	Symptoms other than SOB, chest pain, abdominal pain [†]	Mild (if CAC is stabilizing/improving [‡]) Moderate (if CAC is worsening [§])
≥2.5–4 g/dL	SOB, chest pain, abdominal pain [¶]	Moderate
	Symptoms other than SOB, chest pain, abdominal pain	Moderate
≥4 g/dL	SOB, chest pain, abdominal pain	Severe
	Any	Severe

Note: BTH: breakthrough hemolysis; CAC: complement amplifying condition; ICU: intensive care unit; LDH: lactate dehydrogenase; SOB: shortness of breath; ULN: upper limit of normal.

* In the presence of newly elevated LDH >1.5 × ULN, caused by a CAC (e.g. vaccination, infection, surgery).

† Such as SOB (dyspnea) only on exertion, fatigue, jaundice, or a single episode of hemoglobinuria.

‡ You believe the patient is stable or getting better (e.g. received a routine vaccination, is recovering as expected from a planned surgery).

§ You believe the patient is worsening (e.g. is early in a case of influenza, is in the ICU with COVID-19, has a post-surgical infection).

¶ Or other more severe symptoms such as thrombosis, dysphagia, erectile dysfunction, extreme fatigue, or persistent or recurrent episodes of hemoglobinuria.

Results

We agreed on 77% of the second-round ratings, compared to 50% in the first round. The statements presented in Tables 3–5 summarize our recommendations on how to manage BTH caused by a known CAC in complement-inhibitor treated PNH. In Table 3, we present our recommended severity classification system for BTH events. In Table 4 (and illustrated in Figure 1), we provide our recommendations on how to manage complement inhibitor dosing. In Table 5, we present strategies to mitigate risk of BTH in special circumstances (e.g. vaccination, planned or unplanned surgery, and pregnancy).

Our intention was for these recommendations to be only relevant to patients experiencing a BTH event due to a CAC (pharmacodynamic breakthrough) and not as the result of underdosing

(pharmacokinetic breakthrough), although we recognize it is not always possible to distinguish the two. They are intended to support the management of a BTH event and are only relevant during the BTH event. Changes to medication dosing should be made until signs and/or symptoms of hemolysis have resolved (i.e. patients should be switched back to their original medication and dosing after the BTH event due to a CAC).

Every clinical situation is different, with its own set of complex characteristics. In practice, many other clinical and non-clinical factors beyond those addressed below will affect how to manage BTH in PNH. For instance, to keep the guidance general, we do not consider absolute hemoglobin levels, and instead focus on the hemoglobin change, symptoms, and other factors.

Table 4. Expert consensus on complement inhibitor dosing.

Hemoglobin decrease	Current complement inhibitor	Our recommendations
≥1.5–<2.5 g/dL	Terminal	<ul style="list-style-type: none"> If either early in the dosing interval (e.g. during the first half of the dosing interval) or asymptomatic, maintain current dosing schedule. If late in the dosing interval (e.g. during the second half of the dosing interval) and having SOB, chest pain, or abdominal pain and a worsening CAC, shorten the dosing interval of the terminal complement inhibitor (i.e. give the same dose* earlier). Do not add a proximal inhibitor as there is currently no data to determine its appropriateness.
	Proximal	<ul style="list-style-type: none"> If asymptomatic, maintain current dosing schedule. With symptoms other than SOB, chest pain, or abdominal pain, consider adding a dose of the proximal complement inhibitor if clinically indicated. With SOB, chest pain, or abdominal pain and a worsening CAC, first try to add a dose of the proximal complement inhibitor. If adding a dose of the proximal complement inhibitor was ineffective,[†] then treat with a terminal complement inhibitor.[‡]
≥2.5–<4 g/dL	Terminal	<ul style="list-style-type: none"> If early in the dosing interval, consider maintaining current dosing schedule. If late in the dosing interval, shorten the dosing interval of the terminal complement inhibitor.[§]
	Proximal	<ul style="list-style-type: none"> First try to add a dose of the proximal complement inhibitor.[§] If adding a dose of the proximal complement inhibitor was ineffective[†] and patient has SOB, chest pain, or abdominal pain and a worsening CAC, treat with a terminal complement inhibitor.[‡]
≥4 g/dL	Terminal	<ul style="list-style-type: none"> Shorten the dosing interval of the terminal complement inhibitor.[¶]
	Proximal	<ul style="list-style-type: none"> First try to add a dose of the proximal complement inhibitor. If adding a dose of the proximal complement inhibitor was ineffective,[†] treat with a terminal complement inhibitor.^{§‡}

Note: CAC: complement amplifying condition; SOB: shortness of breath.

* Includes giving eculizumab if the patient is on ravulizumab.

† E.g. No improvement in signs and/or symptoms of hemolysis in 24–48 h.

‡ At the time of publication, there is a lack of safety data for this recommendation, especially in cases of repeated use.

§ Unless the patient has symptoms other than SOB, chest pain, or abdominal pain, and the CAC is stabilizing or improving, in which case it may be considered if clinically indicated.

¶ Unless the patient is early in their dosing interval of a terminal complement inhibitor and the CAC is stabilizing or improving, in which case it may be considered if clinically indicated.

Table 5. Expert consensus on strategies to mitigate risk of BTH in special circumstances.

Event	Our recommendations
In the case of vaccination or elective surgery*	<ul style="list-style-type: none"> Schedule vaccination or surgery early in the dosing interval if the patient is on a complement inhibitor that is not taken daily, or maintain dosing schedule if the patient is on a complement inhibitor that is taken at least daily.
In the case of unplanned surgery or trauma	<ul style="list-style-type: none"> Maintain the current dosing schedule if the patient is on a proximal inhibitor or early in their dosing interval of a terminal complement inhibitor (e.g. during the first half of the dosing interval). Shorten the dosing interval (i.e. give the same dose earlier)[†] if the patient is late in their dosing interval of a terminal complement inhibitor (e.g. during the second half of the dosing interval).
In the case of surgery (elective or unplanned) or trauma	<ul style="list-style-type: none"> If the patient is on an oral complement inhibitor and will not be able to absorb medications through the gastrointestinal tract for >24 h, switch to a non-oral C5 complement inhibitor until they can tolerate oral medication. Order laboratory tests (such as hemoglobin, LDH, reticulocyte count, bilirubin, complement levels) daily if inpatient and every 2–3 days if outpatient for as long as clinically appropriate.
In the case of pregnancy	<ul style="list-style-type: none"> Co-manage the pregnancy with a perinatologist (i.e. high risk OB-GYN, maternal-fetal medicine specialist). Order laboratory tests with increasing frequency over the course of the pregnancy (e.g. monthly in the first trimester and increasing to biweekly or weekly in the third trimester). Monitor patients closely with a low threshold to increase eculizumab dose[‡] if there is any evidence of worsening hemolysis. Give an additional eculizumab dose if delivery occurs late in dosing interval.

Note: EMA: European Medicines Agency; FDA: Food and Drug Administration; LDH: lactate dehydrogenase; OB-GYN: obstetrics and gynecology; PNH: paroxysmal nocturnal hemoglobinuria.

* Excluding minor procedures such as cataract surgery, breast biopsy, colonoscopy, dental procedures.

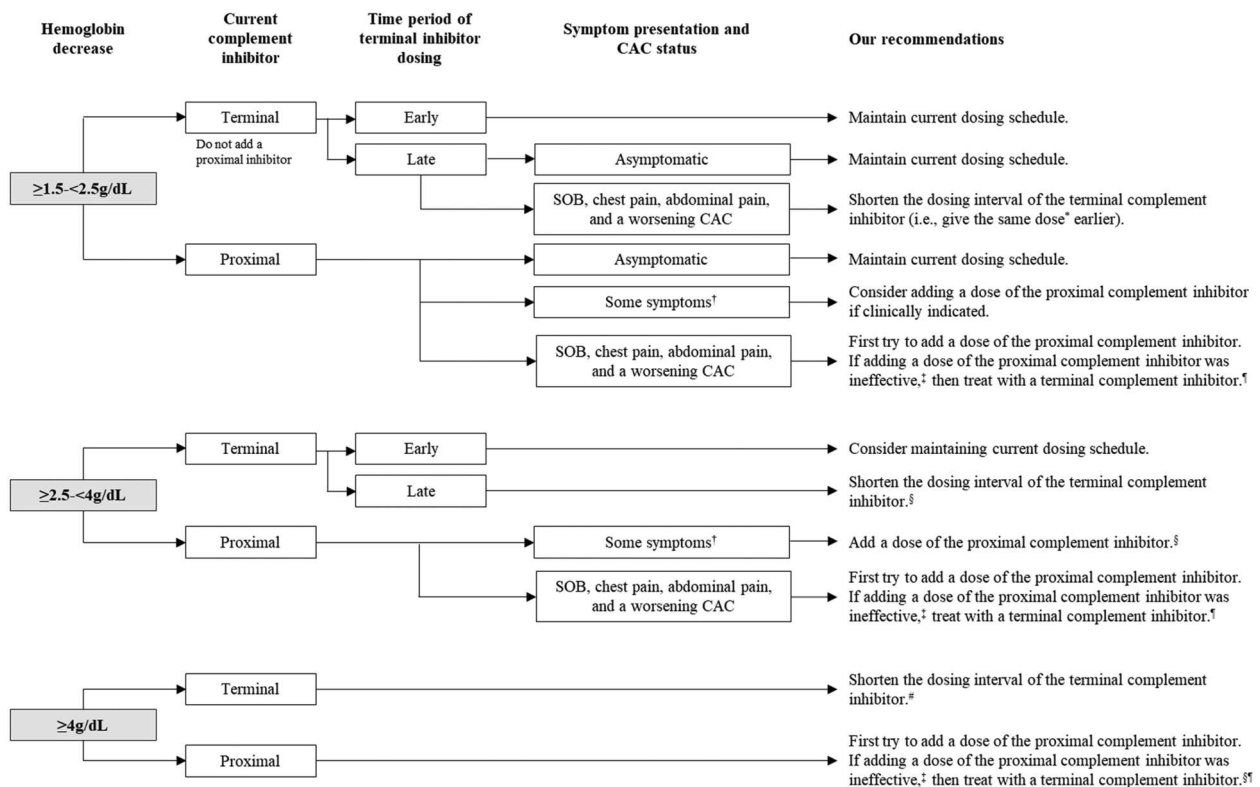
[†] Includes giving eculizumab if the patient is on ravulizumab.

[‡] At the time of the panel meeting, only eculizumab was approved by the FDA and EMA for the treatment of pregnant women with PNH. Additional data are needed to determine appropriateness of the use of other medications in pregnancy.

Severity classification

Our definition of BTH was informed by the position paper from the Severe Aplastic Anemia Working Party of the European Group for Bone Marrow Transplantation [36] and our opinion. We defined BTH as

an acute drop of hemoglobin of ≥ 1.5 g/dL (compared to the patient's latest assessment) in the presence of newly elevated lactate dehydrogenase (LDH) $> 1.5 \times$ upper limit of normal (ULN), known to be caused by a CAC (e.g. a recent vaccination, infection, surgery).

**Figure 1.** Illustration of expert consensus on complement inhibitor dosing.

Note: CAC: complement amplifying condition; SOB: shortness of breath * Includes giving eculizumab if the patient is on ravulizumab. [†] Symptoms other than SOB, chest pain, or abdominal pain. [‡] E.g. no improvement in signs and/or symptoms of hemolysis in 24–48 h. At the time of publication, there is a lack of safety data for this recommendation, especially in cases of repeated use. [§] Unless the patient has symptoms other than SOB, chest pain, or abdominal pain, and the CAC is stabilizing or improving, in which case it may be considered if clinically indicated. [#] Unless the patient is early in their dosing interval of a terminal complement inhibitor and the CAC is stabilizing or improving, in which case it may be considered if clinically indicated.

To broadly guide management strategies, we agreed on a severity classification of BTH events using both symptoms and hemoglobin drop (Table 3). Mild BTH is when a patient has a drop in hemoglobin of ≥ 1.5 – < 2.5 g/dL, who either remains asymptomatic, or has symptoms other than shortness of breath (SOB), chest pain, or abdominal pain, and the CAC is stabilizing or improving. Severe BTH is when a patient has a drop in hemoglobin of ≥ 4 g/dL; or has a drop in hemoglobin of ≥ 2.5 – < 4 g/dL and has SOB, chest pain, or abdominal pain. Moderate BTH is anything not classified as mild or severe; and includes, for example, a patient with a drop in hemoglobin of ≥ 2.5 – < 4 g/dL and has symptoms other than SOB, chest pain, or abdominal pain; or has a drop in hemoglobin of ≥ 1.5 – < 2.5 g/dL and has SOB, chest pain, or abdominal pain. Patients on complement inhibitors that result in improved PNH RBC survival and thus larger RBC clone size may have the potential for a more severe BTH event.

Complement inhibitor dosing

In Table 4 and illustrated in Figure 1, we provide our recommendations on how to manage complement inhibitor dosing based on drop in hemoglobin and type of complement inhibitor. There are times when we recommend shortening the dosing interval of patients on a terminal complement inhibitor. For those on ravulizumab, we understand that shortening the dosing interval by more than a couple of weeks is unlikely due to cost and insurance constraint. Further, ravulizumab is not included on most hospital formularies and, therefore, is not available to many inpatients. In these cases, adding a dose of eculizumab is a part of this recommendation.

Other interventions

Transfusions should be given per clinician decision-making and consistent with institutional guidelines. In general, in cases of severe BTH, we recommend RBC transfusion; in cases of mild BTH, we usually do not recommend RBC transfusion. In cases of moderate BTH, transfusion may be considered if clinically indicated.

We recommend ordering laboratory tests (e.g. hemoglobin, LDH, reticulocyte count, bilirubin, complement levels) with increasing frequency for more severe BTH. For example, we recommend daily laboratory tests in patients with a drop of hemoglobin of ≥ 2.5 g/dL and those in an inpatient setting. We also recommend educating patients on recognizing their symptoms, particularly if they switch from one type of complement inhibitor to another, and encouraging them to return to the office for more laboratory tests if they do not feel well.

Unfortunately, we did not reach consensus on whether to treat with corticosteroids or begin prophylactic anticoagulation. For patients already receiving anticoagulation, continue as clinically indicated. Additional data are needed to make a recommendation.

Strategies to mitigate risk of BTH in special circumstances

In Table 5, we present strategies to mitigate risk of BTH in special circumstances, including in the case of vaccination, elective surgery, unplanned surgery or trauma, and pregnancy.

Discussion

In the current study, 13 expert hematologists reviewed published evidence, incorporated our clinical opinion, and independently rated 404 questions on defining BTH severity, how to manage pharmacodynamic BTH events, and how to mitigate the risk of BTH. In general, as severity of BTH increased, we agreed that more interventions were appropriate. The statements are intended only as general guidance for experienced clinicians who treat patients with PNH. They are in no way intended to supersede individual physician and patient decision-making.

As there are few randomized controlled trials to inform the management of pharmacodynamic BTH, our recommendations are based on our clinical experience and opinion. However, we used a validated method (the RAND/UCLA modified Delphi panel method) to develop these statements. The method has been used extensively to guide clinical care [28–34]. Further, guidelines developed using this method have content, construct, and predictive validity. Results of modified Delphi panels conducted using the same evidence base produce similar results, and patients treated according to the resulting guidelines have been shown to have improved outcomes [37,38].

BTH was first described in the pivotal eculizumab trial [39]. A position paper from the Severe Aplastic Anemia Working Party of the European Group for Bone Marrow Transplantation suggested a working definition of BTH and defined clinical versus subclinical presentations [11]. Specifically, they defined *clinical* breakthrough as a hemoglobin drop of ≥ 2 g/dL or the development of clinical signs or symptoms of hemolysis, in combination with an increase in LDH of $> 1.5 \times$ ULN; and *sub-clinical* breakthrough as an increase in LDH of $> 1.5 \times$ ULN with a hemoglobin drop of < 2 g/dL and no signs or symptoms. This is similar to the definition we used, which was based on our opinion and discussion. While others have used a higher threshold of LDH increase (> 2.0 ULN) [4], we chose a slightly lower threshold to define a minimum meaningful laboratory finding. This may result in a higher estimated incidence

of mild BTH, as well as potentially increased awareness of BTH. To create more specific guidance, we also defined three levels of hemoglobin drop (≥ 1.5 - <2.5 g/dL, ≥ 2.5 - <4 g/dL, ≥ 4 g/dL).

We recommend classifying a BTH event into one of three levels of severity (mild, moderate, or severe). This classification may help broadly inform interventions. For example, we generally do not recommend transfusion in the case of mild BTH, and we recommend ordering laboratory tests with increasing frequency for more severe BTH. However, this system is only intended as a guide. We did not use real patient data to inform these definitions nor validate whether cases of more severe BTH are associated with more severe outcomes.

To fill a gap in the literature, we chose to focus on only BTH caused by a CAC. To date, there is limited published guidance on this topic. One study that also relied on expert opinion recommended the following: 'for sporadic pharmacodynamic BTH (e.g. pregnancy, infection and major surgery), do not switch therapy and treat the triggering condition' [25]. Our recommendations add to the literature by providing more detailed guidance. However, it is not always possible to identify the etiology of the BTH event and confirm it is caused by a CAC. For example, a patient may present with severe BTH without any recent dosing changes and a physician may only be able to assume it is caused by a CAC that has not been identified. In particular, it may be difficult to distinguish pharmacodynamic and pharmacokinetic BTH in patients on pegcetacoplan, which is not dosed by weight [40]. Physician expertise on whether to follow our recommendations in this case would be needed.

We note that patients on complement inhibitors that result in improved PNH RBC survival and thus larger RBC clone size may potentially have more severe BTH events. Notaro and Luzzatto [12] noted this and theorized that patients on pegcetacoplan may have more severe BTH for two other reasons: The half-life of pegcetacoplan is relatively short, so plasma levels may decrease below the efficacy threshold simply because of a missed dose or injection-related issues. A recent study shows that exposure to a higher and sustained dose of pegcetacoplan can minimize this risk [41]. Additionally, incomplete inhibition may result in different hemolytic potential. With C5 inhibition (e.g. eculizumab), if inhibition is incomplete, only one membrane attack complex (MAC) will be formed for each molecule of C5 that escapes inhibition. However, with incomplete pathway inhibition on pegcetacoplan, each molecule of C5 convertase can catalyze the cleavage of several molecules of C5 leading to the assembly of multiple MACs, thus resulting in the potential for massive BTH.

We did not reach consensus on whether to treat BTH with corticosteroids. While some panelists prefer

a short course of corticosteroids [42], others found it ineffective [43]. American Society of Hematology guidelines note that for patients with continued symptoms of extravascular hemolysis while on eculizumab, 'splenectomy or corticosteroids may ameliorate the hemolysis in symptomatic or transfusion-dependent patients by removing or inhibiting the function of phagocytic cells' [44]. However, these guidelines also acknowledge that 'long-term use of corticosteroids is associated with significant toxicity.' Additional data are needed to make a recommendation.

Our study has several limitations. First, we did not use randomized controlled trials to develop our guidance because such evidence does not exist. In particular, no systematic data exists to inform the appropriateness of adding a proximal inhibitor to patients being treated with a terminal complement inhibitor, or using corticosteroids, especially in cases of repeated use. While the RAND/UCLA Delphi panel method has been shown to be reproducible, it is more reproducible when there is a stronger evidence base [45]. It is possible that other experts would have come to different conclusions. Second, our guidance is not intended for patients experiencing pharmacokinetic BTH (BTH due to inadequate dosing). Expert guidance on how to manage this type of BTH is published elsewhere [24–26]. Further, we did not provide detailed guidance on interventions that should be individualized due to many patient characteristics and institutional policies, such as transfusions. Lastly, to simplify the discussion, we used broad categories of patient characteristics and excluded items that may impact physician care. For example, we grouped terminal inhibitors and proximal inhibitors respectively, and did not consider absolute hemoglobin levels. Users of this guidance should consider each patient's therapy and hemoglobin, which may impact the severity of the BTH event and interventions to manage it.

The guidance summarized here reflects the areas of greatest agreement among a panel of hematologists using a methodologically sound process. Our hope is that they can support physicians treating patients with PNH. As new treatments are approved and more is learned about the severity and frequency of BTH on these therapies, our recommendations should be revisited.

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All authors have met ICMJE authorship criteria.

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